

25 to be a potential therapeutic agent in acute TSCI but needs to be further evaluated by
26 showing proper effect on other neuroprotective pathways in larger series.

27 **Keywords:** Humic acid, spinal cord, trauma, oxidative stress, inflammation

28 **1. Introduction**

29 Traumatic spinal cord injury (TSCI) is an irreversible problem with increasing numbers.
30 World-wide frequency of TSCI may be seen approximately with a frequency of 3.6-
31 195.4/1.000.000. [1] There is still no consensus about medical treatment options. Despite
32 the current treatment modalities, patients may need lifelong care and suffer serious
33 physical and moral loss. This situation became a health problem that negatively affects
34 the family and the countries economy. In addition to the less studied active agents such
35 as naloxone, thyrotropin-releasing hormone and tirilazad, there are frequently used
36 agents, such as GM-1 ganglioside (Sygen) and methylprednisolone, that is effective at
37 various levels. None of these agents constitute treatment protocols based on level 1 and
38 level 2 evidence. [2] Besides, various active agents have been studied in experimental
39 spinal cord trauma models such as glutamate receptor and ion channel antagonists,
40 cyclooxygenase inhibitors and erythropoietin. These agents are expected to be supported
41 by further studies. [3]

42 Humic acid (HA) is a polyphenolic substance that is used effectively in veterinary and
43 agriculture. HA contain various groups such as phenol, carboxyl acid and quinone groups
44 which changes their effect. Their characteristic may vary depending on the source, age,
45 climate and environmental factors. [4] Today, it is known that they accelerate and support
46 plant growth, acts as a bactericidal in soil and fungicidal in plants. [5] In various studies,
47 it has also been shown to be effective against pollution in soil and water and to have anti-
48 inflammatory, anti-bacterial, anti-ulcerogenic and anti-allergic features. [6]

49 To date, the efficacy of HA in acute TSCI has not been shown in the literature. We aim
50 to find out the efficacy of HA substance, which was previously never studied in the TSCI,
51 by showing its antioxidant and oxidant effect comparing with the histopathological and
52 neurological outcomes.

53 **2. Material and Method**

54 Ethical approval of the study was obtained from the Ege University Animal Experiments
55 Local Ethics Committee in İzmir (Approval number 2017-113). Study was performed
56 between august 2018 and september 2018. The first step of our study was carried out at
57 Ege University Laboratory Animal Research and Application Center. Later, the
58 biochemical evaluations were made in Biochemistry Department of Health Sciences
59 University, Tepecik, Izmir. The last step, pathological evaluations, were made by
60 Pathology Department of the University of Health Sciences, Tepecik, Izmir. The study
61 was conducted in accordance with the Experimental Animals Local Committee
62 guidelines.

63 There were 28 Wistar-Albino rats in total, equal numbers of male and female among each
64 group. They were between 8-12 weeks old and weigh 250-450 gr. The rats were equally
65 divided into 4 groups: laminectomy only (Control), laminectomy and TSCI (sham),
66 laminectomy-TSCI-low-dose HA (5 mg/kg) and laminectomy-TSCI-high-dose HA (10
67 mg/kg). Reference for adaption of humic acid doses were taken from Ozkan et al. Study,
68 but we also added another low dose 5 mg/kg humic acid group [15] All rats were placed
69 in separate cages at an optimal temperature of 18-21 degrees Celcius with equal light and
70 dark cycle with ad libitum food and water during the follow-up.

71 **2.1. Experimental Protocol**

72 28 Wistar-Albino rats were randomly divided into four groups as follows:

73 **Group I (Control Group):** number=7; Performance of only laminectomy (T8-T10 level)
74 without additional spinal cord trauma or medical therapy.

75 **Group II (Sham Group):** number=7; Performance of laminectomy followed by spinal
76 cord trauma (T8-T10) and administration of serum physiologic intraperitoneally.

77 **Group III (Humic Acid 5 mg/kg):** number=7; Performance of laminectomy followed
78 by spinal cord trauma (T8-T10) and administration of HA 5mg/kg intraperitoneally.

79 **Group IV (Humic Acid 10 mg/kg):** number=7; Performance of laminectomy followed
80 by spinal cord trauma (T8-T10) and administration of HA 10mg/kg intraperitoneally.

81 From each group , we aspirated 0.75 mm of blood sample three times.which were; before
82 the surgery, in the 1st and 24th hour of surgery by intracardiac way. Humic acid injection
83 was done immediately after spinal cord injury in HA groups (group 3 and group 4). For
84 the sacrifice procedure, thoracotomy was performed under high-dose anesthesia.
85 Aorta was cannulated through the left ventricle and weclamped the descending aorta.
86 Vascular system was perfused with 10% formaldehyde-PBS. After perfusion, the spinal
87 cord was dissected and samples were taken regarding the level of laminectomy.

88 **2.2. Operative Procedure**

89 Rats were anesthetized with intraperitoneal xylazine hydrochloride (10mg/kg) (Rompon
90 2% Bayer Health Care AG, Germany) and ketamine hydrochloride (90-100 mg/kg)
91 (Ketalar ; Pfizer, USA). We also injected 15 g/kg prophylactic cefazolin sodium
92 subcutaneously one hour before the surgery. In prone position, the dorsal region was
93 cleared with povidone-iodine after shaving. Under the microscope , following the dorsal
94 midline skin incision, paravertebral muscles were dissected laterally and laminectomy
95 was performed at the level of T8 – T10. The spinal cord was detected under the
96 microscope. Control and HA groups were exposed to spinal cord injury by a dropping the

97 stainless steel bars weighing 5 gram from a 3 mm wide and 10 cm height tube vertically,
98 spinal cord exposed to 50 g/cm of impact. Damage to the spinal cord was created
99 approximately at the level of thoracic 9 vertebra. Then, the skin incision was closed step
100 by step in anatomical layers.

101 **2.3. Analysis of Blood Samples**

102 Blood samples that are collected by intra-cardiac route from rats were centrifuged
103 at 1500 g for 10 minutes and the serum was separated and stored at -20 °C until
104 analyzed. Serum total antioxidant status (TAS) and total oxidative stress (TOS)
105 levels were measured in the same auto-analyser (AU5800, Beckman Coulter Inc., CA,
106 USA) using commercial test kits (Rel Assay Diagnostics, Gaziantep, Turkey). Serum
107 TAS and TOS were determined with kits (Rel Assay Diagnostics kit; Mega Tıp,
108 Gaziantep, Turkey) developed by Erel. Oxidative Stress Index (OSI) values were
109 calculated.

110 **2.4. Measurement of the TAS**

111 Serum TAS levels were determined using a novel automated measurement method,
112 developed by Erel. [7] In this method, the antioxidative effect of the sample against
113 the potent free radical reactions, which is initiated by the produced hydroxyl radical,
114 is measured. TAS results were given in mmol Trolox Eq/L.

115 **2.5. Measurement of the TOS**

116 Serum TOS values were determined using a novel automated measurement method
117 developed. [8] The color intensity, which can be measured spectrophotometrically,
118 is related to the total amount of oxidant molecules present in the sample. The assay
119 is calibrated with hydrogen peroxide (H₂O₂) and the results are expressed in terms
120 of micro-molar hydrogen peroxide equivalent per liter (μmol H₂O₂ Eq/L) [9].

121 **2.6. Calculation of OSI**

122 Oxidative stress index (OSI) values were calculated using the formula $100 \times \text{TOS}$
123 $(\mu\text{mol H}_2\text{O}_2 \text{ Eq/L}) / \text{TAS (mmol Trolox Eq/L)}$. Results were given as arbitrary unit
124 (AU).

125 **2.7.Histopathological Assessment**

126 Pathological analysis held at Tepecik Research and Training Hospital, Department of
127 Pathology. Pathological specimens were collected after the scarification of the rats. Spinal
128 cord samples extracted from the trauma applied region and put in a formalin solution of
129 10%. The tissues were removed from the formalin solution, embedded in paraffin,
130 sectioned at 3-4 μm , and stained with hematoxylin and eosin (H&E). An experienced
131 pathologist examined the histological preparations with a light microscope (Olympus
132 BX51), and photographs were taken with an Olympus DP72 camera (Olympus
133 Corporation, Japan). For the tissue evaluations, changes in Hemorrhage, Edema,
134 Necrosis, PNL, MNL, Axonal Swelling, Chromatolysis were investigated and scored.
135 Scores were no visible change (0), minimal or slight change (1), moderate change (2),
136 and severe change (3). At least ten high-power fields (HPFs; magnification $\times 400$) per
137 section were examined for each sample.

138 **2.8. Immunohistochemical TUNEL Method**

139 The terminal deoxynucleotidyl transferase dUTP nick end labeling (TUNEL) method,
140 which detects fragmentation of DNA in the nucleus during apoptotic cell death in situ,
141 was employed using an apoptosis detection kit (In Situ Apoptosis Detection Kit,
142 ApopTag, Millipore, USA). All reagents listed below are from the kit and were prepared

143 following the manufacturer's instructions. But, incubation times were increased. Xylene
144 was used for deparaffinization of the sections and rehydrated through a graded ethanol
145 series. They were then incubated with 20 µg/mL proteinase K for 30 min at room
146 temperature and rinsed in dH₂O. Endogenous peroxidase activity was inhibited by
147 incubation with 3% hydrogen peroxide in Phosphate-buffered Saline at room temperature
148 for 15 min. Sections were then incubated with equilibration buffer for 3-5 min. and then
149 Terminal Deoxynucleotidyl Transferase enzyme, in a humidified atmosphere at 37 °C,
150 for 120 min. They were subsequently put into pre-warmed working strength stop/wash
151 buffer at room temperature for 10 min and incubated with anti-digoxigenin conjugate for
152 60 min. Each step was separated by thorough washes in Phosphate-buffered Saline.
153 Labeling was revealed by applying peroxidase substrate, counter staining was performed
154 using hematoxylin, and sections were dehydrated, cleared, and mounted. TUNEL positive
155 cells/a high-power field cells ratio was used as the index of apoptosis. To count apoptotic
156 cells, at least ten microscopic HPF in each section were evaluated and we count all the
157 positive staining nuclei in the field. We graded the TUNEL positive nuclei as mild(1):0-
158 1, moderate(2):2-9, severe(3):more than 10.

159 **2.9.Neurological Assessment**

160 Motor function was evaluated 24 hours after the surgery. Modified Tarlov Scoring system
161 was applied for the motor function evaluation.

162 **2.10. Statistical Analysis**

163 SPSS 25.0 (IBM Corporation, Armonk, New York, United States) program was used to
164 analyze the variables. Kruskal-Wallis H Test was used with the Monte Carlo Simulation

165 results for the comparison of ordinal variables; Hemorrhage, Edema, Necrosis, PNL,
166 MNL, Axonal Swelling, Chromatolysis and Paraplegia. Dunn's test was used for Post
167 Hoc analysis. Quantitative variables were grouped as Median, Minimum and Maximum
168 in tables. The variables were examined at 95% confidence level and p-value was accepted
169 as less than 0.05.

170 **3. Results**

171 **3.1. Neurological outcomes**

172 No movement was observed in the control group, an average of 0.25 and 1 point of motor
173 function was observed in the HA groups receiving 5 mg/kg and 10 mg /kg, respectively
174 (Table 1). There was a significant statistical difference between the 4 groups ($p < 0.001$)
175 (Table 1).

176 **3.2. Histopathological and TUNEL Staining**

177 When the groups were compared, there were a significant change in hemorrhage, edema,
178 PNL infiltration and MNL infiltration in HA groups related to the dose. P values were
179 determined as 0.013-0.014-0.018-0.019, respectively ($p < 0.05$) (Figure 1 A-B-C).
180 However, no change was observed in axonal swelling ($p = 0.39$). Although a decrease of
181 0.5 units in chromatolysis value was found, this was not statistically significant ($p = 0.08$)
182 (Table 2). The number of neurons stained with TUNEL was lower in the sham group as
183 there was no spinal cord trauma. Also, there was no significant change in nucleus of the
184 neurons stained with TUNEL when we compare the control group with the HA injected
185 groups ($p=0.92$) (Figure 2 A-B)

186 **3.3. Biochemical analysis**

187 Blood samples collected before the Spinal Cord injury or Laminectomy showed no
188 difference in TAS, TOS and OI values. There was a significant decrease in TAS values

189 between the sham group and the 10 mg/kg HA group in the first-hour blood samples
190 following the surgical procedure. Although there was no significant difference in TOS
191 levels between the control and HA groups, there was a decrease in TOS values at the 1st-
192 hour blood samples following the surgical procedure ($p=0.06$). OI was lower in the HA
193 groups when compared to control and sham groups, but statistical analysis showed no
194 significant result when they were analyzed together ($p=0.14$)

195 In 5 mg/kg HA group, there was a significant decrease in TOS values in 24th –hour
196 compared to the control group. Although there was a decrease in TOS levels in HA 10
197 mg/kg group compared to the control group, the p -value was 0.06. (Table 1). The
198 oxidative index value in 24th hour blood samples was lower in the group, injected with
199 HA 5 mg/kg than HA 10 mg/kg compared to the control group. But this difference was
200 not significant.

201 **4. Discussion**

202 In the pathophysiology of the Traumatic Spinal Cord Injury (TSCI), there are primary
203 injury and secondary injury cascades. The disintegration of ion hemostasis, glutamate
204 excitotoxicity, mitochondrial dysfunction and microvascular deterioration occurs within
205 secondary damage mechanisms and lead to oxidative stress by causing free radical
206 formation directly or indirectly. As a result of uncontrolled chain reactions, secondary
207 damage cascade further causes ROS (Reactive Oxygen Species) production,
208 inflammation, apoptosis and neuronal damage. Nowadays, there is no consensus on any
209 agent to be used in spinal cord injury as there are harmful side effects.

210 One of the main concerns in traumatic spinal cord injury is the reactive oxygen species
211 in the early stages of spinal cord injury. There were a sudden increase in superoxide and
212 hydroxyl radicals (O_2^- and $\bullet OH$) in spinal cord injury models and stated that it was high

213 up to 10 hours. [10, 11] Malondialdehyde increase in the first 5 hours in lumbar puncture
214 samples taken after TSCI models was also shown. [11] Similarly, another study found an
215 increase in MDA and cyclic guanosine monophosphate (cGMP) levels in about 1 hour.
216 [11] In TSCI rat models, with microdialysis and high-pressure liquid chromatography
217 method, MDA has been shown to increase as early as 2 hours. [11]

218 Studies mentioned above, studied each oxidant and anti-oxidants separately. We
219 performed total antioxidant and total oxidant status analyses. Total antioxidant status
220 shows the body's defense against oxidative stress. Antioxidants found in the circulating
221 blood in our body help in the removal of ROS. Antioxidants are transported through the
222 blood to the whole body to maintain this redox balance. These redox reactions carry out
223 various antioxidants and it is not practical to measure all of them separately, so it is
224 appropriate to measure total antioxidant capacity. Likewise, Xanthine oxidase in the
225 body, glycolate oxidase, monoamine oxidase, such as the presence of endogenous
226 oxidative enzymes such as the measurement of TOS was found to be appropriate. [12]

227 Humic acid is found to have antioxidant properties through phenol, carboxyl acid and
228 quinone in its structure. [13, 14] Ozkan et al. examined the antioxidant and oxidant effects
229 of HA in pathological examinations in the cerebral ischemia model. In the study, 10
230 mg/kg HA injection group, compared to the control group; Superoxide dismutase and
231 Nuclear Respiratory factor-1 levels increased, while MDA levels were significantly
232 decreased. [15] Histopathologic evaluations supported that ischemia-related damage was
233 lesser. Another study by Akbas et al. showed a significant increase in TAS values in the
234 renal ischemia model. TOS, OI and IMA (ischemia modified albumin) values were found
235 to be significantly lower in HA groups compared with the control group. [8] Tubular
236 dilatation, tubular cell degeneration and necrosis, bowman capsule dilatation, tubular

237 hyaline particles and tubular cell distribution in hematoxylin and eosin staining showed
238 improvement compared to control group. Apoptosis evaluation by TUNEL technique
239 indicated a significant decrease in apoptotic cells. Although there are examples of benefits
240 in the literature, there are articles presenting opposing views. Cheng et al. showed an
241 increase in superoxide anions and decrease in glutathione and other antioxidants with the
242 administration of HA, giving rise to cause oxidative stress. [16]

243 In our study, there were no significant differences between the groups in terms of TAS
244 values taken at the first hour postoperatively in HA injected groups. On the other hand,
245 compared to the control group, the groups injected with 5mg/kg and 10mg/kg HA showed
246 decrease in TOS values at the 1st hour, but the p value was found to be statistically
247 insignificant ($p = 0.11$ and 0.06). Although there was a decrease in oxidative index value
248 comparing the control group and HA groups at the first post-operative hour, it was not
249 statistically significant ($p = 0.77$ and 0.62). There was a statistically significant decrease
250 in TOS values in the group receiving 5 mg/kg HA in 24th-hour blood samples from rats
251 ($p < 0.05$) (Table 2). According to these findings in variable doses of HA injection after
252 TSCI, there was no correlation between the improvement of movement and oxidative
253 stress.

254 Also, the anti-inflammatory effects of humic substances have been shown in previous
255 studies either by blocking adhesion molecules or inhibiting the phagocytic stimulants.
256 [17] It is found out that humic substances cause anti-inflammation by way of inhibiting
257 the degranulation and adherence of neutrophils. [17, 18] In another study by Goel et al, it
258 has been reported that they have a strong anti-inflammatory effect causing a decrease in
259 paw edema, which was induced by protein injection in the legs of rats and used as a
260 measure of inflammation, by intraperitoneally injected HA. [18, 19] It is shown a relation

261 between HA and blood flow stimulating effects, that may be related to anti-inflammatory
262 features. [18, 19]

263 In a study conducted by the European Agency for Evaluation of Medicinal Products
264 (EMA), protective effects on the intestinal mucosa, antitoxic and antimicrobial effects
265 were demonstrated. [20] Some long-term follow-up studies showed several effects of
266 humic acids. In literature, the antiviral activity of HA is reported on HIV (human
267 immunodeficiency virus) in in vitro studies. Vuckits et al, showed increased humoral
268 immunity response in humic acid supplemented rats in 26 days follow-up. They also
269 found out increased persistence of antibodies in the system. [21] Beside Joone et al.
270 showed that oxyhumate increases interleukin-2 receptors and indirectly increases T-
271 helper cell activity. [22] Similar to our study, but in a long term follow up (36 days),
272 Weber et al, showed that HA may play a role in negating the effects of oxidative stress,
273 with no effect on the Lipopolysaccharide induced Interleukin- 6 response. [23] Çalışır et
274 al, studied the long term effect of humic acid on wound healing. After 3 weeks of humic
275 acid administration the found out statistically significant difference between the saline
276 control and the chlorhexidine gluconate group at the end of three weeks.They observed
277 reduced inflammation and the granulation tissue with constricted mucosal epithelial
278 layer. [24]

279 When the groups were evaluated together in terms of pathological examinations, there
280 was a statistically significant improvement in edema, hemorrhage, PNL, MNL / microglia
281 / macrophage evaluations ($p < 0.05$). In the paraplegia evaluation with the modified Tarlov
282 Scoring, there was a significant improvement in the HA group compared with the control
283 group ($p < 0.001$) (Table 1). This may be related to anti-inflammatory or blood circulation
284 stimulation effects of HAs.

285 There were some limitations regarding our study. First of all we just studied the early
286 stages rather than long term effects. Secondly other inflammatory pathways may be studied
287 to find the possible effective cascade of humic acid. Lastly, the groups have low number
288 of rats due to ethical issues.

289 Although there was no significant difference in apoptotic cell count with TUNEL
290 technique. There were significant histopathological changes such as decreased
291 hemorrhage, edema, polymorhonuclear leucocytes and mononuclear leucocytes. As the
292 motor function preserved significantly in HA groups depending on the dose, these
293 findings may be supportive of HA in different doses are effective on spinal cord injury.
294 In our study we conducted the early possible effects of humic acids in the spinal cord
295 injury model. It must be further supported by larger case series not only in early stages
296 but also in an extended period of time in chronic stages as there may be different effects
297 regarding the time and continuous dosing of humic acids. We should also investigate the
298 exact effect of HA on inflammatory substances and other possible mechanisms in TSCI
299 to be used as an alternative therapy in the future.

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379

380 Table 1: Statistical analysis of histopathological and neurological outcome

	Control group	Sham group	Humic acid	Humic acid	P
	I	II	5mg/kg	10mg/kg	
	III	IV			
	(n=7)	(n=7)	(n=7)	(n=7)	
	G.Med.	G.Med.	G.Med.	G.Med.	
	(Min/Max)	(Min/Max)	(Min/Max)	(Min/Max)	
Hemorrhage^a	1.0 (0 / 2) ^{II,III}	3.0 (1 / 4)	3.0 (2 / 4)	2.0 (1 / 3)	0.013
Edema^a	0.5 (0 / 1) ^{II,III}	1.3 (1 / 2)	1.1 (1 / 2)	1.0 (1 / 1)	0.014
Necrosis^a	0.2 (0 / 1)	0.8 (0 / 1)	0.3 (0 / 2)	0.2 (0 / 1)	0.057
PNL^a	0.0 (0 / 0)	0.8 (0 / 2) ^{I,IV}	0.5 (0 / 2)	0.0 (0 / 0)	0.018
MNL^a	0.3 (0 / 1) ^{II,III}	1.3 (1 / 2)	1.3 (1 / 2)	1.0 (0 / 2)	0.019
Axonal Swelling^a	0.5 (0 / 1)	0.8 (0 / 1)	1.0 (0 / 2)	0.8 (0 / 1)	0.390
Chromatolysis^a	0.3 (0 / 1)	1.2 (1 / 2)	1.0 (0 / 2)	0.5 (0 / 1)	0.080
Paraplegia^b	4.5 (4 / 5) ^{II,III}	0.2 (0 / 1) ^{IV}	0.3 (0 / 1)	1.2 (1 / 2)	<0.001

Classification for superscripts a: (0: No damage, 1: Very mild, 2: Mild, 3: Moderate, 4: Severe)

Classification for superscripts b: (0: Flacid, 1: Spastic, 2: Severe, 3: Moderate, 4: Mild, 5: Normal)

Kruskal Wallis Test(Monte Carlo), Post Hoc Test : Dunn's Test, n:number, G.Med.:Grouped Median, Min:Minimum, Max.:Maximum

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Table 2: Statistical analysis of Biochemical findings. Comparment between each groups

P	P	P	P	P	P
I vs III	I vs IV	II vs III	II vIV	III vs IV	I vs II

TAS Post-op 1st hour	0.22	0.007	0.77	0.21	0.07	0.21
TOS post-op 1st hour	0.096	0.07	0,11	0.06	0.06	0.77
OI post op-1.	0.44	0.12	0,77	0.62	0.34	0.22
TAS post-op 24th hour	0.71	0.09	0,88	0.74	0.61	0.93
TOS post-op 24th hour	0.20	0.48	0.02	0.06	0.33	0.47
OI post-op 24th hour	0.56	0.07	0.83	0.24	0.17	0.52

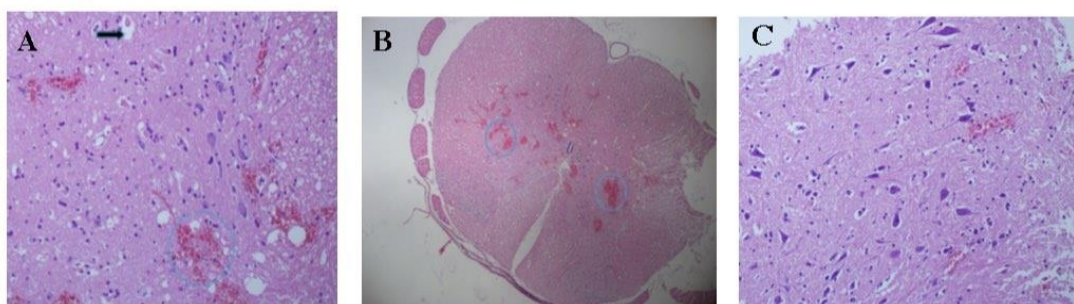
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388 TAS/TOS/OI Post-op 1st hour and 24st hour: Biochemical analysis of TAS/TOS/OI
389 levels in blood samples collected after 1 hour and 24 hour from the surgical procedure
390 (either laminectomy or spinal cord trauma).

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Figure 1:



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395 Figure 1: Hemotoxylene and Eosin staining showing: (A) Dense hemorrhage areas (in
396 circle), chromatolysis and inflammation (arrow) (x200) (Control Group) , (B)
397 Hemorrhage spots in low magnification (x40) (Control Group), (C) A sample only with
398 minor areas of haemorrhage and low mononuclear lymphocytes (x200) (Humic acid 10
399 mg/kg)

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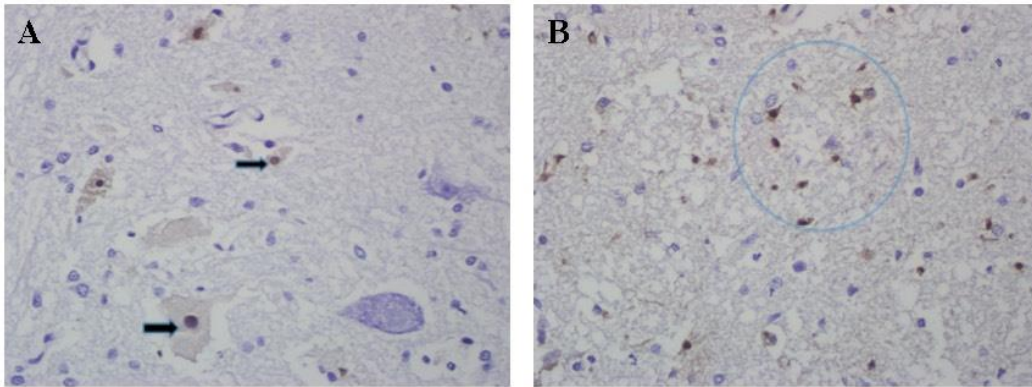
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412 **Figure 2:**

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Figure 2: Positive staining (in brown color) with terminal deoxynucleotidyl transferase dUTP nick-end labeling in two different low-dose humic acid group specimens, showing the apoptotic activity in nucleus of neurons (A) and wide range of cells (B) .

420 **Abbreviations:** HA= Humic Acid; TAS= Total Antioxidant Status; TOS= Total Oxidant
421 Status; OI= Oxidative Index; TSCI= Traumatic Spinal Cord Injury; PNL=
422 Polymorphonuclear Leukocyte ; MNL= Mononuclear Leukocyte; GM1=
423 Monosialotetrahexosyl ganglioside; MDA= Malondialdehyde; H&E= Hematoxyline and
424 Eosin; TUNEL= The terminal deoxynucleotidyl transferase dUTP nick end labeling;
425 ROS= Reactive Oxygen Species, Hpf= High Power Field, AU= Arbitrary Unit, °C=
426 Celcius Degree
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