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2 **VALIDITY AND RELIABILITY OF FRIED FRAILTY PHENOTYPE IN**  
3 **TURKISH POPULATION**  
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5

6 **Abstract**

7 **Aim:** Frailty is an important, multidimensional geriatric syndrome defined as increased  
8 vulnerability to stressors. Fried Frailty Phenotype (FFP) is one of the most widely used  
9 model to define physical frailty. The aim of this study is to investigate the cross-cultural  
10 validity and reliability of Fried Frailty Phenotype (FFP) in older Turkish population.

11 **Methods:** A total of 450 patients, aged 59 years and over, were included. FFP  
12 translated into Turkish was used. Handgrip strength cut-off values that best predict low  
13 skeletal muscle mass index (SMI) for Turkish men and women were calculated. A  
14 modified version of FFP was created by re-scoring FFP according to these cut-off  
15 values applicable to Turkish population. Correlation analysis between the frailty  
16 assessment by comprehensive geriatric evaluation of clinician experienced in geriatric  
17 medicine, and FFP and modified version of FFP were performed for validation. 35  
18 patients underwent frailty assessment with FFP twice for reliability assessment. Inter-  
19 rater and intra-rater agreement were investigated.

20 **Results:** Clinician's decision of frailty status demonstrated significant agreement with  
21 the results of FFP, as well as modified FFP. Interrater and intra-rater compliance were  
22 good. Best hand grip strength cut-off values for predicting low SMI in older Turkish  
23 population were determined as  $\leq 13,6$  kg (AUC: 0.841,  $p < 0.001$ ) for women and  $\leq 27.7$   
24 kg for men (AUC: 0.779;  $p < 0.001$ ). Modified FFP had good agreement with the FFP.

25 **Conclusion:** FFP is a valid and reliable tool for Turkish population.  
26

27 **Keywords:** Frailty, Fried, Validation, Turkish  
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## 32 **1.Introduction**

33 Frailty, a multidimensional clinical state defined as increased vulnerability to stressors,  
34 is an important geriatric syndrome that is known to be related with worse clinical  
35 outcomes like disability and mortality (1). Detecting frailty status of older adults is  
36 essential to struggle with the related adverse health outcomes.

37

38 Concept of frailty first appeared in clinical geriatric literature in 1950s -1960s and in  
39 2001 Fried and colleagues suggested the Fried phenotype to define physical frailty(2).  
40 Considering that physical, social and cognitive status can affect frailty, until today, a  
41 great many frailty models based on different perspectives containing cumulative deficit  
42 or psychosocial vulnerability were developed to define frailty status of patients(3).  
43 Among all these frailty models or tools, today there is no gold standard tool to detect  
44 frailty status of the patients.

44

45 Comprehensive geriatric assessment is considered as the gold standard method for  
46 determining frailty status of the patients. However, the clinician's assessment of frailty  
47 by performing comprehensive geriatric evaluation is time consuming in busy clinical  
48 practice.

49 Fried frailty phenotype (FFP) is one of the most widely used model to define frailty in  
50 busy clinical practice and in clinical studies. It is based on physical frailty and contains  
51 5 basic criteria including self-reported exhaustion, loss of weight, low physical activity,  
52 slow walking speed and low grip strength. (4). In these criteria, handgrip strength cut-  
53 off values are defined as the expected values for the gender and body mass index (BMI)  
54 of the patient. However, expected cut-off values for the same gender and BMI might  
55 vary among populations.

56 The hypothesis of this study is that using population specific handgrip strength cut-off  
57 values might be more appropriate for detecting frailty with FFP and population specific  
58 cut-offs might increase the accuracy of FFP. Therefore, the primary aim of this study is  
59 to determine the handgrip cut-off values for Turkish population which are the best to  
60 predict low skeletal muscle mass index (SMI) according to gender.

60 The secondary aim of the study is to evaluate the validity and reliability of the FFP and  
61 modified FFP (modified FFP was created by re-scoring FFP by using the Turkish  
62 population specific handgrip strength cut-offs by gender) via using the gold standard,  
63 i.e., frailty status clinically defined by the expert geriatrician after performing  
64 comprehensive geriatric assessment.

## 65 **2.Material and Methods**

### 66 ***2.1. Patients and the procedure***

67 Patients, aged 59 years and older admitted to a geriatric outpatient clinic, were invited to  
68 participate to the study, consecutively. Those who did not cooperate enough to answer  
69 the questions or could not follow the requested instructions and patients who were not  
70 eligible for bioelectrical impedance analysis (who have pacemaker, metal implant,  
71 peripheral edema) were excluded from the study. Finally, a total of 450 patients were  
72 included in this study. Informed consent was obtained from each patient prior to the  
73 study entry.

74 Age, gender, number of drugs, alcohol use, smoking status and comorbid diseases of the  
75 patients were recorded, and anthropometric measurements including height, weight, and  
76 calf circumference were performed. Each participant underwent comprehensive  
77 geriatric assessment involved application of the questionnaires of Katz activities of  
78 daily living (ADL)(5, 6), Lawton Brody instrumental activity of daily living (IADL)(7),  
79 standardized MMSE(8, 9), Yesavage geriatric depression scale short form (GDS)(10,  
80 11), mini nutritional assessment short form (MNA)(12, 13) and assessment of skeletal  
81 muscle mass, walking speed and handgrip strength.

82 Skeletal muscle mass (SMM) was measured by bioelectrical impedance analysis (Model  
83 InBody S20; InBody, Seoul, Korea). Skeletal muscle mass index (SMI) was calculated  
84 as SMM (kilograms) divided by height (meters)<sup>2</sup>. Turkish population SMI cut-off  
85 values (9.2 kg/m<sup>2</sup> and 7.4 kg/m<sup>2</sup> in males and females, respectively) previously  
86 determined by Bahat et al., were used to define low skeletal muscle mass index(14).  
87 Muscle strength was measured by using handgrip dynamometer (T.K.K.5401; Takei  
88 Scientific Instruments, Tokyo, Japan) while the patient was standing arms parallel to the  
89 floor. Three consecutive measurements were made holding the instrument in the  
90 dominant hand. The highest of the three measurements was taken for analysis. Walking

91 time (sec) was assessed with 4.6-meter walking test by using a manual stopwatch.  
92 Walking speed (m/sec) was calculated by dividing 4.6 meters to the walking time (sec)  
93 of 4.6 meters.

## 94 **2.2. Frailty assessment**

95 FFP, modified FFP and frailty status clinically defined by the expert geriatrician after  
96 performing comprehensive geriatric assessment were used to determine the frailty status  
97 of the patients.

### 98 **2.2.1. FFP**

99 FFP was translated to Turkish by independent translators by using forward-backward  
100 translation method. First FFP was translated to Turkish by two native Turkish speakers  
101 who are fluent and experienced in medical science translation. All the authors checked  
102 the Turkish version of the manuscript. Then, the Turkish version was translated back to  
103 English by a native English speaker experienced in medical sciences and blinded to the  
104 original questionnaire. Two geriatricians rechecked the compliance between back  
105 translated and original form of the FFP and approved the latest Turkish version of the  
106 FFP. Turkish version of FFP was presented in Table1 in supplementary file.

107 Fried frailty phenotype consists of five criteria: weight loss, exhaustion, physical  
108 inactivity, low handgrip strength, and slow walking speed. Patients who have three or  
109 more of these criteria are defined as frail, who have one or two criteria, are defined as  
110 pre-frail and none of the criteria are defined as robust. Weight loss was identified as  
111 unintentional weight loss of 4.5 kg or 5% of body weight in the prior year. Exhaustion  
112 was determined by asking the questions from the Center for Epidemiologic Studies –  
113 Depression (CES–D) scale(15): ‘How often in the last week you felt that everything you  
114 did was an effort?’ and ‘How often in the last week you felt that you could not get  
115 going?’ 0 = rarely or none of the time (1 day), 1=some or a little of the time (1–2days),  
116 2=a moderate amount of the time (3 –4 days), or 3 = most of the time. Participants  
117 answering 2 or 3 either of these questions are identified as satisfying exhaustion criteria.  
118 Sedentary behavior was detected by Minnesota Leisure Time Physical Activity  
119 Questionnaire(16). Energy expenditure less than 383 kcal/week for men and 270

120 kcal/week for women were defined as sedentary lifestyle or low-calorie expenditure(4).  
121 Handgrip strength was determined by using handgrip dynamometer while the patients  
122 standing and their arms parallel to the floor and three consecutive measurements were  
123 taken in the dominant hand. The highest of the three measurements was recorded for  
124 analysis. Originally defined thresholds in Cardiovascular Health Study adjusted for  
125 gender and body mass index was used as cut-off thresholds. Patients have lower  
126 handgrip cut-offs than the determined thresholds were defined as low handgrip strength.  
127 Patients who have higher walking time than the defined walking time cut -offs for 4.6  
128 meters adjusted for sex and height in FFP, were accepted as slow walking speed.

### 129 **2.2.2 Modified FFP**

130 A modified FFP was created by re-scoring FFP by using the hand grip strength cut-off  
131 values that best predict low SMI for men and women in Turkish population.

### 132 **2.2.3. Frailty clinically defined by expert physicians.**

133 Two clinicians experienced in geriatric medicine over three years, determined the frailty  
134 status of the patients as robust, prefrail and frail by using the data consisting of the age  
135 gender, anthropometric measurements, comorbid diseases, number of drugs, alcohol  
136 use, smoking status, comprehensive geriatric assessment test scores (ADL, IADL,  
137 MMSE, GDS, MNA) and walking speed, independently. Due to the good degree of  
138 compliance between the two clinicians' decisions (kappa: 0.61;  $p < 0.001$ ), the frailty  
139 assessment of more experienced clinician was adopted as the gold standard for this  
140 study.

## 141 **2.4. Construct validity and reliability**

142 For construct validity of FFP, it was compared with the gold standard, i.e., definition of  
143 frailty status by expert geriatrician, after comprehensive geriatric assessment. Inter- and  
144 intra-clinician concordance were evaluated for reliability assessment. For inter-clinician  
145 concordance, two clinicians experienced in geriatric medicine, evaluated the frailty  
146 status of the 35 patients consecutively, in different rooms by using the Fried frailty  
147 phenotype. For intra-clinician concordance, FFP was re- applied to 35 patients with an  
148 interval of 1 week.

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150 **2.5. Statistical analysis**

151 SPSS version 16 was used to perform statistical analyses. Descriptive statistics were  
152 presented as mean (SD) for normally distributed continuous variables or median (min-  
153 max) for non-normally distributed ones and percentages (%) in case of categorical  
154 variables. The capacity of handgrip strength values in predicting low skeletal muscle  
155 mass index were analyzed using ROC curve analysis. When a significant cut-off value  
156 was determined, the sensitivity, specificity, positive and negative predictive values were  
157 presented. Interrater and intra-rater agreement and agreement between clinician's  
158 assessment and FFP or modified FFP was investigated using Cohen's Kappa test. P  
159 value less than or equal to 0.05 was accepted as statistically significant.

160 **3. Results**

161 A total of 450 patients, aged 59 years and over, were included. Mean (SD) age was  
162 75.45 (6.70). 61.3% of the patients were female. The three most frequent comorbidities  
163 were hypertension (71.3%), diabetes mellitus (33.1%) and coronary artery disease  
164 (25.6%).

165 The best hand grip strength cut-off values predicting **low SMI** in older Turkish  
166 population were determined as  $\leq 13.6$  kg for women (AUC: 0.841; 95% CI: 0.791-0.883;  
167 Sensitivity: 79.31; Specificity: 74.57;  $p < 0.001$ ) and  $\leq 27.7$  kg for men (AUC: 0.779;  
168 95% CI: 0.708-0.840; Sensitivity: 79.55; Specificity: 64.46;  $p < 0.001$ ). ROC curves  
169 presenting the best handgrip strength cut-off values predicting low SMI for men and  
170 women are presented in Figure 1 and 2, respectively.

171 According to FFP, 25.6% of the patients were robust, 49.0% were prefrail and 25.4%  
172 were frail. Frailty status of the patients determined by FFP, modified FFP and  
173 clinician's frailty assessment are presented in Table 1. Results of comprehensive  
174 geriatric assessment parameters of the patients categorized by clinician's frailty  
175 assessment are presented in Table 2.

176 When patients were categorized as robust, prefrail or frail; a good concordance was  
177 found between the clinician's frailty assessment and FFP (kappa 0.66;  $p < 0.001$ ).  
178 Modified FFP had good agreement with the FFP (kappa: 0.70,  $p < 0.001$ ). Inter-  
179 clinicians and intra-clinician compliance were good (kappa: 0.67,  $p < 0.001$  and kappa  
180 0.74,  $p < 0.001$ , respectively).

181 When patients were categorized as frail or not frail; good correlation between clinician's  
182 frailty assessment and modified FFP was observed (kappa: 0.73; p <0.001). An  
183 excellent agreement was found between FFP and modified FFP (kappa:0.84 and  
184 p<0.001) and between clinicians' assessments and FFP (kappa:0.84 and p<0.001).

185 Concordance between the clinicians' assessments and FFP and modified FFP are  
186 presented Table 3. Intra- clinician and inter-clinicians' consistencies are presented in  
187 Table 3.

#### 188 **4. Discussion**

189 In this study, the validity and reliability of FFP and modified FFP (modified by using  
190 the handgrip cut-offs for Turkish population) in the Turkish population were  
191 investigated. The best hand grip strength cut-off values predicting low SMI in older  
192 Turkish population were determined as  $\leq 13.6$  kg for women and  $\leq 27.7$  kg for men.

193 A good concordance was found between the clinician's frailty assessment and FFP when  
194 patients were categorized as robust, prefrail and frail. Modified FFP had good  
195 agreement with the original FFP. In addition, good concordance between clinician's  
196 frailty assessment and modified FFP was observed. Inter-rater and intra-rater  
197 agreements were good. These results support that FFP, as well as modified FFP are  
198 valid and reliable tools for detecting frail older adults in Turkish population.

199 Frailty is a common multidimensional condition consisting of physical, psychological,  
200 and social components. Frailty prevalence in Turkey is reported to be 15.4-27,8% in  
201 community dwelling older adults and 39.2% in Physical Medicine and Rehabilitation  
202 outpatient clinics (REF)(17, 18). Frailty is an important risk factor for disability and  
203 mortality in older adults and it can be reversed by proper clinical management.  
204 Therefore, frailty assessment is an indispensable component of determining the medical  
205 care plans of older patients.

206 Comprehensive geriatric assessment is accepted as gold standard method in identifying  
207 frailty. In busy clinical practice validated frailty indexes is preferred for detecting frailty  
208 status. FFP is one of the most common used frailty indexes that is based on physical  
209 frailty assessment. FFP has four objective criteria, in which one of them is handgrip  
210 assessment. Handgrip cut-offs by sex and BMI can vary across different populations. In  
211 our study, we used the Turkish population SMI cut-offs (9.2 kg/m<sup>2</sup> and 7.4 kg/m<sup>2</sup> for

212 males and females, respectively) to detect the best hand grip strength cut-off values  
213 predicting low skeletal muscle mass index. We calculated handgrip cut-offs as  $\leq 13.6$  kg  
214 for women and  $\leq 27.7$  kg for men in older Turkish population in this study. Bahat et al.  
215 have defined the cut-off thresholds of hand grip strength (cut-off values that predicted  
216 gait speed  $< 0.8$  m/s) as 32 kg and 22 kg for males and females respectively, in Turkish  
217 population(14). These handgrip strength cut-offs are higher compared to the handgrip  
218 cut-offs in our study and cut-offs in FFP. In our study handgrip strength cut-offs were  
219 based on the best predicting values for low SMI, instead of walking speed. Neurological  
220 problems and joint diseases like advanced osteoarthritis can affect walking speed, for  
221 this reason, we preferred to use handgrip cut-offs that predict low SMI instead of  
222 walking speed. In another study in Turkish population, Bulut et al. have defined  
223 handgrip strength thresholds as 14 kg in women and 28 kg in men according to the two  
224 SD below the mean of healthy young participants(19). Our handgrip thresholds are  
225 comparable with these results(19). These handgrip cut-offs might be more suitable for  
226 predicting low SMI in Turkish older population.

227 This study has some strengths. Our sample size is large, and they all underwent a  
228 comprehensive geriatric assessment that also included frailty and sarcopenia  
229 assessments. Moreover, this is the first study that investigated the cross-cultural  
230 validation of one of the most used frailty scales, FFP. In addition, this is the first study  
231 in which handgrip thresholds that are best predictive for low SMI according to sex for  
232 Turkish older patients are determined.

233 The limitation of this study is having a cross-sectional design. Therefore, for validation,  
234 only the consistency between clinician's decision and FFP and modified FFP were  
235 assessed. Long-term predictive ability of these frailty assessment methods for disability  
236 or mortality could not be evaluated. For this sense, prospective studies to elucidate the  
237 predictive value of FFP and modified FFI on disability or mortality are needed.

## 238 **Conclusion**

239 This study results suggest that FFP is a valid and reliable index for Turkish population.  
240 Using modified cut-offs does not seem to improve agreement with the clinically defined  
241 frailty status, however, further prospective studies are needed to explore its value in  
242 predicting morbidity and mortality.



243

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246

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249

250 **Ethics declarations**

251 **Conflict of interest**

252 The authors declare no conflicts of interest.

253 **Ethical approval**

254 Ethical approval was obtained from the ethics committee of the University. Ethical  
255 approval number/date: 143/04.10.2017

256 **Informed consent**

257 All participants provided written informed consent.

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322 **Table 1. Frailty status according to the FFP, Modified FFP and Clinician’s assessment**

	<b>Robust (%)</b>	<b>Prefrail (%)</b>	<b>Frail (%)</b>
<b>FFP</b>	<b>25.6</b>	<b>49.0</b>	<b>25.4</b>
<b>Modified FFP</b>	<b>39.0</b>	<b>40.6</b>	<b>20.4</b>
<b>Clinician’s assessment</b>	<b>30.6</b>	<b>40.4</b>	<b>29.0</b>

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324 **Table 2. Results of comprehensive geriatric assessment parameters of the patients categorized by**  
 325 **clinician’s frailty assessment.**

	<b>Robust</b>	<b>Prefrail</b>	<b>Frail</b>
<b>Age, year, median (min-max)</b>	<b>72 (65-87)</b>	<b>76 (59-91)</b>	<b>79 (65-97)</b>
<b>Gender, female, n (%)</b>	<b>61 (48.8)</b>	<b>109 (66.1)</b>	<b>81 (68.6)</b>
<b>Alcohol use, n (%)</b>	<b>3 (2.4)</b>	<b>4 (2.4)</b>	<b>1 (0.8)</b>
<b>Smoking, n (%)</b>	<b>10 (8)</b>	<b>12 (7.3)</b>	<b>5 (4.2)</b>
<b>Diabetes Mellitus, n (%)</b>	<b>35 (28)</b>	<b>72 (43.6)</b>	<b>30 (25.4)</b>
<b>Hypertension</b>	<b>77 (61.6)</b>	<b>125 (75.8)</b>	<b>90 (76.3)</b>
<b>Chronic obstructive pulmonary disease, n (%)</b>	<b>5 (4)</b>	<b>6 (3.6)</b>	<b>5 (4.2)</b>
<b>Congestive heart failure, n (%)</b>	<b>7 (5.6)</b>	<b>13 (7.9)</b>	<b>17 (14.4)</b>
<b>Number of drugs, median (min-max)</b>	<b>4 (0-15)</b>	<b>6 (0-15)</b>	<b>6 (0-15)</b>
<b>BMI (kg/m2), median (min-max)</b>	<b>28.3(19.0-45.0)</b>	<b>28.5 (17.5-48.8)</b>	<b>27.2 (16.4-46.7)</b>
<b>ADL, median (min-max)</b>	<b>6 (5-6)</b>	<b>6(1-6)</b>	<b>5(0-6)</b>
<b>IADL, median (min-max)</b>	<b>8 (6-8)</b>	<b>8(1-8)</b>	<b>4(0-8)</b>
<b>MMSE, median (min-max)</b>	<b>28 (19-30)</b>	<b>26(8-30)</b>	<b>21(0-30)</b>
<b>GDS, median (min-max)</b>	<b>1 (0-6)</b>	<b>4(0-14)</b>	<b>6(0-15)</b>
<b>MNA, median (min-max)</b>	<b>14 (11-14)</b>	<b>12(5-14)</b>	<b>8(3-12)</b>
<b>SMI, mean (SD)</b>	<b>9.9 (1.31)</b>	<b>9.3 (1.21)</b>	<b>8.6 (1.36)</b>
<b>Handgrip (kg), median (min-max)</b>	<b>24 (10.9-48.3)</b>	<b>20.0(5.8-41.7)</b>	<b>14.6(0-33.6)</b>
<b>Walking speed, (m/sec), median (min-max)</b>	<b>1.38 (0.48-2.30)</b>	<b>1.15 (0.27-2.00)</b>	<b>0.56 (0.12-1.47)</b>
<b>Calf circumference (cm), median (min-max)</b>	<b>37 (29.5-49.0)</b>	<b>36(27-49)</b>	<b>34(27-47)</b>

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329 **Table 3. Concordance between the frailty assessments.**

	<b>Robust/Prefrail/Frail</b>		<b>Frail /Not-Frail</b>		<b>Robust/Not Robust</b>	
	<b>Kappa</b>	<b>p</b>	<b>Kappa</b>	<b>p</b>	<b>Kappa</b>	<b>p</b>
<b>Clinician’s assessment &amp; FFP</b>	<b>0.66</b>	<b>&lt;0.001</b>	<b>0.84</b>	<b>&lt;0.001</b>	<b>0.60</b>	<b>&lt;0.001</b>
<b>Clinician’s assessment &amp; Modified FFP</b>	<b>0.51</b>	<b>&lt;0.001</b>	<b>0.73</b>	<b>&lt;0.001</b>	<b>0.51</b>	<b>&lt;0.001</b>
<b>FFP &amp; Modified FFP</b>	<b>0.70</b>	<b>&lt;0.001</b>	<b>0.84</b>	<b>&lt;0.001</b>	<b>0.68</b>	<b>&lt;0.001</b>
<b>Inter-rater</b>	<b>0.67</b>	<b>&lt;0.001</b>	<b>0.46</b>	<b>0.006</b>	<b>0.87</b>	<b>&lt;0.001</b>
<b>Intra-rater</b>	<b>0.74</b>	<b>&lt;0.001</b>	<b>0.78</b>	<b>&lt;0.001</b>	<b>0.72</b>	<b>&lt;0.001</b>

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336 **Figure Legends:**

337 Figure 1. ROC curve analysis of handgrip strength predicting low SMI for men.

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340 Figure 2. ROC curve analysis of handgrip strength predicting low SMI for women.

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