

1 Key Words: [LiNb(OC₆H₃Me_{2-2,4})₆], thermal decomposition, dielectric properties,
2 LiNbO₃

3 **1. Introduction:**

4 Lithium niobate (LiNbO₃, LN) a ferroelectric compound as monocrystals/ nanocrystals/
5 nanopowders/ microfibers/ thin films has drawn immense research interest due to its
6 numerous dielectric, electro-optic, ferroelectric, piezoelectric properties [1-6]. They find
7 utility in optical devices, microelectronic circuits, pyroelectric detectors, second
8 harmonic generation surface acoustic wave devices, holographic memory, modulators
9 etc [7-11]. As characteristics of the materials are strongly influenced by preparative
10 methods, LiNbO₃ powders have been reported to be prepared by conventional high
11 temperature processes such as single-crystal Czochralski growth [12], capillary liquid
12 epitaxial technique [13], Stepanov growth [14], laser-heated pedestal growth [15], sol-
13 gel [16], Pechini method [17], amine assisted method [18], hydrothermal synthesis [19],
14 wet chemical method [20], polymeric precursor method [21], solvothermal method [22]
15 etc. The control of the stoichiometry, size and homogeneity during preparation process
16 is highly desirable in all the methods. The conventional solid-state method involves
17 high cost and time and temperature above 1200°C resulting in the evaporation of
18 lithium content and formation of non-stoichiometric product [23]. Literature reveals that
19 metal alkoxides have been recognised as versatile potential candidates for functional
20 inorganic materials because of their mechanical, electronic and optical properties of
21 utility in material science. The new insight in the role of modifying ligands in the sol-
22 gel processing of metal alkoxide precursors as a possibility to approach new classes of
23 materials has been reported [24]. The synthesis, structural principles and reactivity of
24 heterometallic alkoxides, multicomponent oxides have also been extensively

1 investigated owing to their enormous importance as potential molecular precursors. The
2 stoichiometric LiNbO_3 crystal, with an equal molar ratio of Li and Nb, has interesting
3 properties and is beneficial in many applications, because most of its useful qualities are
4 dependent on the Li/Nb ratio [25,26]. Hence the synthesis and structural
5 characterization of dimeric molecular precursor has been reported for the preparation of
6 lithium niobate powders by their controlled partial hydrolysis. The synthesis of novel
7 lithium-niobium(V) oxoaryloxyde $\text{LiNbO}(\text{O}-2,6\text{-PhMe}_2)_4 \cdot 3\text{THF}$ as monomeric binuclear
8 complex and lithium-niobium(V) chlorido aryloxyde $[\text{LiNbCl}_3(\text{O}-2,6\text{-PhMe}_2)_2 \cdot 2\text{THF}]_2$
9 as dimeric tetranuclear complex by metathesis reaction of NbCl_5 with lithium-2,6-
10 dimethylphenoxide $\text{LiO}-2,6\text{-PhMe}_2$ in THF at room temperature has been reported [27]
11 The use of niobium alkoxides for the production of mixed-metal oxide thin films
12 materials has also been reported [28]. The synthesis of lithium niobium complexes
13 without any intermolecular bonds or bridging units has been reported by the
14 incorporation of bulky aryl groups viz. methyl side chains at aromatic ring [29]. Despite
15 the fact that numerous reports describe the preparation, characterization and potential
16 applications of LiNbO_3 , there is continuous search for new improved precursors, simple
17 preparative methods and fascinating properties in material science. In continuation of
18 our interest on niobium aryloxides [30-34] we herein report the synthesis and
19 characterization of new heterometallic lithium niobium aryloxyde $[\text{LiNb}(\text{OC}_6\text{H}_3\text{Me}_2\text{-}$
20 $2,4)_6]$ and its exploration as single-source precursor to lithium niobate nanopowder by
21 TG-DTA techniques. The FT-IR, XRD and SEM methods have established the
22 formation of LiNbO_3 . The dielectric properties of as obtained LiNbO_3 have also been
23 studied.

24 **2. Experimental**

1 2.1 Materials and methods

2 The reagent grade solvents were distilled and dried by standard methods before use.
3 Niobium pentachloride (Fluka) was used without further purification; however, its
4 purity was checked by chlorine analysis. 2,4-Dimethylphenol (B.pt. 25-26°C, Merck)
5 and lithium metal (Merck) were used. The niobium content in complex was estimated as
6 Nb₂O₅ after decomposing it with a mixture of conc. H₂SO₄ and HNO₃ followed by
7 heating at 650-700 °C. Micro-analyses for carbon and hydrogen were performed on
8 Eager 300 NCH System Elemental Analyzer. The molecular weight was determined
9 cryoscopically in benzene (0.0015-0.0020 M) using a Beckmann thermometer. Infrared
10 spectra were recorded (KBr pellets) on Nicolet-5700 FTIR Spectrometer. ¹H NMR
11 spectra was recorded on BRUKERAVANCE II 400 Spectrometer using TMS as an
12 internal standard and CDCl₃ as solvent. The thermal behaviour of heterometallic
13 aryloxide was studied by TG-DTA techniques on NETZSCH Geratebau GmbH TG-
14 DTA thermal analyzer in an air atmosphere at a heating rate of 10°C/ min from room
15 temperature to 800°C. The phase identification and structure analysis of LiNbO₃
16 powders were carried out by X-ray diffraction (XRD) on Philips X-ray diffractometer
17 (Model PW 3071 X'Pert PRO') equipped with Cu-K α radiation ($\lambda=1.5416 \text{ \AA}$) from $2\theta =$
18 20° to 70° . The microstructure and morphology analyses were carried out on 'FEI
19 Quanta 250' scanning electron microscope operating at 20kV. The LN powder was
20 pressed into round pellet on KBr hydraulic press at a pressure of 3 tons and 9mm in
21 diameter and 2mm in thickness. It was sintered at 700°C. The pellet was polished and
22 surfaces were made flat, electrode with dry conducting silver paste and dried at 150°C
23 for 1h to remove moisture. It was then cooled to room temperature. The dielectric

1 properties of LN nanopowder were measured as a function of frequency and
2 temperature on an LCR meter (PSM 1735).

3 **2.2. Synthesis of [Nb(OC₆H₃Me₂-2,4)₅]**

4 The synthetic procedure for [Nb(OC₆H₃(CH₃)₂-2,4)₅] has been published previously by
5 us.²⁹

6 **2.3. Synthesis of [Li(OC₆H₃Me₂-2,4)]**

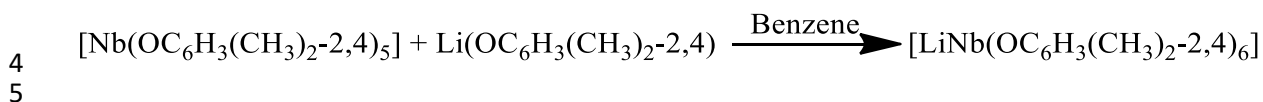
7 The lithium salt of 2, 4-dimethylphenol was prepared by the reaction of fine cut lithium
8 metal (1g; 0.14mol) with an equimolar amount of 2,4-dimethylphenol (17.4g; 0.14mol)
9 in benzene at room temperature. The contents were stirred for 5-6 h during which the
10 separation of white solid was observed. It was filtered under anhydrous conditions,
11 washed 2-3 times with dry benzene and finally dried under vacuum. Yield 86%. Anal.
12 Calcd. for **C₈H₉OLi** /128 (%): C, 75.00; H, 7.03. Found (%): C, 74.46; H, 6.94.

13 **2.4. Synthesis of [LiNb(OC₆H₃Me₂-2,4)₆]**

14 In a typical reaction, to a suspension of [Nb(OC₆H₃(CH₃)₂-2,4)₅] (3.62g; 0.0052 mol) in
15 dry benzene was added a suspension of [Li(OC₆H₃(CH₃)₂-2,4)] (0.66g, 0.0052 mol) in
16 the same solvent. The reaction mixture was initially stirred and then refluxed for 24 h.
17 The addition of petroleum ether gave dark brown powder and was dried under vacuum.
18 It was recrystallized from benzene. Yield 78%. Anal. Calcd. for **C₄₈H₅₄O₆NbLi** /826
19 (%): C, 69.73; H, 6.53; Nb, 11.26. Found (%): C, 69.46; H, 6.24; Nb, 11.14. Λ_m ,
20 (PhNO₂): 7.68 Scm²M⁻¹. IR (KBr, cm⁻¹): 3028, 2964, 2858, 1548, 1479, 1354, 1275,
21 1240, 1151, 1127, 1071, 1033, 894, 755, 698, 611, 556, 552. ¹H NMR (400 MHz,
22 CDCl₃, ppm): δ 7.28(s, 6H, ArH-3), δ 7.14(d, 6H, ArH-5), δ 6.99(d, 6H, ArH-6), δ 2.43(s,
23 18H, ArCH₃-2), δ 2.87(s, 18H, ArCH₃-4).

24 **3. RESULTS AND DISCUSSION**

1 The reaction of $[\text{Nb}(\text{OC}_6\text{H}_3\text{Me}_2\text{-2,4})_5]$ with $[\text{Li}(\text{OC}_6\text{H}_3\text{Me}_2\text{-2,4})]$ in 1:1 molar ratio in
2 benzene under reflux has led to the formation of dark brown heterometallic aryloxide in
3 conformity with elemental analyses according to the equation:



6 **Scheme 1. Synthesis of $[\text{LiNb}(\text{OC}_6\text{H}_3\text{Me}_2\text{-2,4})_6]$**

7 The complex is quite stable in dry air and appreciably soluble in benzene, nitrobenzene
8 and chloroform. The molar conductance value ($7.68 \text{ Scm}^2\text{mol}^{-1}$) of the millimolar
9 solution of complex in nitrobenzene has indicated its appreciable electrolytic nature
10 relative to parent pentaaryloxide $[\text{Nb}(\text{OC}_6\text{H}_3(\text{CH}_3)_2\text{-2,4})_5]$ ($0.37 \text{ Scm}^2\text{mol}^{-1}$).

11 **3.1. IR Spectra**

12 The formation of heterometallic complex has been established from a comparison of its
13 FTIR spectra with that of $[\text{Nb}(\text{OC}_6\text{H}_3\text{Me}_2\text{-2,4})_5]$ recorded in $4000\text{-}400 \text{ cm}^{-1}$ region. The
14 $\nu(\text{C-O})$ mode has been found to shift towards higher region by $15\text{-}20 \text{ cm}^{-1}$ for
15 $\text{Li}[\text{Nb}(\text{OC}_6\text{H}_3\text{Me}_2\text{-2,4})_6]$ and occurred at $1275\text{-}1240 \text{ cm}^{-1}$ range relative to
16 $[\text{Nb}(\text{OC}_6\text{H}_3\text{Me}_2\text{-2,4})_5]$ ($1260\text{-}1220 \text{ cm}^{-1}$) which may be attributed to the fact that the
17 negative charge existing on metal in $[\text{Nb}(\text{OC}_6\text{H}_3\text{Me}_2\text{-2,4})_6]^-$ decreases the drainage of
18 electron density from the ring to the metal thereby, strengthening the C-O bond and
19 resultant higher frequencies for the $\nu(\text{C-O})$ mode. The bands appeared at 1151 cm^{-1} and
20 1127 cm^{-1} have been ascribed to $\nu(\text{Li-O})$ mode. The bands at 552 cm^{-1} and 556 cm^{-1}
21 have been assigned to $\nu(\text{Nb-O})$ vibrations.

22 **3.2. ^1H NMR Spectra**

23 The ^1H NMR spectrum of $[\text{LiNb}(\text{OC}_6\text{H}_3\text{Me}_2\text{-2,4})_6]$ displayed signals in $\delta 6.99\text{-}7.28 \text{ ppm}$
24 and $\delta 2.43\text{-}2.87 \text{ ppm}$ range due to phenolic ring protons and methyl substituents

1 respectively shifted downfield relative to those occurred at δ 6.81-6.64 and δ 2.08-2.20
2 in $[\text{Nb}(\text{OC}_6\text{H}_3\text{Me}_{2-2,4})_5]$.

3 **3.3. Thermal Studies**

4 The TG curve of $\text{Li}[\text{Nb}(\text{OC}_6\text{H}_3\text{Me}_{2-2,4})_6]$ (Figure 1) recorded in air has shown it to be
5 thermally stable upto 55°C after which temperature a continuous single stage
6 decomposition is indicated by the non-observance of any plateau in TG curve thereby
7 excluding the possibility of formation of any intermediate. The experimental mass loss
8 of 82.78% as compared to calculated 82.12% in $55\text{-}685^\circ\text{C}$ range has accounted for the
9 formation of LiNbO_3 as the pale yellow decomposition product indicating that
10 temperature for $\text{Li}[\text{Nb}(\text{OC}_6\text{H}_3\text{Me}_{2-2,4})_6]$ precursor to obtain quality LiNbO_3 powder is
11 685°C . It is pertinent to maintain here that heat treatment at temperature above 500°C
12 has reported to promote the formation of LN crystals and increase in the particle size
13 [35]. The formation of LN polycrystalline phase has been reported to be formed directly
14 from the thermal decomposition of the precursor gel by heat treatment at 600°C for 2 hr
15 [36]. The DTA curve of $[\text{LiNb}(\text{OC}_6\text{H}_3\text{Me}_{2-2,4})_6]$ has shown two exothermic peaks at
16 446°C and 558°C assigned to combustion of organic species.

17 **3.4 Characterization of LiNbO_3 powder**

18 An accelerating research interest has been drawn in processing of materials in
19 nanometer and micrometer scales owing to their diverse potential applications
20 associated with size, geometry and morphology. The development of molecular
21 precursors has largely increased over the years and numerous synthetic strategies have
22 evolved for LiNbO_3 nanopowder (Table 1).

23 **3.4.1. IR Spectra**

1 The IR spectrum of as obtained LiNbO₃ nanopowder (Figure 2) has shown an
2 absorption band at 570 cm⁻¹ assigned to Nb–O vibration in crystal structure [49]. The
3 bands due to Li–O vibrations have appeared at 1117 cm⁻¹ and 1128 cm⁻¹. The non-
4 observance of absorption bands due to ν(C–O) mode occurred in precursor has
5 substantiated the formation of LiNbO₃ nanopowder.

6 **3.4.2. XRD Spectra**

7 The X-ray diffraction (XRD) pattern of LiNbO₃ in Figure 3. has shown the major peaks
8 indexed as 012, 104, 110, 006, 113 and 202 and no reflection peaks assignable to Li₂O,
9 Nb₂O₅, LiNb₃O₈ or other second phase appeared. The crystalline phase of LiNbO₃
10 nanopowder has been assigned as a trigonal, in agreement with the previously reported
11 data (ICCD Card File No. 20-361). The average crystallite size has been calculated
12 using Scherrer equation [50]:

$$13 \quad \tau = \frac{K\lambda}{\beta \cos\theta}$$

14 where τ is the mean size of the ordered (crystalline) domains, smaller or equal to grain
15 size, K a dimensionless shape factor with a value close to unity i.e. typical value of
16 ~0.9 but varies with actual shape of the crystallite, λ the wavelength of incident ray
17 (1.5418 Å), β the full width at half the maximum intensity (FWHM) and θ Bragg's
18 diffraction angle. The particle size has been found to be 54 nm.

19 **3.4.3. SEM**

20 SEM images were acquired in order to understand the morphology of the LiNbO₃
21 particles. These images exhibits highly agglomerated particles having some voids.
22 Images also show that the particles have cluster-like nanostructures with non-uniform

1 size distribution. A representative SEM image of LiNbO₃ particles is depicted in Figure
2 4. ImageJ software [51] provided further insight into the particle size distribution profile
3 of the LiNbO₃ particles and average particle size has been found to be 98 nm (Figure 5).

4 **3.4.4. Dielectric properties**

5 A few scattered reports are available on the dielectric properties of significantly
6 important LiNbO₃ nanopowders of technological potential. The dielectric constant (ϵ)
7 and dielectric loss ($\tan\delta$) factors usually determine the suitability of a particular material
8 for electronic applications. Dielectric responses result from the short-range motion of
9 charge carriers under the influence of applied electric field. The electrical energy upon
10 applying a.c. voltage is absorbed by the dielectric which may be dissipated in the form
11 of heat termed as dielectric loss. The displacement of charge leads to the storage of
12 electrical energy which is the capacitance of the dielectric. The capacitance of flat,
13 parallel metallic plates of area A and distance between the plates d is given by the
14 expression:

$$15 \quad C = \frac{\epsilon A}{d} = \frac{k\epsilon_0 A}{d}$$

16 where ϵ_0 is the permittivity of free space (8.854×10^{-12} F/m)

17 k = relative permittivity of the dielectric material and for free space k = 1

18 For a dielectric material, an oscillating field ($E = E_0 e^{i\omega t}$) and the displacement field
19 ($D = D_0 e^{j(\omega t - \delta)}$) lead to a complex quantity as

$$20 \quad \frac{D_0}{E_0} e^{-j\delta} = \epsilon'_r - j\epsilon''_r = \epsilon_r^*$$

1 where the relative permittivity or dielectric constant $\epsilon_r' = \frac{D_0}{E_0} \cos \delta$ and dielectric loss
2 $\epsilon_r'' = \frac{D_0}{E_0} \sin \delta$ and $\tan \delta = \frac{\epsilon_r''}{\epsilon_r'}$ is called loss tangent or dissipation of the dielectric
3 indicating the amount of energy that is dissipated in the dielectric when an electric field
4 is applied across it.

5 Literature reports that conduction in nanocrystalline LN may result from oxygen
6 vacancies, low pressure (<1 atm), lithium ions in high pressure conditions and Li/Nb
7 ratio both for low and high pressure [52]. The frequency dependence of dielectric
8 constant (ϵ) and variation of loss tangent or dissipation ($\tan \delta$) at room temperature in
9 Figure 6 has shown that both the parameters have been found to decrease with increase
10 in frequency indicating a normal behavior of dielectric material at room temperature
11 [53] The variation of dielectric constant (ϵ) of LiNbO₃ nanopowder as a function of
12 temperature at different frequencies (Figure 7) has shown that dielectric constant (ϵ)
13 first decreases and later increases with increasing temperature for frequency range
14 1kHz-100kHz. For frequency 1000kHz the dielectric constant values has been found to
15 decrease with increase in temperature. The high dielectric constant at low frequencies
16 may be attributed to polarization effects at the electrode-electrolyte interface with the
17 presence of Li⁺ ion hopping conduction [54,55] The variation of loss tangent ($\tan \delta$) as a
18 function of temperature at different frequencies (1kHz-1MHz) (Figure 8) seems to
19 follow the behaviour similar to that of dielectric constant i.e. increase of $\tan \delta$ with rise
20 in temperature which may be ascribed to the enhancement in conductivity [56,57]. The
21 low dielectric loss for a given sample at high frequency suggests superior optical quality
22 with lower flaws and this parameter plays an important role in nonlinear optical

1 materials. The rapid increase of loss tangent ($\tan\delta$) at high temperature in low frequency
2 region may be attributed to space charge polarization.

3 **4. Conclusions**

4 The new heterometallic lithium-niobium aryloxide [$\text{LiNb}(\text{OC}_6\text{H}_3\text{Me}_{2-2,4})_6$] has been
5 synthesized and characterized. Thermal decomposition of complex studied by
6 thermogravimetric technique in air has yielded LiNbO_3 as decomposition product at
7 684.54°C . XRD pattern of as obtained LiNbO_3 has shown trigonal crystalline phase
8 with average crystallite size of 54nm. The uniform shape with spherical morphology has
9 been inferred from SEM. The dielectric studies of LiNbO_3 nanopowder have revealed
10 that both the dielectric constant (ϵ) and dielectric loss ($\tan\delta$) decrease with an increase
11 in frequency and increases with rise in temperature which are suggestive of frequency-
12 dependent behaviour. The present work has shown that [$\text{LiNb}(\text{OC}_6\text{H}_3\text{Me}_{2-2,4})_6$] finds
13 use as a promising single source molecular precursor to obtain good quality LiNbO_3
14 nanopowder by thermal decomposition method at a reasonably low temperature and
15 reduced cost. The crystallization temperature of LiNbO_3 in the current study has been
16 shown to be close to the previously studied lithium niobium aryloxides. It is worth
17 mentioning that LiNbO_3 obtained from the non-alkoxide or halide precursors requires
18 significantly higher temperature for the decomposition of the intermediates. The current
19 study deals with the synthesis of lithium nionium aryloxede as single source precursor
20 to LiNbO_3 nanopowders, but additional work is required to study the effect of lattice
21 strain and grain size on the dielectric properties - both of which will be subjects of
22 future work.

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10 $\text{O})(\text{OCH}_2\text{CH}_3)_5\}_2$, and $\{[\text{H}_3\text{CC}(\text{CH}_2\text{O})_2(\text{CH}_2\text{-}\mu\text{-O})]\text{Nb}(\text{OCH}_2\text{CH}_3)_2\}_2$ for
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1 **Table1. Preparative methods of LiNbO₃ from different precursors**

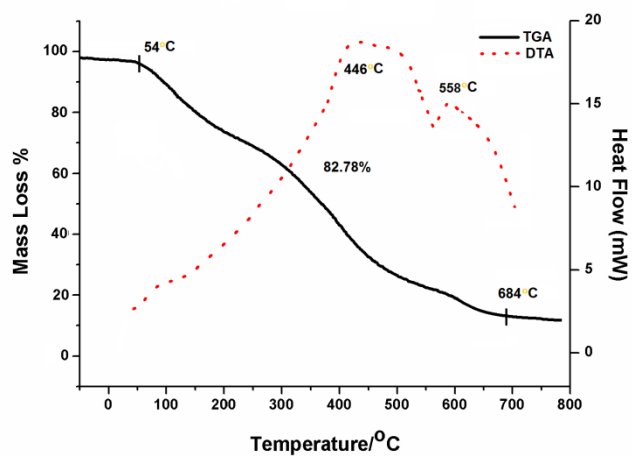
Method	Precursors	Crystal specifics	Ref.
Non-aqueous	Nb(OC ₂ H ₅) ₅ , Lithium	20-60 nm, PD, prismlike crystals, independent	45
Quasireverse emulsion	Nb ₂ O ₅ , LiOH.H ₂ O in the presence of CTAB or SDS	100 nm PC, MD hollow spheres	46
Solvothermal	Nb ₂ O ₅ , Li ₂ CO ₃	0.4-2.1 μm cubic shaped crystals	47
THF activation assisted	NbCl ₅ , Ethyllithium	20-50 nm lightly aggregated, spherical particles	48

Sol-gel (Low temperature)	1. NbCl ₅ and LiOAc* Ac*= acetate	40-60 nm spherical crystals lightly fused 100-200 nm at higher temperature	17
Sol-gel (Pechinni method)	1. Nb ₂ O ₅ , Li ₂ CO ₃ , citric acid, ethylene glycol 2. NbCl ₅ , LiNO ₃ and citric acid 3. Nb ₂ O ₅ , LiNO ₃ and citric acid	70-100 nm highly fused crystal ~40 nm spherical particles ~87 nm	49 18 50
Thermal decomposition	1. NH ₄ H ₂ [NbO(C ₂ O ₄) ₃] and LiNO ₃ 2. LiNbO(OC ₆ H ₃ -(CH ₃) ₂ - 2,6) ₆]	88 nm fused crystals 40-50 nm fine	51 28

		crystalline grains	
Hydrothermal	1. Nb ₂ O ₅ , LiOH	40-100 nm flake like shape	52
	2. Nb ₂ O ₅ , LiOH.H ₂ O or LiNO ₃	2-4 μm hexahedron crystals	20
Wet-chemical	1. Nb ₂ O ₅ .5H ₂ O, Li ₂ CO ₃ , malic acid, oxalic acid and NH ₃ solution	53
	2. Nb(OH) ₅ , malic acid, NH ₃ solution	150 nm hexahedron shape	21
Mechanochemical method	Nb ₂ O ₅ and Li ₂ CO ₃	10-20 nm weakly aggregated	54
Hydrolysis and polycondensation method	Li(OEt) and Nb(OEt) ₅	52-100 μm	55
Sol-gel (Metal-organic route)	Lithium 2,4- pentanedionate, Nb(OEt) ₅ and 2-methoxyethanol	56

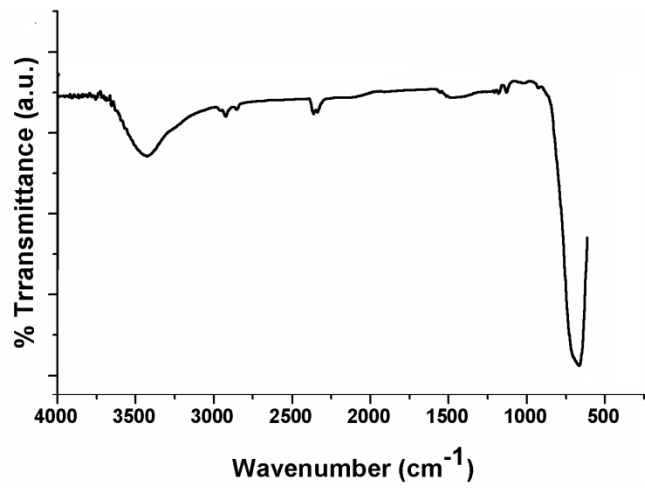
Thermal decomposition	[LiNb(OC ₆ H ₃ Me ₂ -2,4) ₆]	54 nm	This study
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Figure 1. TG-DTA curve of [LiNb(OC₆H₃Me₂-2,4)₆]



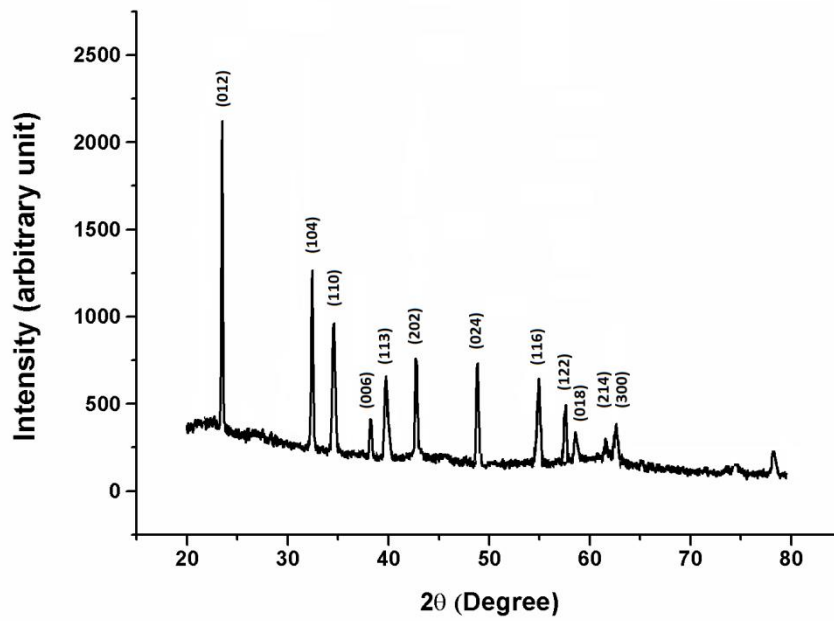
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Figure 2. IR spectrum of LiNbO₃ nanopowders

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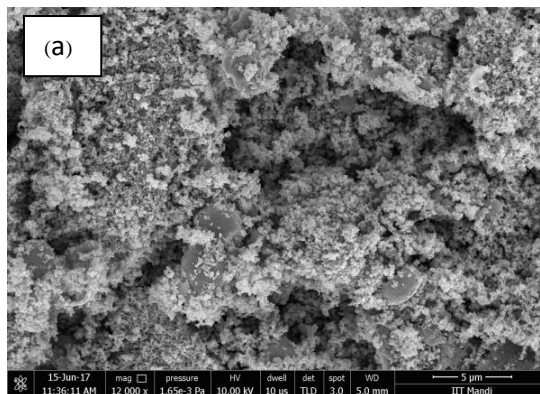
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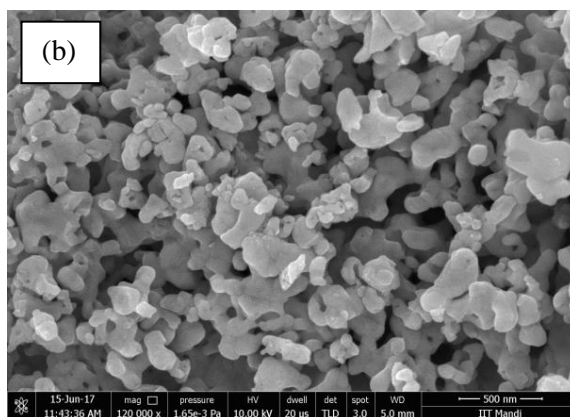
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Figure 3. XRD pattern of LiNbO₃ nanopowders

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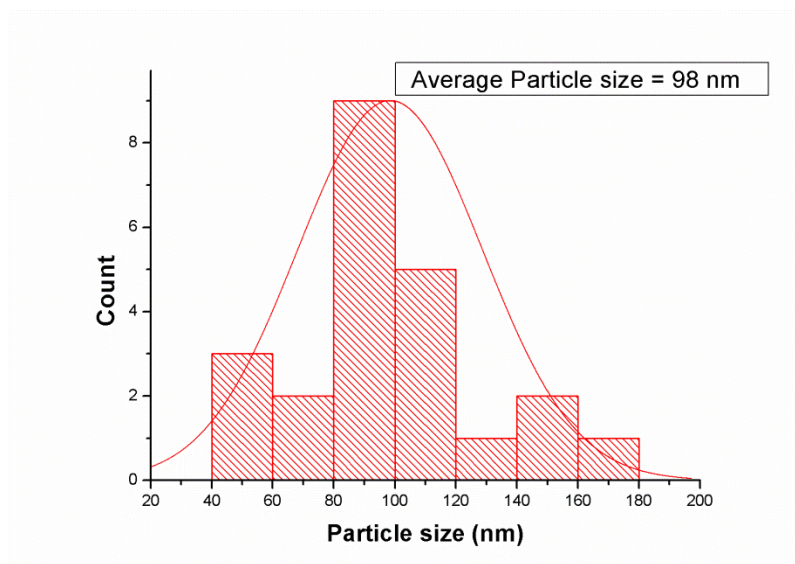


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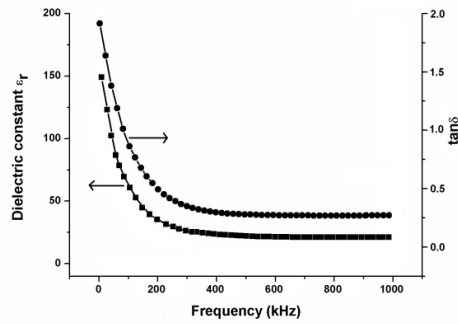
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3 **Figure 4. SEM images of LiNbO₃ nanopowders with magnification of (a) 12000×**
4 **and (b) 120000×**



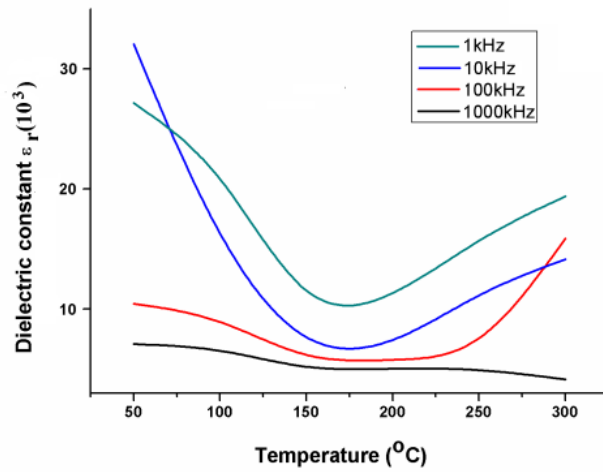
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6 **Figure 5. Particle size distribution of LiNbO₃ nanoparticles using ImageJ software**



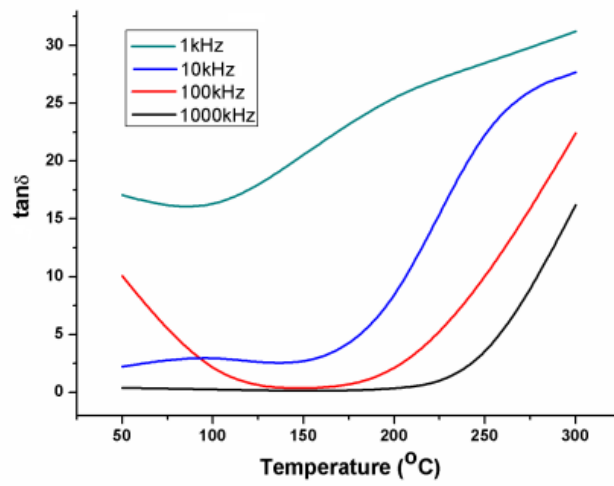
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2 **Figure 6. Variation of dielectric constant (ϵ_r) and loss tangent ($\tan \delta$) with**
 3 **frequency for LiNbO₃ nanopowder at room temperature**



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5 **Figure 7. Variation of dielectric constant (ϵ_r) as a function of temperature of as**
 6 **obtained LiNbO₃ nanopowder at different frequencies**



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2 **Figure 8. Variation of loss tangent ($\tan \delta$) as a function of temperature of LiNbO_3**

3 **nanopowders at different frequencies**