

1 **Correlation between plasma ccfDNA, mtDNA changes, CTCs, and epithelial**
2 **mesenchymal transition in breast cancer patients undergoing NACT**

3 **Betül ÇELİK^{1,*}, İrem PEKER EYÜBOĞLU², Sinan Koca³, M. Ümit UĞURLU⁴,**
4 **Özkan ALAN⁵, Gökçe GÜLLÜ AMURAN², Tuğba AKIN TELLİ⁵, Fulden**
5 **YUMUK⁵, Mustafa AKKİPRİK²**

6 ¹Department of Medical Biology and Genetics, Health Sciences Institute, Marmara
7 University, İstanbul, Türkiye

8 ²Department of Medical Biology, School of Medicine, Marmara University, İstanbul,
9 Türkiye

10 ³Department of Medical Oncology, Ümraniye Education Research Hospital, İstanbul,
11 Türkiye

12 ⁴Department of General Surgery, School of Medicine, Marmara University, İstanbul,
13 Türkiye

14 ⁵Department of Medical Oncology, School of Medicine, Marmara University, İstanbul,
15 Türkiye

16 ***Correspondence:** betulclk1453@gmail.com

17 ORCIDs:

18 First AUTHOR: <https://orcid.org/0000-0002-4093-1892>

19 Second AUTHOR: <https://orcid.org/0000-0003-0764-9841>

20 Third AUTHOR: <https://orcid.org/0000-0002-8370-1587>

21 Fourth AUTHOR: <https://orcid.org/0000-0002-4757-5560>

22 Fifth AUTHOR: <https://orcid.org/0000-0002-6635-2012>

23 Sixth AUTHOR: <https://orcid.org/0000-0002-2267-2110>

24 Seventh AUTHOR: <https://orcid.org/0000-0001-6535-6030>

1 Eight AUTHOR: <https://orcid.org/0000-0001-8650-299X>

2 Ninth AUTHOR: <https://orcid.org/0000-0002-1100-765X>

3 **Acknowledgment/disclaimers/conflict of interest**

4 It was retrospectively registered with TÜBİTAK 1001 project (115S272) (M.U. Faculty
5 of Medicine Clinical Research Ethics Committee protocol code: 09.2019.283). This
6 research received a specific grant from Marmara University Research Fund (BAPKO)
7 through project TYL-2022-10552.

8 **Informed consent**

9 The ethical suitability of this study was reviewed and unanimously approved by the
10 Marmara University Faculty of Medicine Clinical Research Ethics Committee (Ethics
11 committee protocol code: 09.2022.246).

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13 **Manuscript template: Correlation between plasma ccfDNA, mtDNA changes,**
14 **CTCs, and epithelial mesenchymal transition in breast cancer patients undergoing**

15 **NACT**

16 **Abstract**

17 **Background/aim:** Breast cancer is the most prevalent cancer in women, emphasizing
18 need for non-invasive blood biomarkers to aid in treatment selection. Previous studies
19 have demonstrated elevated levels of plasma circulating cell-free DNA (ccfDNA) in
20 breast cancer patients. Both ccfDNA and mitochondrial DNA (mtDNA) are fragments
21 released into the bloodstream. In this study, we investigated effectiveness of ccfDNA and
22 mtDNA as indicators of treatment response and explored their potential as monitoring
23 biomarkers. Additionally, we compared these markers with circulating tumor cell (CTC)
24 data and assessed their relationship with epithelial mesenchymal transition (EMT).

1 **Materials and methods:** 36 female breast cancer patients and 21 healthy females were
2 included in the study. Quantitative polymerase chain reaction (qPCR) was performed on
3 plasma samples to measure levels of ND1, ND4, ALU115, ALU247, and GAPDH, and
4 DNA integrity was determined by calculating ratios of ALU247/ALU115 and ND4/ND1.

5 **Results:** After treatment, patients had a significant decrease in ccfDNA levels and a
6 significant increase in mtDNA copy number (mtDNAcn). However, there was no
7 significant change in ccfDNA and mtDNA integrity. When comparing all groups, patients
8 exhibited higher levels of ALU115 and ALU247 compared to controls. Moreover,
9 patients demonstrated significantly lower ccfDNA integrity than controls.

10 **Conclusion:** This study represents first comprehensive investigation of plasma ccfDNA
11 levels, mtDNAcn, and integrities collectively. Furthermore, it is first study to explore the
12 relationship between these markers and CTCs, cancer stem cell markers, treatment
13 response, and metastatic status. Our findings suggest that plasma ccfDNA and mtDNA
14 may serve as potential biomarkers for assessing chemotherapy response and can be
15 employed alone or in combination with other biomarkers to monitor treatment efficacy in
16 breast cancer patients.

17 **Key words:** Breast cancer, ccfDNA, mtDNA, neoadjuvant therapy, EMT

18

19 **1. Introduction**

20 Breast cancer is the most frequent cancer worldwide, and it is also the most second
21 prevalent cause of cancer-related death in women [1]. Multiple molecular changes that
22 lead to uncontrolled self-renewal, proliferation, transformation and metastasis of normal
23 cells cause cancer [2,3]. Early detection of cancer and accurate identification of
24 metastases have considerably improved the survival rates of women with breast cancer

1 through enhanced treatment of breast cancer. The monitoring of treatment response is
2 essential to avoid continuation of ineffective treatments, to prevent needless side effects,
3 and to determine the utility of new therapeutics [1,2,4,5]. Accumulating findings over the
4 last couple of years have emphasized the potential use of circulating nucleic acids in
5 peripheral blood, such as DNA, mRNA, and microRNA, in the breast cancer diagnosis,
6 prognosis and monitoring of response to anticancer therapy [6]. Although using of tumor-
7 tissue specimens will remain significant, the utility of biopsy samples is limited since
8 such material may not capture tumor heterogeneity; also, recurrent biopsy is not practical.
9 A considerable alternative method is "liquid biopsy" which enables for sensitive and
10 targeted serial sampling during therapy [5].

11 Changes in the levels of circulating tumor cells (CTCs), extracellular free DNAs
12 (cfDNAs), and mitochondrial DNA (mtDNA), known as liquid biopsies, have received
13 great attention as cancer biomarkers in plasma and serum. In addition to plasma and
14 serum, it is a minimally invasive tool to detect molecular biomarkers in body fluids, such
15 as peripheral blood, urine, saliva, cerebral spinal fluid, and breast milk [7–13]. This
16 implement provides a perspective for real-time monitoring of tumor dynamics in an
17 individual cancer patient. Although obtaining a tissue biopsy from a cancerous location
18 remains the mainstay of diagnosis, liquid biopsy appears to be an alternative to the
19 restricted solid biopsy approaches due to several advantages; allows sequential sampling
20 for surveillance of tumor progression, response to treatment, metastasis, and disease
21 recurrence [4,9,11].

22 In 1948, two French researchers, Mandel and Me'tais discovered the presence of cell-free
23 DNA in the blood of healthy and diseased humans [7,10,11,14–19]. While cfDNA may
24 seem petty when it was first discovered in the human circulatory system, its clinical

1 significance was recognized when researchers observed differences between the
2 properties of cfDNA in healthy individuals and cancer patients [16]. The vast majority of
3 cfDNA is released through apoptosis or necrosis of tumor cells in oncological patients
4 [20,21]. Besides screening healthy and at-risk patient groups for early detection, treatment
5 of cancer, cfDNAs are a biomarker for multiple indications in oncology; including staging
6 and prognosis, tumor localization, initial therapy stratification, monitoring of local or
7 systemic treatment response, identification of acquired resistance mechanisms,
8 monitoring of recurrence [8,16,22].

9 The cfDNA profile found in a single blood sample contains a mixture of both “wild-type”
10 and genetically and epigenetically modified DNA fragments released by diverse cells
11 from various processes, tissues and organs under environmental factors [23]. All cells
12 seemingly to have the ability to continuously release cell-specific DNA into the
13 extracellular environment. An important point here is that the concentration of cfDNA
14 and the concentration of tumor-derived DNA in tumor microenvironment cells and other
15 healthy cells differ from a considerable extent between individuals [16].

16 cfDNA presents as ALU (*Arthrobacter luteus*) sequences. More than 50-65% of the
17 human genome consists of repetitive DNA [18,24]. ALU families belong to the class of
18 retroelements called short interspersed nuclear elements (SINEs) in the more than 10%
19 of the human genome, with a copy number of approximately 1.4 million, are the most
20 abundant ALU [18,25]. They are typically about 300 nucleotides in length [14,26–28].

21 While the source of cfDNA in healthy individuals is merely by apoptosis, producing
22 evenly sized shorter DNA fragments (ALU 115), on the other hand in cancers, necrosis
23 contributes uneven longer DNA fragments (ALU 247) to the shorter fragments from
24 apoptosis [14,29–31]. Analysis of ccfDNA integrity is a factor independent of the genetic

1 or epigenetic status of cfDNA and is theoretically representative of all tumors. ccfDNA
2 integrity is calculated as the ratio of the concentration of longer DNA fragments to shorter
3 fragments in plasma or serum [32,33].

4 Mitochondria is eukaryotic cell organelle that play a central role in energy production,
5 cell proliferation, and apoptosis. It is the main source and target of intracellular reactive
6 oxygen species (ROS), which plays an important role in breast carcinogenesis [34].

7 Recent advances to increase the diagnostic and prognostic value of cancer patients have
8 also targeted the circulating mitochondrial genome owing to its idiocratical and unique
9 properties. Circulating mitochondrial DNA is known to have short length, relatively
10 simple molecular structure, and high copy number. These properties make it an easily
11 accessible, non-invasive biomarker for the diagnosis of various types of solid tumors
12 together with the function of liquid biopsy [35]. Together with the inconsistent
13 association between peripheral blood mtDNA copy number and breast cancer risk, breast
14 cancer may alter the observed mtDNA levels in peripheral blood and it emphasizes the
15 need of creating forward-looking work designs [36].

16 There are hotspot locations for deletions along the mtDNA circle, 90% of which are
17 deletions of the nicotinamide adenine dinucleotide dehydrogenase 4 (ND4) sequence,
18 reflecting a population of viable mitochondria but with poor mtDNA integrity [37]. ND4
19 subunits are often missing in complex I and are a common indicator of mtDNA damage
20 [38]. In contrast, the loss of ND1 subunits has a much more detrimental effect on complex
21 I and the mitochondria itself, making ND1 deletions rare in viable mitochondria.
22 Therefore, the rarely deleted ND1 copy number is a suitable marker for the total mtDNA
23 copy number and the ND4/ND1 ratio can be used to assess the proportion of intact
24 mtDNA [37–40].

1 Breast cancer treatment typically incorporates a multimodality strategy that includes
2 surgery, radiation, and systemic therapy. Neoadjuvant chemotherapy (NACT) has
3 become in an ideal world treatment for patients with advanced breast cancer. If breast-
4 conserving surgery is not possible, neoadjuvant chemotherapy can be utilized [41]. It has
5 become a standard-of-care for patients who locally advanced breast cancer. NACT
6 provides a unique opportunity for real-time monitoring of tumor response and evaluation
7 of drug efficacy. Secondly, it can reduce the stage of tumors and thus promote the chances
8 of breast-conserving surgery [42–44].

9 Currently, the need for diagnostic and prognostic biomarkers continues. However, using
10 a combination of blood biomarkers with a non-invasive method is critical for treatment
11 selection. According to the studies examined, ccfDNA and mtDNA play a crucial role in
12 human cancers, especially their important functions on metastasis. Since there is no
13 research to examine the relationship between multiple markers and circulating tumor cells
14 with epidermal-mesenchymal transition, the present study will contribute to clinical
15 studies for disease diagnosis, prognosis and treatment.

16 In this study, we determined the changes in plasma cfDNA and mtDNA copy number and
17 integrity at before and after NACT in breast cancer patients. By correlating it with CTC
18 molecular analysis data obtained from the same patients in our previous study, it is aimed
19 to examine the relationship of these biomarkers with epidermal-mesenchymal
20 transformation (EMT), provide to predict the development of metastasis in these patients,
21 thereby predicting the clinical course of the patients.

22 **2. Materials and methods**

23 **2.1. Study subjects and ethical approval**

1 Breast cancer patients receiving neoadjuvant chemotherapy (n = 36) and healthy
2 individuals as control (n = 21) were included in this retrospective study. Plasma retrieved
3 from the banked specimens at -80 °C. The present study was approved by the Marmara
4 University School of Medicine ethics committee (approval ID 09.2022.246). Informed
5 consent was obtained from all the recruited subjects.

6 **2.2. Isolation of DNA**

7 Blood was collected in an EDTA tube. To obtain plasma, centrifugation was performed
8 at 2000 g for 10 min at 4 °C. DNA was extracted from plasma samples using the QIAmp
9 DNA mini kit (Qiagen, Hilden, Germany, cat. number: 51304) according to the
10 manufacturer's instructions and stored at -20 °C until use.

11 **2.3. RT-PCR of ALU elements and mtDNA copy number**

12 1µL of genomic DNA was used as a template for each real-time polymerase chain reaction
13 (RT PCR) using BlasTaq 2X SYBR Green Master Mix (abm Good) on a real-time PCR
14 device (LightCycler 480, Roche).

15 The quantitative values from the 115 bp (shorter fragments) primers represent the total
16 level of ccfDNA (ng/µL), while the quantitative values from the 247 bp (longer
17 fragments) primers used for the calculation of the integrity of ccfDNA. The sequences of
18 the ALU 115 and ALU 247 primers were as follows: ALU 115 forward 5'-
19 CCTGAGGTCAGGAGTTCGAG-3' and reverse 5'- CCCGAGTAGCTGGGATTACA-
20 3'; ALU 247 forward 5'-GTGGCTCACGCCTGTAATC-3' and reverse 5'-
21 CAGGCTGGAGTGCAGTGG-3'[45]. The absolute amount of ccfDNA in each sample
22 was determined by a standard curve using 10-fold dilutions (10, 1, 0,1, 0,01, 0,001) of
23 genomic DNA obtained from peripheral blood of a healthy donor volunteer. A negative
24 control (without template) was run in each reaction plate.

1 We analyzed levels of ND1, ND4, and GAPDH for mtDNA copy number in plasma
2 samples. ND1 copy number is a convenient marker for total amount of mtDNA. The
3 sequences of the ND1 and ND4 obtained from NCBI. ND1 forward 5'-
4 ATGGCCAACCTCCTACTCCT-3' and reverse 5'-GGGCCTTTGCGTAGTTGTAT-3';
5 ND4 forward 5'-GATGAGGCAACCAGCCAGAA-3' and reverse 5'-
6 GTAGGGGAAGGGAGCCTACT-3'. After obtaining to Ct values, the mtDNA copy
7 number was calculated using the following formula: $2^{-\Delta Ct}$.

8 Real-time PCR amplification was performed with the following cycles: Initial holding at
9 95 °C for 3 min, followed by 50 cycles of 95 °C for 15 s and 60 °C for 60 s. Negative
10 template control was run in each plate. All reactions were conducted in triplicate in 96-
11 well plates.

12 **2.4. DNA Integrity Determination**

13 DNA integrity was calculated as the ratio of ALU 247 to ALU 115 for ccfDNA and the
14 ratio of ND4 to ND1 for ccf-mtDNA. In this study, mtDNA integrity was calculated by
15 proportioning the amounts obtained as a result of the $2^{-\Delta Ct}$ formula of the ND1 and ND4
16 primer sets while the ratio of longer to shorter fragments (ALU 247 (ng/ μ L) / ALU 115
17 (ng/ μ L)) demonstrates the integrity of ccfDNA in each sample [14,38,45].

18 **2.5. Statistical analysis**

19 In this study, analysis done in patients who received neoadjuvant chemotherapy before
20 treatment, after treatment and control group; SPSS17.0 program was used to examine
21 ccfDNA level, ccfDNA integrity, mtDNA copy number and mtDNA integrity. The results
22 were individually assessed using the Wilcoxon signed rank test and, as a group, using the
23 Mann-Whitney U test. $p < 0.05$ was considered significant unless otherwise stated on
24 statistical analyses.

1 **3. Results**

2 In this study, data were obtained from 34 women before treatment and 30 women after
3 treatment with locally advanced breast cancer. And as a control group, 21 age-matched
4 healthy volunteers were studied. The mean ages of the cases were 50.35 (32 - 80) years.
5 Age range of the control group is < 50. The clinicopathologic characteristics of the
6 patients before and after NACT were given in the previous study and table 1 [41].

7 **3.1. ccfDNA Amount and Integrity Index at Pre- and Post-Treatment**

8 While the mean ALU values increased, the ccfDNA integrity index decreased post-
9 treatment. The ALU values of the healthy controls are lower than the values pre- and post-
10 treatment ($p < 0.01$) (Figure 1).

11 **3.2. mtDNA Amount and Integrity Index at Pre- and Post-Treatment**

12 Analysis of the pre-treatment and post-treatment groups revealed a significant reduction
13 in mtDNA copy number in patients prior to treatment compared to post-treatment group
14 (mean 6956.58 to 1395.16, $p = 0.014$) (Figure 2). Following treatment, an average
15 decrease in mtDNA integrity from 0.58 to 0.50 was observed, although statistical
16 significance was not reached ($p = 0.135$) (Figure 3).

17 The mtDNA copy number pre-treatment was found to be higher than the control group,
18 which was statistically significant ($p < 0.001$) (Figure 2). Analysis of the mtDNA integrity
19 index revealed a value of 0.58 in breast cancer patient's pre-treatment. In contrast, the
20 control group demonstrated a mean integrity index of 0.72. However, statistical analysis
21 showed no significant difference between the two groups ($p = 0.690$) (Figure 3).

22 When we examine the difference between the post-treatment and control groups; the
23 mtDNA copy number post-treatment was approximately 7.34 times higher than the
24 control group ($p = 0.002$) (Figure 2). However, the mean mtDNA integrity index was 0.72

1 in the control group, it was 0.50 post- treatment, and this difference was not statistically
2 significant ($p = 0.528$) (Figure 3).

3 **3.3. The Result of Wilcoxon signed-rank Test at Pre- and Post-Treatment**

4 We have pre- and post-treatment data for only 28 of 34 patients. When we look at the pre-
5 and post-treatment results of this patient group, the post-treatment ccfDNA level was
6 lower than the pre-treatment group and it was statistically significant ($p = 0.007$). The
7 ccfDNA integrity index of the post- treatment group was higher than pre-treatment group
8 and these results were not statistically significant ($p = 0.665$) (Figure 4).

9 It was statistically significant that the mtDNA copy number was higher post-treatment
10 than pre- treatment ($p = 0.031$). The mtDNA integrity index post- treatment was higher
11 than pre- treatment, and these results were not statistically significant ($p = 0.820$) (Figure
12 5).

13 **3.4. ccfDNA, mtDNA, CTC, EMT ve ALDH1 at Pre- and Post-Treatment**

14 We aimed to examine the relationship between changes in plasma cfDNA and mtDNA
15 copy number and integrity with CTC, EMT and ALDH1 data obtained in a previous study
16 by our team [41]. Detailed results of CTC, EMT and ALDH1 pre- and post- NACT are
17 given in the previous study [41]. All cfDNA and mtDNA results with other biomarker
18 results are given in supplementary data. No correlation was found ccfDNA levels and
19 mtDNA copy number with CTC, EMT and stem cell markers pre- and post- NACT. The
20 results were not statistically significant ($p > 0.05$).

21 **3.5. Relationship of ccfDNA levels and mtDNAcn with Breast Cancer Type, 22 Therapy Response and Metastasis**

23 When we compare the same patient group with previous study data, in only 1 of 6 patients
24 who were both negative for metastasis and showed complete pathological and clinical

1 response, CTC, EMT and ALDH1 markers pre- and post- NACT are also negative.
2 However, mtDNA copy number decreased while ccfDNA level increased after treatment.
3 But it was not found significant ($p > 0.05$). Patients' ccfDNA and mtDNA levels were
4 analysed according to breast cancer type. No significant results were found between them
5 ($p > 0.05$) (Table 2).

6 **4. Discussion**

7 Breast cancer stands as the leading form of cancer affecting women globally, presenting
8 numerous challenges for effective treatment [1]. Traditional methods such as tissue
9 biopsy, although widely employed, have limitations in terms of comprehensively
10 detecting the disease and monitoring treatment response. Additionally, the site of
11 metastases can pose obstacles to biopsy procedures [9,16]. Therefore, there is a critical
12 clinical need for non-invasive biomarkers that can aid in the diagnosis and follow-up of
13 breast cancer [46].

14 Breast cancer exhibits a complex molecular landscape, necessitating innovative
15 approaches for its detection and monitoring. The advent of liquid biopsy, which involves
16 the analysis of circulating tumor cells (CTCs), cell-free DNAs (cfDNAs), and
17 mitochondrial DNA (mtDNA) in plasma and serum, has emerged as a promising non-
18 invasive tool in cancer diagnostics [9,16,47]. Cancer cells can enter the bloodstream early
19 in the disease process, even before the detection of a tumor, and can disseminate
20 throughout the body. By capturing and analysing CTCs, cfDNAs, and mtDNA released
21 through apoptosis, necrosis, or active release during tumor growth, liquid biopsy enables
22 the comprehensive assessment of disease dynamics [47].

23 Plasma ccfDNA and mtDNA were evaluated as blood biomarkers to assess neoadjuvant
24 chemotherapy response in breast cancer patients. Changes in ccfDNA level, mtDNA copy

1 number, and integrity were detected. The relationship with CTC molecular analysis and
2 EMT was explored, aiming to predict metastasis development and estimate patient
3 outcomes.

4 Consistent with previous findings, breast cancer patients exhibited higher plasma levels
5 of circulating cell-free DNA (ccfDNA) compared to controls, alongside elevated levels
6 of ALU 115 and ALU 247. This is likely due to increased release of fragmented DNA
7 from apoptotic and necrotic cells in breast cancer patients. Similarly, a study involving
8 breast and prostate cancer patients reported a higher ccfDNA integrity index in prostate
9 cancer patients compared to controls, while breast cancer patients had a lower index. The
10 amount of cfDNA released into circulation is influenced by factors such as cancer stage,
11 tumor mutation load, and DNA clearance rate. The ALU DNA integrity index has been
12 suggested as a more advantageous marker than absolute ccfDNA levels, as it correlates
13 with tumor cell death. However, variations in DNA integrity index among different
14 cancer types and individual cases highlight its heterogeneity and complexity. When we
15 examined all the patients we have, ccfDNA levels increased significantly post-treatment,
16 while the ccfDNA integrity index decreased and was not statistically significant. Severe
17 destruction of cells and different number of pre- and post-treatment data may affect this
18 situation. In studies conducted with various cancer patients differences in the integrity
19 index were observed while ccfDNA levels increased post-treatment [43,45,48,49]. These
20 findings highlight the importance of ALU 115 and ALU 247 levels, as well as ccfDNA
21 integrity, as potential biomarkers for breast cancer.

22 In 28 patients with both pre- and post-treatment data, a significant decrease in ccfDNA
23 levels and a non-significant increase in ccfDNA integrity were observed post-treatment.
24 These findings align with a study by Adusei et al., who also reported a decline in serum

1 ALU 247 and ALU 115 levels and an increase in ccfDNA integrity after three cycles of
2 chemotherapy in breast cancer patients [14]. These findings emphasize the dynamic
3 nature of plasma ccfDNA levels in response to treatment in breast cancer patients. The
4 significant decrease in ccfDNA levels following treatment indicates its potential as a
5 monitoring tool for treatment response. Further investigations are warranted to explore
6 the underlying mechanisms driving the alterations in ccfDNA levels and integrity, and to
7 evaluate their clinical implications in breast cancer management.

8 Chemotherapy treatment leads to the destruction of cancer cells, resulting in the release
9 of cellular DNA into the bloodstream and subsequently increased levels of circulating
10 cell-free DNA (ccfDNA) in the blood [48]. However, post-treatment measurements
11 reveal lower ccfDNA levels, indicating a reduction in cancer cell population and activity,
12 as well as an efficient clearance system for cfDNA. The extensive destruction of cancer
13 cells during treatment may contribute to the release of longer DNA fragments, potentially
14 affecting DNA integrity [14]. Studies in breast and colorectal cancer patients have
15 demonstrated a decrease in ALU 115 levels and an increase in integrity following
16 treatment [31,50,51]. These observations highlight the dynamic nature of ccfDNA and its
17 potential as a valuable biomarker in monitoring chemotherapy response.

18 Our findings revealed a higher mitochondrial DNA (mtDNA) copy number in breast
19 cancer patients compared to controls, with the highest levels observed pre-treatment.
20 These results were statistically significant. In contrast, mtDNA integrity was higher in the
21 control group, although not statistically significant. Studies have reported conflicting
22 results regarding mtDNA copy number, with some showing higher levels in the patient
23 group and others demonstrating lower levels [52–58]. Consistent with our results, a study
24 in breast cancer patients found the highest mtDNA copy number in late-stage cancer

1 patients, while healthy individuals and early-stage cancer patients exhibited lower levels
2 [59]. Additionally, elevated ND1 levels have been observed in thyroid and colorectal
3 cancer patients compared to normal individuals, potentially indicating increased
4 replication-induced mtDNA damage and the need for compensatory mtDNA molecules
5 in tumor tissues [38,40,57]. Although the mtDNA integrity index was not statistically
6 significant and lower in patients due to fragmented DNA and increased copy number,
7 further research could explore its potential as a treatment follow-up marker in breast
8 cancer.

9 In our current study, we also aimed to examine the relevance of the previous findings to
10 our research. However, we did not observe any statistically significant associations
11 between ccfDNA levels, mtDNA copy number (mtDNAcn), and CTCs, EMT, ALDH1,
12 treatment response, or metastasis. To gain a comprehensive understanding of these
13 biomarkers' clinical significance, further investigations involving larger patient cohorts
14 are warranted. Serial monitoring and characterization of these biomarkers at specific time
15 points during treatment are essential to elucidate their potential as clinically meaningful
16 indicators. This study is the first to comprehensively investigate plasma ccfDNA levels,
17 mtDNA copy number, and their integrities simultaneously. It is also the first to explore
18 the relationship between these biomarkers and CTCs, cancer stem cell markers, treatment
19 response, and metastatic status. Differences in biomarker levels observed in our study
20 may stem from variations in factors such as blood collection periods, sample pre-
21 processing, storage, DNA isolation procedures, as well as clinical characteristics
22 including tumor stage, size, and patient age. The findings highlight the potential of
23 ccfDNA and mtDNA as biomarkers for monitoring chemotherapy response in breast
24 cancer. Non-invasive methods for cancer detection and monitoring have gained

1 significant attention in recent years. These approaches offer the potential for improved
2 patient comfort, reduced invasiveness, and enhanced accessibility. The development of
3 reliable biomarkers that can be detected through non-invasive means is crucial to address
4 these clinical needs. However, due to the limited dataset and lack of pre- and post-
5 treatment results, further confirmation in larger patient cohorts is necessary to validate
6 our findings.

7 **Acknowledgment**

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10 research received a specific grant from Marmara University Research Fund (BAPKO)
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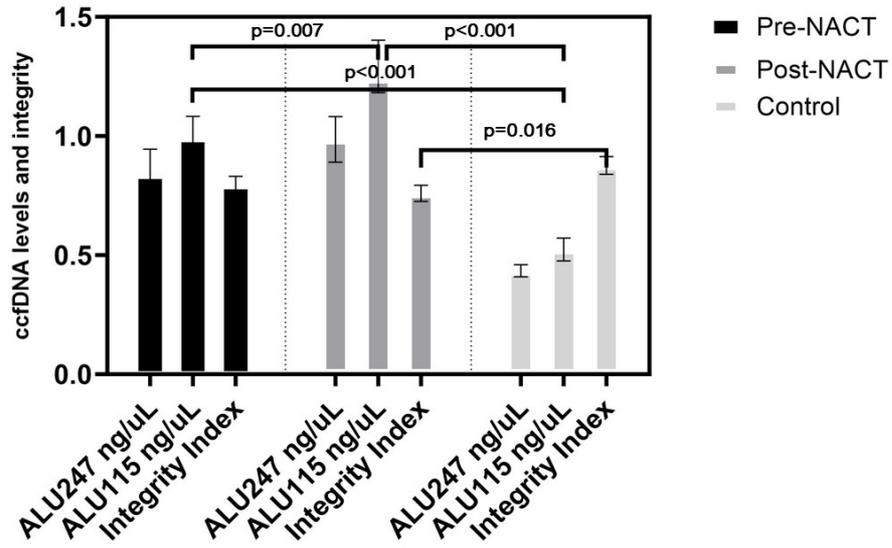
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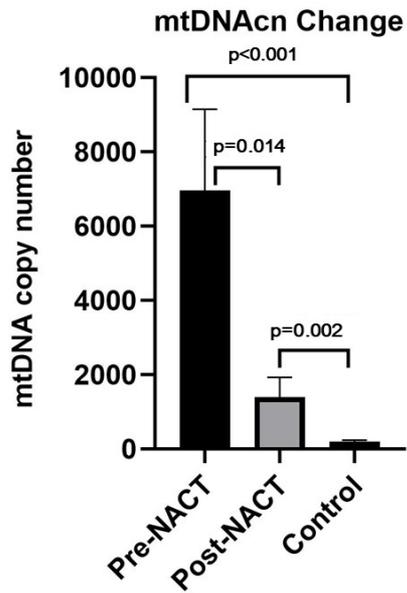
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ccfDNA levels and integrity the pre- and post-NACT



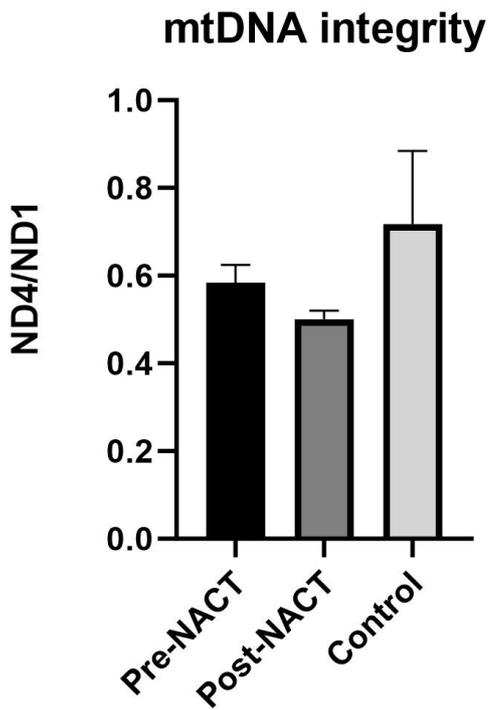
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2 Figure 1: ccfDNA levels and integrity the pre- and post-NACT



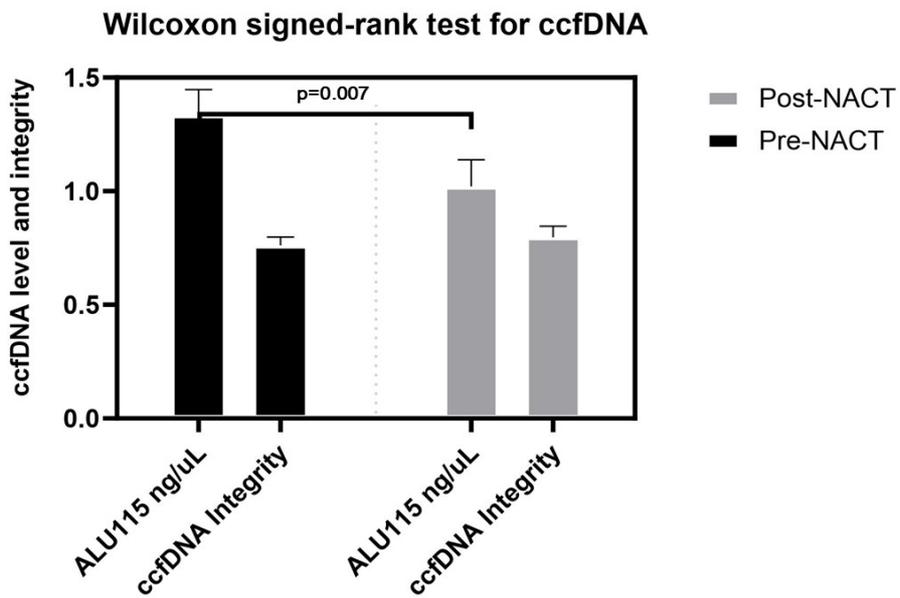
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4 Figure 2: mtDNAcn the pre- and post-NACT



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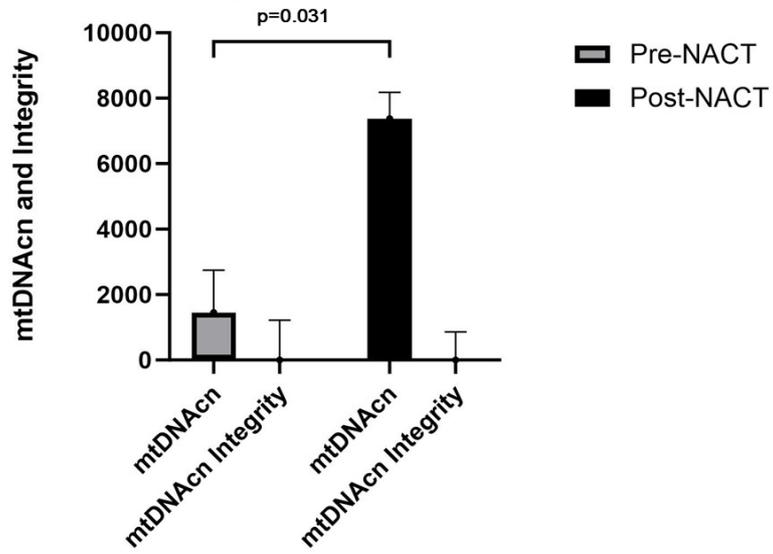
2 Figure 3: mtDNA integrity the pre- and post-NACT



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4 Figure 2: Wilcoxon signed-rank test for ccfDNA

Wilcoxon signed-rank test for mtDNA



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2 Figure 5: Wilcoxon signed-rank test for mtDNA

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1 Table 1: Clinicopathologic Features of Patients

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Parameters	Patients	Parameters	Patients
Age >50 <50	17 16	Node Pozitif Negatif	28 5
Menopause Pre Post	17 16	ER ER(+) ER(-)	23 10
Grade G0 G1 G2 G3	22 2 6 3	PR PR(+) PR(-)	13 20
Molecular Subtype Luminal A Luminal B TNBC HER2 Enriched	7 16 5 5	HER2 HER2(+) HER2(-)	12 21
Histologic Subtype Invasive Ductal Carcinoma Invasive Lobular Carcinoma Invasive Breast Carcinoma Invasive Mucinous Carcinoma Medullary Carcinoma	26 2 2 1 2	Lymphatic Invasion Positive Negative	13 6
Tumor size T1 T2 T3 T4	4 19 5 4	Masculine Invasion Positive Negative	1 18

18 Table 2: Pre- and post-NACT ccfDNA, mtDNAcn, CTC, EMT, ALDH1 and Pathologic

19 and Clinical Response

Patient No	Molecular Subgroup	Neoadjuvant Chemotherapy Regime	ccfDNA		CTC		EMT		ALDH1		Therapy Response		Metastasis During
			Pre-NACT	Post-NACT	Pre-NACT	Post-NACT	Pre-NACT	Post-NACT	Pre-NACT	Post-NACT	Pathologic	Clinical	
P1	Lum A	4 × EC + 12 × Paclitaxel	-	-	N		N		N		PR	CR	N
P2	Lum B	-	-	-	P	N	N	P	P	N	PR	PR	N
P3	Lum B, HER2 ⁺	-	-	-	N	P	N	N	N	N	PR	CR	N
P4	Lum B, HER2 ⁺	4 × EC + 12 × Paclitaxel + Herceptin	↑	↑	N	N	N	N	N	N	PR	PR	N
P5	Lum B, HER2 ⁺	12 × Paclitaxel + 4 × Herceptin + 4 × EC	↑	↑	N	N	N	N	N	N	CR	PR	N

P6	Lum B, HER2 ⁺	-	-	-	N		N		P		CR	PR	N
P7	Lum B	4 × EC + 12 × Paclitaxel	↑	↓	N	N	N	P	P	N	CR	CR	N
P8	Lum B	4 × EC + 12 × Paclitaxel	↑	↓	N	N	N	N	N	N	PR	PR	N
P9	Lum B	4 × EC + 12 × Paclitaxel	↑	↑	N	N	N	N	N	N	CR	CR	N
P10	Lum A	4 × EC + 12 × Paclitaxel	↓	↓	N	N	N	N	P	N	PR	PR	N
P11	TN	4 × EC + 9 × Paclitaxel	↓	↓	N	N	N	P	N	N	PR	PR	N
P12	Lum A	-	↑	↓	P	N	P	P	P	N	PR	PD	P
P13	Lum A	Anastrozole	↑	↑	N	N	N	N	N	N	PR	PR	N
P14	HER2 enriched		-	-	N	N	P	N	N	N	PR	PD	N
P15	Lum B, HER2 ⁺	4 × EC + 12 × Paclitaxel + 4 × Herceptin	↑	↓	N	N	N	N	N	N	PR	CR	N
P16	Lum B, HER2 ⁺	4 × EC + 12 × Paclitaxel + 4 × Herceptin	↑	↓	N	N	N	N	N	N	PR	PR	N
P17	Lum A	12 × Paclitaxel + 4 × EC	↑	↓	P	N	P	N	P	N	PR	PR	P
P18	Lum B	12 × Paclitaxel + 4 × AC	↑	↓	N	N	N	N	N	N	PR	PR	N
P19	TN	4 × AC + 10 × Paclitaxel	↓	↓	N	N	N	N	N	N	PR	PD	P
P20	Lum B, HER2 ⁺	-	-	-	N	N	N	N	N	P	PR	PR	N
P21	Lum A	4 × EC + 12 × Paclitaxel	↑	↓	N	N	N	N	N	N	PR	PR	N
P22	Lum B	4 × EC + 9 × Paclitaxel	↑	↓	N	N	N	N	N	N	CR	CR	N
P23	TN	4 × EC + 12 × Paclitaxel	↓	↑	N	N	N	N	N	N	CR	CR	N
P24	Lum B	4 × EC	↓	↓	N	N	N	P	N	P	PR	PR	N
P25	Lum B	4 × EC + 11 × Paclitaxel	↑	↓	N	N	N	N	N	N	PR	PR	N
P26	HER2 enriched	12 × Paclitaxel + 4 × Herceptin + 4 × AC	↑	↓	N	N	N	N	P	N	CR	CR	N
P27	Lum B	4 × AC	↑	↑	N	P	N	N	P	N	PR	PR	N
P28	TN	4 × EC + 12 × Paclitaxel	↑	↓	P	P	N	N	N	N	PR	PR	N
P29	HER2 enriched	12 × Paclitaxel + 6 × Herceptin + 4 × AC	-	-	N	N	N	N	N	P	CR	CR	P
P30	Lum B	4 × EC + 12 × Paclitaxel	↑	↓	P	N	N	N	P	N	PR	PR	P
P31	Lum B, HER2 ⁺	12 × Paclitaxel + 4 × Herceptin + 4 × AC	↑	↓	N	P	N	N	N	N	PR	PR	N
P32	Lum A	4 × AC + 9 × Paclitaxel	↓	↓	N	N	N	N	N	N	PR	PD	N
P33	HER2 enriched	10 × Paclitaxel + 7 × Herceptin + 4 × AC	↑	↑	N	P	N	N	N	N	CR	PR	N
P34	TN	4 × AC + 12 × Paclitaxel	↑	↑	N	P	N	N	N	N	PR	PR	P
P35	HER2 enriched	4 × AC + 12 × Paclitaxel + 4 × Herceptin	↑	-	N	N	N	N	N	N	CR	CR	N
P36	Lum B, HER2 ⁺	12 × Paclitaxel + 4 × Herceptin + 4 × AC	-	-	P		N		N		-	-	N

- 1 Abbreviations: ALDH1: tumor stem cells marker; CR: complete response; CTC:
- 2 circulating tumor cell; EMT: epithelial-mesenchymal transition; HER2: Human
- 3 epidermal growth factor receptor 2; Lum A: luminal A; Lum B; luminal B; NACT:
- 4 neoadjuvant chemotherapy; N: negative; P: positive; PD: progressive response; PR:
- 5 partial response; TN: triple negative