



24 **Conclusion:** Our study showed that serum periostin levels were decreased in viral infection-  
25 induced exacerbations and increased in the presence of eosinophilia independent from atopy  
26 and it can help to differentiate eosinophilia even if the patient is under long-term systemic  
27 steroid therapy. Also, serum IL-13 levels may reflect peripheral eosinophilia in patients  
28 without long term systemic steroid use.

29 **Keywords:** Severe asthma, asthma phenotypes, eosinophilic asthma, allergic asthma, non-  
30 allergic asthma, type-2 high asthma.

31

## 32 **1. Introduction**

33 International ERS/ATS guidelines on definition of severe asthma defines severe asthma as  
34 “asthma which requires treatment with high dose inhaled corticosteroids plus a second  
35 controller (and/or systemic corticosteroids) to prevent it from becoming ‘uncontrolled’ or  
36 which remains ‘uncontrolled’ despite this therapy” [1]. Severe asthma constitutes majority of  
37 the health-related expenses and the management of the disease remains challenging [1, 2].

38 Severe asthma is a heterogeneous condition that has different phenotypes with distinct clinical  
39 characteristics and different endotypes with distinct underlying pathophysiological  
40 mechanisms [3]. The current personalized severe asthma treatment protocols involve targeted  
41 biological therapies based on the phenotypes and biological markers [4, 5]. Hence cheap,  
42 feasible and easy-to-access biological markers that may help to identify the asthma  
43 phenotypes and potential treatment options for these phenotypes are needed. In our clinical  
44 practice, we identify asthma phenotypes according to the peripheral eosinophilia and/or atopy  
45 status of the patients [6, 7]. This classification is helpful in type-2 (T2)-high and T2-low  
46 asthma differentiation, and T2 targeted biological agent choice.

47 The differences in molecular mechanisms between the phenotypes remain unclear and also  
48 phenotypes may not be always adequate to reveal the underlying pathophysiological  
49 processes, which are called as endotypes. Two main endotypes of severe asthma are currently  
50 acknowledged: T2-high asthma, in which there is a significant T2 inflammation in airways;  
51 and T2-low asthma, where T2 inflammation is not significant [8-10]. T2-high asthma is  
52 typically characterized by eosinophilic airway inflammation of various degrees. Due to  
53 allergen-independent signaling processes, allergic mediators may not always be evident in T2-  
54 high asthma [8]. Downstream pathways are much better clarified in T2-high endotype which  
55 is mainly regulated by IL-4, IL-5, and IL-13 producing Th2 and type-2 innate lymphoid  
56 (ILC2) cells [11].

57 Patients with severe asthma experience frequent exacerbations and viral infections are among  
58 the most common causes [12]. It is known that viral infections increase the expression of  
59 airway epithelium-born cytokines such as IL-25, IL-33, thymic stromal lymphopietin  
60 (TSLP) and stimulate ILC2 responses. T1 and/or T2 inflammatory response profile may also  
61 emerge depending on the virus type [12]. The changes in inflammatory response in different  
62 asthma phenotypes, particularly in viral infection-induced exacerbation periods, remain  
63 unclear. Therefore, in this study we aimed to characterize stable and exacerbation period  
64 peripheral blood cytokine and periostin levels of 5 different pre-defined severe asthma  
65 phenotypes with real-life data. Changes in the viral infection-induced exacerbations were also  
66 analyzed.

67

## 68 **2. Materials and methods**

### 69 **2.1. Subjects and severe asthma phenotypes**

70 This prospective observational study was conducted in Erciyes University Division of Allergy  
71 and Clinical Immunology, Turkey, between November 2018 and October 2019. Adult patients  
72 who were under follow-up in our clinic for at least 6 months with the diagnosis of severe  
73 asthma [1] and matched control subjects were included. Inclusion and exclusion criteria are  
74 listed Table 1. Written informed consent was obtained from all participants. The study was  
75 approved by the research and ethics committees of Erciyes University (2018/289). The study  
76 was registered to the NIH trial registry with identifier NCT03563521.

77 We defined five different severe asthma phenotypes based on the presence/absence of  
78 aeroallergen sensitivity (at least one perennial aeroallergen skin prick test positivity of the 13  
79 common aeroallergens), peripheral eosinophilia and chronic rhinosinusitis with nasal  
80 polyposis (CRSwNP) in accordance with our previously published asthma phenotyping  
81 system, which is routinely used in our clinical practice [6] (Table 2). In order to better reflect  
82 the real-life data and make the subgroup analysis possible, patients who were under long-term  
83 systemic steroid treatment were also included in the study. Matched controlled group  
84 consisted of healthy subjects without asthma, aeroallergen sensitivity and peripheral  
85 eosinophilia.

## 86 **2.2. Sample collection and measurements**

87 The patients were evaluated during stable and also, if occurred, during the exacerbation  
88 periods. For the stable period data, pulmonary function data, ACT score and venous blood  
89 samples of the patients were collected and separated at the same visit. Acute and progressive  
90 worsening of asthmatic symptoms which require the use of systemic corticosteroids or an  
91 increase in the use of daily maintenance systemic corticosteroids to prevent a serious outcome  
92 accepted as acute exacerbation, and venous blood samples were collected and separated at the  
93 same visit.

94 Differential white blood cell count was carried out from all venous blood samples at the same  
95 visit using a Beckman Coulter Automated Complete Blood Count Analyzer (Beckman  
96 Coulter Inc., Fullerton, Miami, FL). Serum samples were frozen at  $-70^{\circ}\text{C}$  until the day of  
97 analysis and thawed for once before analysis. The serum concentrations of 8 cytokines and  
98 periostin were measured with a sandwich enzyme-linked immunosorbent assay system [IL-4  
99 (EK0404), IL-5 (EK0407), IL-10 (EK0416), IL-13 (EK0424), IL-17 (EK0430), IL-17E/25  
100 (EK0793), IL-33 (EK0929), TSLP (EK0958), periostin/OSF2 (EK0985) ELISA Kit  
101 PicoKine™ (Boster Biological Technology, Pleasanton CA, USA)] according to the  
102 manufacturer instructions.

### 103 **2.3.Upper airway viral screening with multiplex PCR**

104 In every acute exacerbation, possible triggers were evaluated. If viral upper respiratory tract  
105 infection was suspected as the etiology by the clinician, nasopharyngeal locked swab was  
106 obtained and cultivated in a viral liquid medium. Clinical samples were analyzed using the  
107 Fast-track Respiratory Pathogen assay (Fast-track Diagnostics, Luxembourg) on the very  
108 same day. This panel was used in a multiplex PCR assay that detects respiratory pathogens,  
109 including human respiratory syncytial viruses A/B, influenza A virus, influenza A (H1N1)  
110 virus, influenza B virus, human adenovirus, human parainfluenza virus types 1–4, human  
111 rhinovirus, human enterovirus, human metapneumovirus A/B, human bocavirus, human  
112 coronavirus types OC43, 229E, NL63, and HKU1, human parechovirus and *Mycoplasma*  
113 *pneumonia*.

### 114 **2.4.Statistical analysis**

115 Data were analyzed using SPSS software version 17 (SPSS Inc,Chicago, Illinois, USA).  
116 Intergroup comparisons of numerical variables were made using one way ANOVA or  
117 Kruskal-Wallis test according to the distribution and intergroup comparisons of categorical

118 variables were made using Chi-Square test. Protein concentration (cytokines and periostin)  
119 data were expressed as mean [range] and comparison of cytokine and periostin levels during  
120 stable and exacerbation periods were performed with Wilcoxon test. *p-values* of less than 0.05  
121 were considered statistically significant. *Post-hoc* power analysis was carried out to evaluate  
122 the minimum sample size required to achieve a power of 80% at 5% alpha level.

123

### 124 **3. Results**

125 Ninety-one volunteers (76 patient group, 15 control group) were included in the study (Table  
126 2). Mean age was  $45.5 \pm 10.4$  and 77 (85%) of all volunteers were female. In the patient  
127 group, mean peripheral eosinophil count was 316.7 (130-420) cells/mL, 36 (48%) had atopy  
128 and 19 (25%) was under long-term systemic steroid therapy (Table 3).

129 There was no significant difference in gender, age, FEV<sub>1</sub> and ACT scores distribution among  
130 the defined severe asthma phenotypes. Control group and the patient group had similar age  
131 and gender distribution. Systemic steroid use was significantly higher in phenotype 4 (Table  
132 3).

#### 133 **3.1. Stable period measurements**

134 In the patient group, IL-4 was detectable in 1 patient, IL-5 in 1 patient, IL-10 in 2 patients, IL-  
135 17 in 1 patient and IL-33 in 3 patients in the stable period. Therefore no further comparison  
136 was done with these cytokines. Mean IL-13 level was 13.1 pg/mL, IL-25 level was 194.2  
137 pg/mL, TSLP level was 105.2 pg/mL and periostin level was 7194 pg/mL in the patient group  
138 (Table 4; Figure 1). Serum IL-13, IL-25, TSLP and periostin levels were similar between the  
139 patient group and the control group ( $p=0.095$ ; 0.072; 0.221 and 0.696; respectively).

##### 140 **3.1.1. IL-13**

141 Even though there was no significant difference in IL-13 levels between the control and the  
142 patient groups, when phenotype 3 was compared with the control group, IL-13 levels were  
143 found significantly lower in this phenotype [6.1 (0-5.2) pg/mL vs. 20.8 (0-29.9) pg/mL;  
144  $p=0.026$ ] (Table 4). There was no difference in IL-13 levels when other asthma phenotypes  
145 were compared to control group.

146 In order to find the possible effect of systemic steroid use on serum cytokine levels within  
147 different phenotypes, patients with and without long term systemic steroid therapy were  
148 compared. We found a significantly higher IL-13 levels in atopic patients under systemic  
149 steroid therapy and eosinophilic patients without systemic steroid therapy (Table 5).

150 There was no correlation between ACT scores, FEV<sub>1</sub> values, peripheral eosinophil counts and  
151 IL-13 levels during stable period.

### 152 **3.1.2. IL-25**

153 Even though there was no difference for IL-25 levels between the control and the patient  
154 groups, when phenotype 2 was compared with the control group, IL-25 levels were  
155 significantly lower in this phenotype [0 vs. 160.6 (0-220.6) pg/mL;  $p=0.02$ ] (Table 4). There  
156 was no difference in IL-25 levels when other phenotypes were compared to control group.

157 There was a strong correlation between IL-25 levels and TSLP levels in stable period  
158 ( $r=0.963$ ;  $p<0.001$ ) (Figure 2), no such correlation was found with the other cytokines. In  
159 patients without systemic steroid therapy, there was an almost-significant difference between  
160 the eosinophilic and non-eosinophilic groups (Table 5).

### 161 **3.1.3. TSLP**

162 TSLP levels were significantly lower in phenotype 2 compared to the control group [0 vs.  
163 80.4 (0-169) pg/mL;  $p=0.017$ ] (Table 4). There was no difference in TSLP levels when other

164 asthma phenotypes were compared to control group. In patients under systemic steroid  
165 therapy, the difference of TSLP levels between the eosinophilic and non-eosinophilic groups  
166 was significant [0 vs. 149 (0-16) pg/mL; p=0.025] (Table 5).

#### 167 **3.1.4. Periostin**

168 Periostin levels were significantly higher in phenotype 1 and phenotype 4 when compared to  
169 phenotype 5 [8067 (7028-9777) pg/mL vs. 5830 (3953-7437) pg/mL; p=0.015 and 8137  
170 (6315-9620) pg/mL vs. 5830 (3953-7437) pg/mL; p=0.006, respectively] (Table 4). Stable  
171 period periostin levels were also significantly higher in eosinophilic patients [7783 (6660-  
172 9523) pg/mL vs. 6242 (3783-8267) pg/mL; p=0.009] (Table 5). This difference was more  
173 prominent in patients without systemic steroid therapy. Peripheral eosinophil count was  
174 significantly correlated with the stable period periostin levels ( $r=0.351$ ,  $p=0.004$ ) (Figure 3).  
175 Presence of atopy had no significant effect on periostin levels.

#### 176 **3.2.Exacerbation period measurements**

177 During the study, 23 patients had asthma exacerbation and serum samples were collected. Six  
178 of those had atopic eosinophilic, 5 had non-atopic eosinophilic, 7 had atopic non-eosinophilic,  
179 3 had CRSwNP eosinophilic and 2 had non-atopic non-eosinophilic phenotype. Presence of  
180 systemic steroid therapy, atopy or eosinophilia had no effect on exacerbation frequency.

##### 181 **3.2.1. IL-13**

182 There was no difference in IL-13 levels between asthma phenotypes during exacerbations  
183 (Table 6). However, IL-13 levels were significantly different between atopic and non-atopic  
184 patients [12.5 (0-13.74) pg/mL vs. 1.1 (0-3.19) pg/mL; p=0.02]. No such difference was  
185 found for the presence of eosinophilia or systemic steroid use.

##### 186 **3.2.2. IL-25**



187 There was no difference in serum IL-25 levels between asthma phenotypes during  
188 exacerbations. Presence of systemic steroid therapy, atopy or eosinophilia had no effect on IL-  
189 25 levels. There was a strong correlation between IL-25 and TSLP levels ( $r=0.895$ ,  $p<0.001$ ).  
190 No such correlation was found with the other cytokines.

### 191 **3.2.3. TSLP**

192 There was no difference in serum TSLP levels between asthma phenotypes during  
193 exacerbations. Presence of systemic steroid therapy, atopy or eosinophilia had no effect on  
194 TSLP levels.

### 195 **3.2.4. Periostin**

196 There was no difference in serum periostin levels between asthma phenotypes during  
197 exacerbations. Presence of systemic steroid therapy, atopy or eosinophilia had no effect on  
198 periostin levels.

## 199 **3.3. Comparison of stable and exacerbation periods**

200 When IL-13, IL-25, TSLP and periostin levels during the stable and exacerbation periods  
201 were compared, 23 patients with exacerbation had significantly lower periostin levels during  
202 the exacerbation period [5853 (2309-8427) pg/mL vs. 4479 (2766-6495) pg/mL;  $p=0.05$ ]  
203 (Figure 4). No such change was depicted with the other cytokines (Table 7). All these 23  
204 patients had increased peripheral eosinophil counts during exacerbations [229 (120-280)  
205 cells/mL for stable and 780 (130-490) cells/mL for exacerbation;  $p=0.009$ ]. Periostin levels  
206 and blood eosinophil counts were also correlated during exacerbations ( $r=0.454$ ;  $p=0.029$ ).

## 207 **3.4. Change in viral exacerbations**

208 Thirteen patients had nasopharyngeal mucosal swab taken due to upper respiratory tract  
209 infection suspicion during exacerbation period. In 6 of these, viral etiology was detected by

210 multiplex PCR (2 RSV, 2 influenza A, 1 influenza B and 1 rhinovirus). Periostin levels were  
211 significantly lower in virus-positive group compared to virus-negative group during  
212 exacerbation period [2913 (893-4770) pg/mL vs. 7094 (4782-9596) pg/mL;  $p=0.022$ ]. No  
213 such difference was present with the other cytokines. A *post hoc* power analysis indicated low  
214 power (power <80%) to detect differences between the patient and control group.

215

#### 216 **4. Discussion**

217 In the present study, in 5 distinct clinic/inflammatory severe asthma phenotypes which were  
218 defined based on the presence or absence of atopy, peripheral eosinophilia and CRSwNP in  
219 the real-world settings, serum levels of 8 different cytokines and periostin were studied during  
220 stable and exacerbation periods. Even though serum IL-13, IL-25, TSLP and periostin levels  
221 showed no significant difference between the patient and control groups, each serum protein  
222 showed significant difference among asthma phenotypes according to presence of atopy,  
223 eosinophilia, exacerbation, viral infection or use of long-term systemic steroids.

224 Asthma is a heterogeneous disease which includes different clinical phenotypes and distinct  
225 pathophysiological endotypes. T2-high phenotype constitutes approximately 50-70% of all  
226 asthma patients [5]. IL-4, IL-5 and IL-13 are the main cytokines involved in T2 inflammation.  
227 In our study, only serum IL-13 showed significance out of these three cytokines. IL-13 is a  
228 central effector cytokine in asthma and the pivotal regulator in IgE synthesis, goblet cell  
229 hyperplasia, airway remodeling, mucus hypersecretion and airway hyperresponsiveness [13].  
230 IL-13 is also a central inducer of periostin production from airway epithelial cells [14]. Since  
231 key mediator role of IL-13 is evident in allergic inflammation, measurement of IL-13 levels  
232 with direct or indirect markers is important in diagnosis and endotyping of severe asthma. IL-  
233 13 can be measured in induced sputum; however, sputum induction and interpretation is not

234 feasible in real-life. As in most of the cytokines, a very little amount of IL-13 passes to the  
235 blood stream and usually is very hard to depict in the serum. Since our study involves severe  
236 asthma patients with possibly a more severe type-2 inflammation and a higher amount of  
237 circulating cytokines, we tried to measure IL-13 levels directly in the serum. We found no  
238 significant difference in serum IL-13 levels between the patient and the control groups. When  
239 compared in pairs, IL-13 levels were significantly lower in phenotype 3 in our study, in which  
240 none of the patients were under long-term systemic steroid treatment. We believe this finding  
241 is most likely due to small percentage of IL-13 positivity in phenotype 3, since IL-13 levels in  
242 phenotype 3 were found significantly lower even than phenotype 5 (non-atopic, non-  
243 eosinophilic severe asthma phenotype). IL-13 levels were also significantly higher in atopic  
244 patients under long-term systemic steroid therapy and eosinophilic patients without systemic  
245 steroid therapy. Eighteen of 19 patients under systemic steroid therapy also had peripheral  
246 eosinophilia, and 13 were in phenotype 4 group. Therefore, we may suggest that IL-13 levels  
247 may be affected by peripheral eosinophilia rather than presence of atopy. It was reported that  
248 IL-13 can induce eosinophil activation, recruitment and prolongs eosinophil survival [15]. IL-  
249 4 and IL-13 are both potent inducers of VCAM-1 in endothelial cells, which are important for  
250 the recruitment of eosinophils [13]. Relationship between serum IL-13 levels and atopic-  
251 eosinophilic asthma has been reported in previous studies [16-19]. *Hussein et al* showed a  
252 higher serum IL-13 levels in children with atopic asthma compared to control group and  
253 correlation between serum IL-13 levels and disease severity [17]. Peripheral eosinophil counts  
254 were particularly high in this study and as for IL-13, peripheral eosinophilia was also  
255 correlated with disease severity. *Kalinauskaitė-Zukauske et al* reported a significantly higher  
256 IL-13 levels in atopic asthma patients compared to the control group and an increase in IL-13  
257 levels after bronchial allergen challenge test with *D. pteronyssinus* [18]. Basal peripheral  
258 eosinophil counts were nearly twice the control group in atopic asthmatic patients in this

259 study. In their clustering study using multidimensional endotyping with different biomarkers  
260 and clinical phenotypes, *Agache et al* found that serum IL-13 is a reliable biomarker to detect  
261 peripheral eosinophilia and in another study they also showed that high eosinophilic moderate  
262 asthma cluster had significantly increased serum IL-13 levels [20, 21]. Apart from asthma,  
263 serum IL-13 levels are also shown to increase in CRSwNP. In their study where serum  
264 cytokine levels were compared in chronic rhinosinusitis with nasal polyps and control group,  
265 *Nabavi et al* reported a significantly higher serum IL-13 level in CRSwNP group. The authors  
266 also showed that IL-13 levels were not affected by atopy status [22].

267 Allergens, toxic substances and viral infections cause the release of IL-25, IL-33, TSLP  
268 cytokines, the so-called alarmins, from the airway epithelium and induce type-2 inflammation  
269 via ILC2 and Th2 cells in asthma [23]. IL-33 could not be detected in serum in our study.  
270 There was also no difference in IL-25 and TSLP levels between the patient and control  
271 groups. IL-25 and TSLP were significantly correlated in both stable and exacerbation periods.  
272 Their levels were also significantly lower in patients with peripheral eosinophilia without  
273 long-term systemic steroid therapy. In a previous study where serum levels of 24 different  
274 cytokines and chemokines were studied in severe asthmatic patients, IL-25 levels were also  
275 similar in control and asthma groups [16]. In addition, TSLP levels were also significantly  
276 higher in asthma groups. The authors also stated that there was no difference in these two  
277 cytokines when controlled and uncontrolled asthma patients were compared. In another study,  
278 where baseline IL-25 and TSLP levels were similar between atopic asthmatic patients and  
279 control group, a significant increase in these two cytokine levels was observed after bronchial  
280 inhaler challenge [18]. In our study, IL-25 and TSLP was not detected in the serum of  
281 phenotype 2 patients in which none of the patients were under long term systemic steroid  
282 therapy. These two cytokines could not be detected in phenotypes 2 and 4, where eosinophilia  
283 is prominent, but had significant or almost-significantly higher levels in phenotypes 1 and 3,

284 where atopy is present, when compared to phenotype 2. Therefore, we may speculate serum  
285 positivity of these cytokines may be more valuable for demonstrating presence of atopy.

286 Periostin is a matricellular protein broadly secreted by many tissues including airway  
287 epithelium, musculoskeletal system and gastrointestinal tract. It is upregulated by type-2  
288 cytokines like IL-4 and IL-13 and correlates with other type-2 biomarkers such as FeNO,  
289 sputum eosinophilia, blood eosinophilia and total IgE [24, 25]. In concordance with our  
290 results, recent studies showed that serum periostin measurement may be inadequate in  
291 differentiating asthmatic and healthy subjects [14, 16, 25]. Serum periostin levels were  
292 reported to be higher even in professional athletes compared to asthmatic patients [26].  
293 Periostin is pronounced to be more useful in the evaluation of response to monoclonal  
294 antibodies and systemic steroid therapy, rather than asthma diagnosis. In addition it may also  
295 be used in differentiating asthma subtypes where airway eosinophilia is present [14, 27].  
296 Serum periostin levels were elevated in asthmatics with CRSwNP and serum periostin could  
297 distinguish these patients from asthmatics without any comorbidities [28]. In our study we  
298 found that periostin levels were strongly correlated with peripheral eosinophilia levels and  
299 could be helpful in differentiating eosinophilia despite systemic steroid use. *Agache et al* also  
300 showed that serum periostin is one of the best predictor of blood eosinophilia [20]. In addition  
301 to its inadequacy for asthma diagnosis, there is also very limited data on its use during  
302 exacerbations. *Semprini et al* recorded weekly serum periostin levels for 12 weeks in patients  
303 who received systemic steroid therapy after exacerbations. The authors stated that serum  
304 periostin levels varied during this period and 12th week levels were higher than baseline  
305 levels at admission. The difference showed a clear tendency to significance [median 3.93  
306 (3.87–4.16) ng/mL vs 3.89 (3.74–4.2) ng/mL;  $p=0.06$ ] [29]. However, exacerbation etiologies  
307 were not included for comparison in this study. It is of great importance that this and future

308 studies investigating periostin level changes according to exacerbation etiologies, even  
309 according to different respiratory tract pathogens.

310 Viral infections are frequent triggers of asthma exacerbations. Influenza, coronavirus,  
311 parainfluenza and most commonly rhinovirus are associated with exacerbations of asthma in  
312 children and adults [30]. It has been shown that viral infections may interact with the allergic  
313 inflammation at airways and induce exacerbations in asthmatic patients. Allergic sensitization  
314 or eosinophilic inflammation further increases the risk for wheezing illnesses [30]. Following  
315 the local epithelial damage due to viral infection, production of IL-25, IL-33 and TSLP  
316 increases, which stimulates ILC2s, and as a result T2 inflammation is induced. On the other  
317 hand, in non-T2 asthma models, after epithelial injury, IL-6, TNF and IL-1a production is  
318 stimulated, and neutrophilic inflammation is induced [12]. There is evidence that IgE-  
319 mediated allergic inflammation could reduce virus induced interferon responses in asthma  
320 [30, 31]. In contrast, it was also shown that type-1 interferons can inhibit Th2 immune  
321 responses [32]. *Pritchard et al* showed that IFN-alpha and IFN-B reduce Th2 cytokine  
322 production and interestingly they also found that when the activity of these interferons was  
323 blocked, IL-13 secretion was increased [32]. Inhibitory effect of interferons on type 2  
324 cytokine response has also been shown in other studies. It was shown that IFN-alpha can  
325 markedly inhibit IL-5 production [33]. Type-1 interferons can also block Th2 cytokine  
326 secretion through the inhibition of GATA3 [34]. Supporting these findings, in our study,  
327 during exacerbation periods serum periostin levels were found significantly lower in patients  
328 with positive viral PCR.

329 Our study has some limitations. First of all, total number of patients and number of patients in  
330 some phenotypes caused low power for comparisons. Even though we had similar results,  
331 number of patients in exacerbation period and patients with positive viral PCR were lower  
332 than expected. Secondly, we only performed multiplex PCR in cases with clinical suspicion of

333 upper respiratory tract infections. This might cause selection bias. Thirdly, some of the  
334 cytokines could not be detected in the serum. We believe this is unrelated to a technical error  
335 since all tests were performed with the same brand assays and the same method on the serum  
336 samples that were kept in the same environment. Fourthly, in order to make a clear  
337 distinction between the predefined phenotypes (particularly phenotype 2 and 3), we had to  
338 partially move away from the real life and exclude the patients with peripheral eosinophil  
339 count 150-300 cells/mL from the study. Another possible reason for this is that our peripheral  
340 eosinophil count cut off value may not be able to reflect the tissue eosinophilia. Even though  
341 peripheral eosinophil count > 300 cells/mL is a strong predictor of sputum eosinophilia, and  
342 indirectly tissue eosinophilia, there is still a chance of tissue eosinophilia in the so-called non-  
343 eosinophilic patients who had peripheral eosinophil count less than 150 eosinophils/mL.  
344 Further studies where eosinophilia is shown in the lower respiratory tract samples or  
345 secretions will enable more accurate results. Lastly, we studied the serum protein profiles of  
346 the phenotypes, but advanced data analytic approaches such as principal component analysis  
347 or topological data analysis were not used in contrast to the previous studies.

348 In conclusion, we showed that IL-13 can be depicted in serum of severe asthmatic patients  
349 and may reflect peripheral eosinophilia in patients without systemic steroid use. In addition,  
350 serum periostin levels were increased in the presence of eosinophilia independent from atopy  
351 and it can help to differentiate eosinophilia even if the patient is under systemic steroid  
352 therapy. Further studies with larger series, which investigates whether the lower periostin  
353 levels during exacerbations could predict a viral infection as the underlying etiology and  
354 studies on the variations of periostin levels during exacerbations secondary to viral infections  
355 are needed. Since IL-4, IL-5, IL-10, IL-17 and IL-33 would not be measured in peripheral  
356 blood samples, we think it is not feasible to use these cytokines in clinical practice or in the

357 research of the underlying mechanisms of the asthma phenotypes. We believe our results may  
358 shine a light on severe asthma characterization and personalized medicine approaches.

359

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470 **TABLES**

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472 **Table 1.** Inclusion and exclusion criteria for the patients.

<p><b>Inclusion criteria</b></p> <ol style="list-style-type: none"><li>2. Diagnosis of severe asthma according to the International ERS/ATS guideline (1)</li><li>3. Under follow-up for severe asthma for at least 6 months in our clinic</li><li>4. Meets the defined phenotype criteria of the study</li></ol>
<p><b>Exclusion criteria</b></p> <ol style="list-style-type: none"><li>1. Under 18 years of age</li><li>2. Smoking history in the last 1 year</li><li>3. Under monoclonal antibody treatment for severe asthma or any other disease.</li><li>4. Comorbidities: malignancy, collagen tissue disease, hyperthyroidism, cardiovascular diseases, type 2 diabetes, active liver disease, acute kidney failure or any autoimmune disorder</li><li>5. Solid organ transplantation</li><li>6. Pregnancy</li><li>7. Pulmonary diseases other than asthma: chronic obstructive pulmonary disease, bronchiectasia, interstitial lung diseases, pulmonary thromboemboli</li><li>8. For the stable period assessment:<ol style="list-style-type: none"><li>a. Asthma Control Test (ACT) &lt; 16</li><li>b. Upper respiratory infection within the last 1 month</li><li>c. Exacerbation and/or systemic steroid treatment within the last 1 month</li></ol></li><li>9. For the exacerbation period assessment:<ol style="list-style-type: none"><li>a. Out of routine daily systemic steroid use before admission</li></ol></li><li>10. Atopy with only seasonal allergen sensitivity</li></ol>

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479 **Table 2.** Definition criteria of the five different severe asthma phenotypes.

Phenotypes	Definition criteria	# of volunteers
<b>Phenotype 1:</b> Atopic, eosinophilic severe asthma (A-E) <sup>§</sup>	<ul style="list-style-type: none"> <li>• At least 1 perennial aeroallergen sensitivity</li> <li>• Peripheral eosinophil count &gt;300 cells/mL in at least 2 blood samples 1 month apart<sup>‡</sup></li> </ul>	11
<b>Phenotype 2:</b> Non-atopic, eosinophilic severe asthma (NA-E)	<ul style="list-style-type: none"> <li>• No aeroallergen sensitivity</li> <li>• Peripheral eosinophil count &gt;300 cells/mL in at least 2 blood samples 1 month apart<sup>‡</sup></li> </ul>	18
<b>Phenotype 3:</b> Atopic, non-eosinophilic severe asthma (A-NE) <sup>§</sup>	<ul style="list-style-type: none"> <li>• At least 1 perennial aeroallergen sensitivity</li> <li>• Peripheral eosinophil count &lt;150 cells/mL in at least 2 blood samples 1 month apart during steroid-naive period</li> </ul>	16
<b>Phenotype 4:</b> Eosinophilic severe asthma with comorbid chronic rhinosinusitis with nasal polyposis (E-CRSwNP) <sup>§</sup>	<ul style="list-style-type: none"> <li>• Chronic rhinosinusitis and nasal polyposis diagnosis by physical examination, nasal endoscopy or PNCT</li> <li>• NERD may accompany</li> <li>• Aeroallergen sensitivity may accompany</li> <li>• Peripheral eosinophil count &gt;300 cells/mL in at least 2 blood samples 1 month apart<sup>‡</sup></li> </ul>	18
<b>Phenotype 5:</b> Non-atopic, non-eosinophilic severe asthma (NA-NE)	<ul style="list-style-type: none"> <li>• No aeroallergen sensitivity</li> <li>• Peripheral eosinophil count &lt;150 cells/mL in at least 2 blood samples 1 month apart during steroid-naive period</li> </ul>	13
<b>Control group</b>	<ul style="list-style-type: none"> <li>• Healthy subjects without proven asthma, aeroallergen sensitivity and peripheral eosinophilia</li> </ul>	15

480 PNCT: paranasal sinus computed tomography; NERD: Nonsteroidal anti-inflammatory  
 481 drug exacerbated respiratory disease

482 <sup>§</sup> If pollen sensitivity is present in addition to perennial allergen sensitivity, serum samples  
 483 were collected out of the pollen season for stable period measurements.

484 <sup>‡</sup> In case of long-term systemic steroid treatment, inclusion criteria for blood eosinophil count  
 485 was accepted as >150 cells/mL.

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495 **Table 3.** General characteristics of the phenotypes.

	<b>Patient group n=76</b>	<b>Phenotype 1 (A-E) n=11</b>	<b>Phenotype 2 (NA-E) n=18</b>	<b>Phenotype 3 (A-NE) n=16</b>	<b>Phenotype 4 (E- CRSwNP) n=18</b>	<b>Phenotype 5 (NA-NE) n=13</b>	<i>p</i>
<b>Female gender; n (%)</b>	66 (87)	9 (82)	15 (83)	14 (88)	16 (89)	12 (92)	0.93
<b>Age (years); mean <math>\pm</math> SD</b>	45.6 $\pm$ 11.1	46 $\pm$ 11.7	47.4 $\pm$ 12.8	41 $\pm$ 11.9	48.1 $\pm$ 8.8	46.4 $\pm$ 9.9	0.466
<b>Chronic rhinosinusitis; n (%)</b>	52 (70)	6 (60)	8 (44)	14 (93)	18 (100)	6 (46)	<0.001
<b>Long-term systemic steroid use; n (%)</b>	19 (25)	1 (9)	3 (17)	2 (13)	13 (72)	0	<0.001
<b>FEV<sub>1</sub>; mean % of predicted <math>\pm</math> SD</b>	90.5 $\pm$ 20.1	84.9 $\pm$ 17	93.1 $\pm$ 27.1	95.3 $\pm$ 24.3	84.8 $\pm$ 15.7	93.3 $\pm$ 11.5	0.578
<b>FEV<sub>1</sub>; mean cc (IQR)</b>	2451 (1860-2620)	2441 (1715-3020)	2384 (1830-2707)	2738 (2100-3370)	2197 (1645-2545)	2583 (2227-2572)	0.488
<b>ACT; mean (IQR)</b>	20.8 (20-23)	20.9 (20-23)	20.9 (20-23)	19 (18-22)	22 (20-24)	21.1 (20-22)	0.183
<b>Peripheral eosinophil count; mean % (IQR)</b>	3.7 (1.5-4.8)	6.2 (3.8-8.1)	5 (3.1-7.8)	1.8 (1.3-2.5)	4.8 (2.3-6)	1.26 (0.73-1.68)	<0.001
<b>Peripheral eosinophil count; mean cells/mL (IQR)</b>	316 (130-420)	548 (295-920)	408 (270-552)	122 (90-150)	465.9 (240-580)	91.7 (50-132)	<0.001

496 SD: standard deviation; FEV<sub>1</sub>: forced expiratory volume in 1 second; ACT: asthma control test; IQR:

497 interquartile range

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504 **Table 4.** Comparison of IL-13, IL-25, TSLP and periostin levels in asthma phenotypes and  
 505 control group.

	<b>Patient group n=76</b>	<b>Phenotype 1 (A-E)</b>	<b>Phenotype 2 (NA-E)</b>	<b>Phenotype 3 (A-NE)</b>	<b>Phenotype 4 (E-CRSwNP)</b>	<b>Phenotype 5 (NA-NE)</b>	<b>Control group</b>	<b><i>p</i>*</b>
<b>IL-13; mean pg/mL (IQR)</b>	13.1 (0-26.1)	15.3 (0-29.9)	17 (0-26.1)	6.1 (0-5.2)	12.9 (0-24.89)	15.7 (0-30.59)	20.8 (0-29.9)	0.215
<b>IL-25; mean pg/mL (IQR)</b>	194.2 (0)	240.6 (0-466)	0	138 (0-133)	0	328.6 (0-32.03)	160.6 (0-220.6)	0.196
<b>TSLP; mean pg/mL (IQR)</b>	105.2 (0)	107.3 (0-140)	0	73.8 (0-12)	0	218.2 (0-14.9)	80.4 (0-169)	0.353
<b>Periostin; mean pg/mL (IQR)</b>	7194 (5520-9385)	8067 (7028-9777)	7182 (5655-8328)	6703 (3744-8478)	8137 (6315-9620)	5830 (3953-7437)	7217 (6422-9010)	0.041

506 \*Comparison of all phenotypes and the control group (Kruskal Wallis test)

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526 **Table 5.** Effects of systemic steroid therapy, atopy and peripheral eosinophilia on stable  
 527 period IL-13, IL-25, TSLP and periostin levels.

n=76		Atopy			Peripheral eosinophilia		
		With	Without	<i>p</i>	With	Without	<i>p</i>
With long term systemic steroid use n=19	IL-13; mean pg/mL (IQR)	19.2 (0-30)	5.9 (0-15.6)	0.033	n/a*		
	IL-25; mean pg/mL (IQR)	527.8 (0-408)	139.8 (0-402.3)	0.736			
	TSLP; mean pg/mL (IQR)	292 (0-290)	0	0.36			
	Periostin; mean pg/mL (IQR)	8284 (7749-9615)	6719 (3535-9153)	0.183			
Without long term systemic steroid use n=57	IL-13; mean pg/mL (IQR)	7.2 (0-20.7)	17.2 (0-29.9)	0.019	17.2 (0-28)	8.6 (0-14.3)	0.034
	IL-25; mean pg/mL (IQR)	130.4 (0-185)	0	0.145	0	235.2 (0-58.7)	0.081
	TSLP; mean pg/mL (IQR)	63.1 (0-3)	0	0.347	0	149 (0-16)	0.025
	Periostin; mean pg/mL (IQR)	7292 (6630-9440)	6877 (5301-8391)	0.311	8071 (7042-9518)	6153 (3770-7851)	0.007

528 \* There was only 1 patient in the non-eosinophilic group and no further comparison was done.

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542 **Table 6.** Comparison of IL-13, IL-25, TSLP and periostin levels between asthma phenotypes  
 543 during exacerbations.

	<b>Patient group n=23</b>	<b>Phenotype 1 (A-E)</b>	<b>Phenotype 2 (NA-E)</b>	<b>Phenotype 3 (A-NE)</b>	<b>Phenotype 4 (E-CRSwNP)</b>	<b>Phenotype 5 (NA-NE)</b>	<i>p</i>
<b>IL-13; mean pg/mL (IQR)</b>	8.5 (0.11)	18.7 (0.44.7)	1.7 (0-4.3)	10.4 (1.5- 19.8)	3.4 (0-10.1)	0	0.203
<b>IL-25; mean pg/mL (IQR)</b>	300.9 (0-356)	871.4 (0.2179)	186.6 (0- 466.5)	187 (0-387.5)	45 (0-135)	0	0.685
<b>TSLP; mean pg/mL (IQR)</b>	100.9 (0)	349.2 (0-873)	0	71.7 (0-176.3)	0	0	0.328
<b>Periostin; mean pg/mL (IQR)</b>	4479 (2766- 6495)	3977 (1963- 6290)	4231 (1161- 7756)	4779 (3720- 6137)	5191 (2766- 9596)	4087 (385- 7789)	0.926

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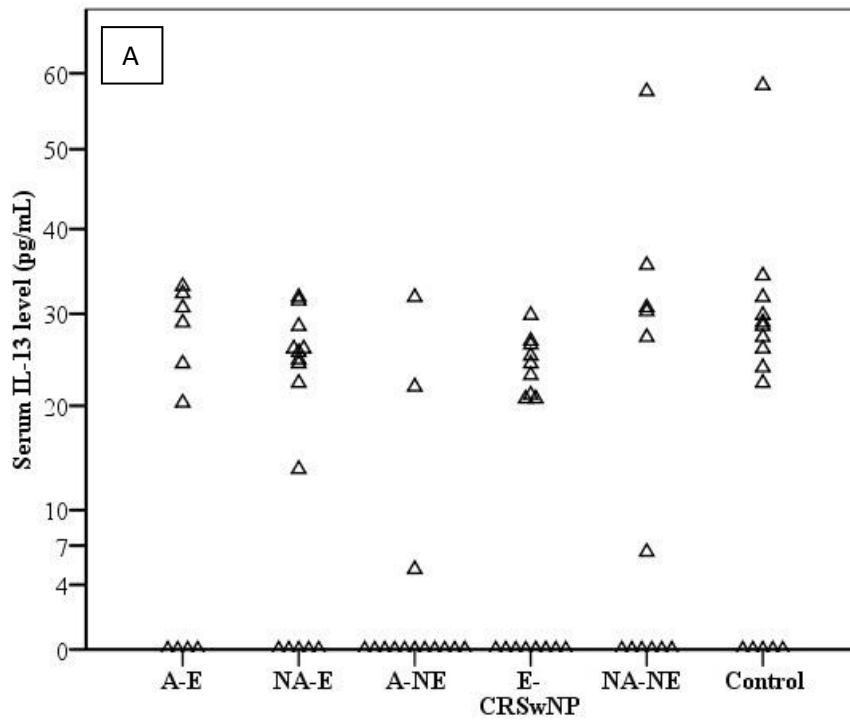
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565 **Table 7.** Comparison of cytokine levels during stable and exacerbation periods.

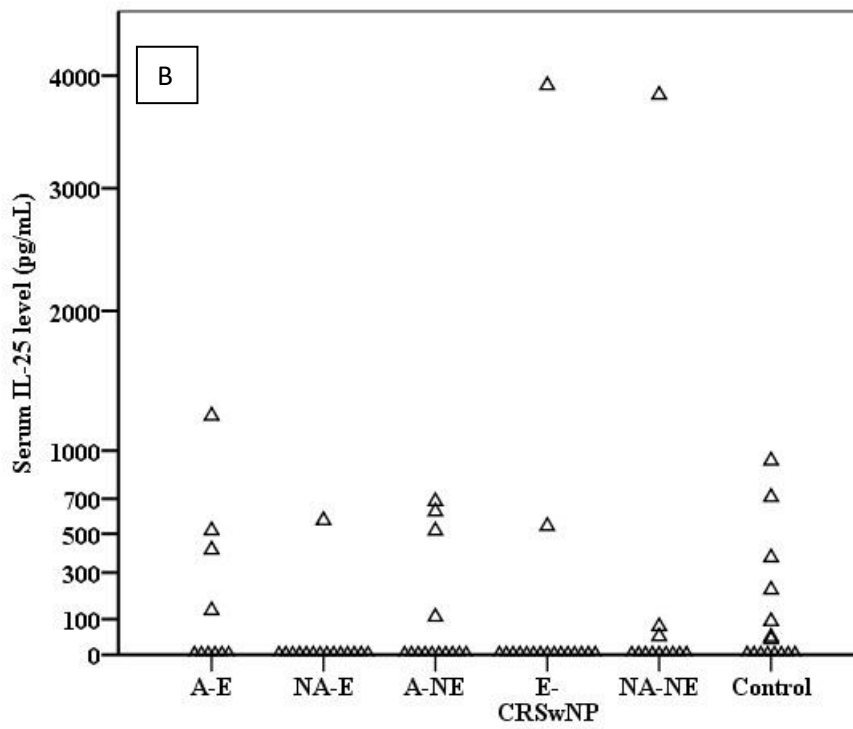
<b>n=23</b>	<b>Stable period</b>	<b>Exacerbation period</b>	<b><i>p</i></b>
<b>IL-13; mean pg/mL (IQR)</b>	9.7 (0-26.5)	8.5 (0-11)	0.638
<b>IL-25; mean pg/mL (IQR)</b>	290.1 (0-575)	301 (0-356)	0.386
<b>TSLP; mean pg/mL (IQR)</b>	119.9 (0-63.8)	100.9 (0)	0.866
<b>Periostin; mean pg/mL (IQR)</b>	5853 (2309-8427)	4479 (2766-6495)	0.05

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592 **FIGURES**

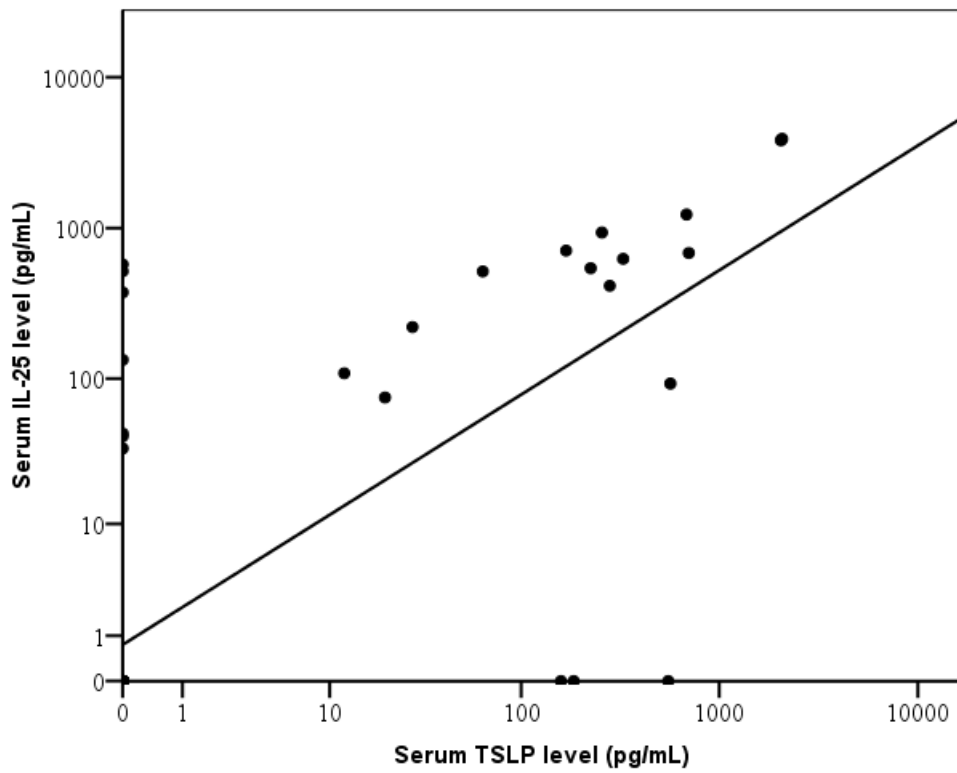


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601 **Figure 2.** In stable period, serum levels of IL-25 and TSLP showed a strong correlation  
 602 ( $r=0.963$ ;  $p<0.001$ ).

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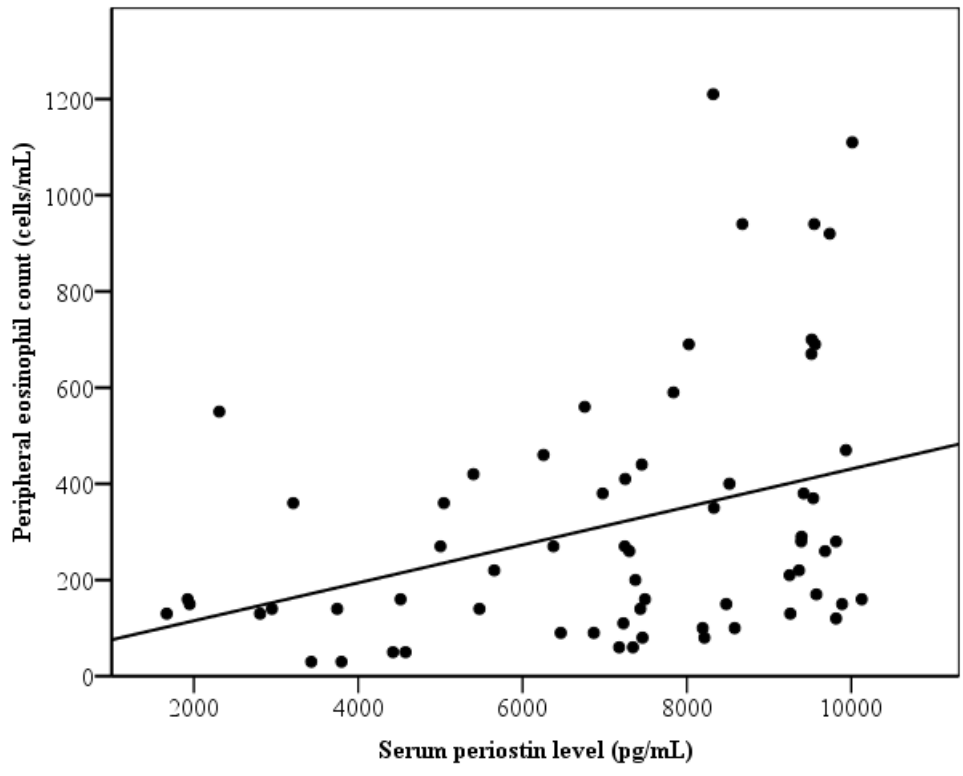
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620 **Figure 3.** In stable period, serum periostin level and peripheral eosinophil count showed a  
621 significant correlation ( $r=0.351$ ,  $p=0.004$ ).

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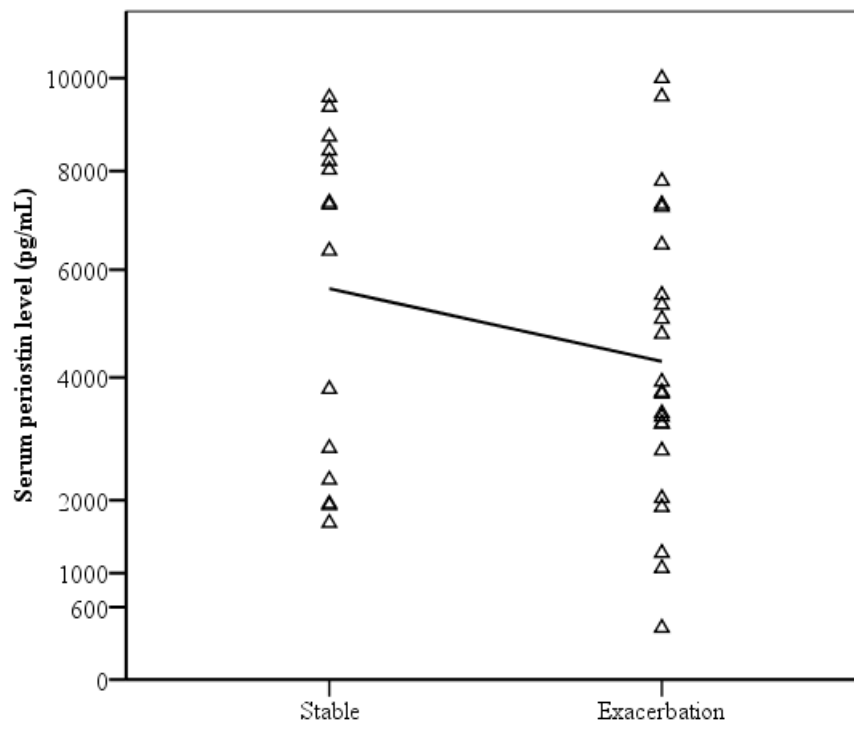
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640 **Figure 4.** Serum periostin levels were compared between stable and exacerbation periods.

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