

1 **Influenza and pneumococcus vaccination rates in pediatric dialysis patients in Europe:**  
2 **recommendations vs reality**

3 **A European Pediatric Dialysis Working Group and European Society for Pediatric**  
4 **Nephrology Dialysis Working Group study**

5 **Abstract**

6 **Background/aim:** Children on dialysis are under increased risk of influenza and invasive  
7 pneumococcal disease. Although, vaccination against these microorganisms are recommended  
8 in dialysis patients and despite the fact that these vaccines can reduce disease burden and rates  
9 of hospitalization due to infection, vaccination rates are below expected and desired. We aimed  
10 to evaluate influenza and pneumococcal vaccination and infection rates in European pediatric  
11 dialysis centers.

12 **Materials and Methods:** In 16 centers from 11 countries, 357 pediatric dialysis patients were  
13 evaluated retrospectively during one year of observation period between 01.01.2014 and  
14 01.01.2015.

15 **Results:** In all centers, vaccination policy included immunization of dialysis patients with  
16 inactive influenza vaccine and pneumococcal conjugate vaccine (PCV). 50% of centers  
17 recommended pneumococcal polysaccharide vaccine following routine PCV series.  
18 Significantly higher pneumococcal vaccination rate (43.9 %) was seen in PD patients compared  
19 to those on HD (32.9 %) ( $p=0.035$ ), while the rates for influenza were similar (42.4 % and 46.1  
20 respectively,  $p=0.496$ ). Among all dialysis patients, 2,2 % ( $n=8$ ) developed pneumonia and 6.4  
21 % ( $n=23$ ) infected by Influenza. Pneumococcal pneumonia rate was 5 % for 140 patients who  
22 received anti-pneumococcal vaccine, while only one pneumonia episode was recorded out of

23 217 unvaccinated patients ( $p=0.007$ ). The influenza virus infection rates were similar for  
24 patients vaccinated and non-vaccinated (7 % and 6 %, respectively).

25 **Conclusions:** Although influenza and pneumococcal vaccines are highly recommended in  
26 pediatric dialysis patients, vaccination rates were lower than expected. Pneumococcal  
27 vaccination rates were higher in PD compared to the patients on HD. The rate of children with  
28 influenza infection was higher than pneumonia. The efficacy of influenza and pneumococcal  
29 vaccines was highlighted by the low infection rates. Higher pneumonia rates in patients  
30 vaccinated against pneumococcus compared to unvaccinated ones might be due to coexisting  
31 risk factors.

32 **Key words:** Dialysis, immunization, influenza vaccine, pneumococcal vaccine, vaccination

### 33 **1. Introduction**

34 Although in recent years, widespread vaccination in the general pediatric population has  
35 significantly reduced the circulation of vaccine-preventable infections, which indirectly reduces  
36 the risk for infections in children with chronic kidney disease (CKD) [1], patients on dialysis  
37 and candidates of renal transplantation (RT) still require immunizations not routinely provided  
38 to healthy children at all age groups [2] including influenza and pneumococcal polysaccharide  
39 vaccines (PPSV) due to disease-related complications [1-4].

40 Children with CKD and RT are at a high risk of developing invasive pneumococcal  
41 disease (IPD) [5]. Since 23-valent pneumococcal vaccine decreases the incidence of IPD [6],  
42 PPSV should be advocated in all patients with CKD (adult and pediatric), as early in their  
43 disease course as possible [6,7].

44 The influenza virus is highly contagious and its epidemics lead to increased morbidity  
45 and mortality with high complication rates principally among people at risk including patients

46 with CKD receiving immunosuppressive treatment and patients on dialysis [8,9]. Despite  
47 potentially impaired antibody responses, adult studies showed that vaccinated patients on  
48 dialysis had a significant lower rate of all-cause hospitalization risk and mortality rate related  
49 to influenza when compared to the unvaccinated ones [9,10]. Many national immunization  
50 advisory groups recommend annual influenza vaccination for patients with end stage renal  
51 disease (ESRD) including those on dialysis [6,11-13].

52 Despite the benefits and vaccination recommendations, studies in chronic kidney  
53 patients, including dialysis and kidney transplant candidates, show that actual vaccination rates  
54 seem to be lower than expected and desired [4,14-17]. Since the data about the immunization  
55 status against influenza and pneumococcus in children maintained by dialysis is rare, we aimed  
56 to evaluate influenza and pneumococcal vaccination and respective infection rates in European  
57 pediatric dialysis patients.

## 58 **2. Patients and Methods**

59 This was a multi-national, multi-center, retrospective study including patients from 16 tertiary  
60 pediatric nephrology centers from 11 European countries (Belgium, Czech Republic, France,  
61 Germany, Greece-2 centers, Italy-3 centers, Lithuania, Poland, Spain, Turkey-3 centers, and  
62 United Kingdom). Influenza (inactive influenza vaccine) and pneumococcal vaccination  
63 (pneumococcal conjugate vaccine [PCV] and PPSV) rates in 357 prevalent dialysis patients  
64 (205 peritoneal dialysis (PD), 152 hemodialysis (HD)) who were younger than 18 year and  
65 under regular follow-up were recorded during the one year period between 01.01.2014 and  
66 01.01.2015. When evaluating the influenza vaccine coverage, vaccinations for 2014-2015  
67 influenza season were included. Influenza and pneumonia episodes in dialysis patients during  
68 the one year study period were also noted. Data were obtained from each center through a

69 questionnaire completed by pediatric nephrologists. Statistical analyses were performed using  
70 SPSS (Statistical Package for the Social Sciences) version 23 software. The study design was  
71 approved by the ethics committee of the coordinating center (Gazi University Non-  
72 interventional Clinical Research Ethics Committee, approval number and date:  
73 139/14.12.2015) and we conducted this study in accordance with Good Clinical Practice  
74 Guidelines.

### 75 **3. Results**

76 Policies about influenza and pneumococcus vaccinations in the centers participating in the study  
77 are summarized in Table 1. In all centers, vaccination policy includes vaccination of dialysis  
78 patients against influenza; with double-dose being administered in Spain and UK. Vaccination  
79 with PCV which was included in national universal immunization programme of all  
80 participating countries also recommended for children with CKD. Following routine PCV  
81 series in infancy, dialysis patients are recommended to be vaccinated by PPSV in eight centers  
82 from seven countries.

83 Details on vaccination status are given in Table 2. 39.2 % (n=140; 90 on PD and 50 on  
84 HD) of 357 prevalent dialysis patients were vaccinated against pneumococcus with PCV, while  
85 44 % (157: 87 on PD and 70 on HD) against influenza, and the vaccination rates were similar  
86 (p= 0.197). 17.6% of patients (n=63) were also vaccinated with PPSV. When compared by  
87 dialysis modality, higher pneumococcal vaccination rate (43.9 %) was seen in 205 PD patients  
88 compared to 152 on HD (32.9 %), and this rate was found to be statistically significant  
89 (p=0.035). 20.4 % of 152 HD patients were vaccinated with PPSV after completion of routine  
90 PCV series, while this rate was 15.6% among 205 PD patients (p=0.241). Patients receiving

91 PPSV after completion of PCV series were older than 2 years. 42.4 % of the PD patients and  
92 46,1 % of the HD patients were vaccinated against influenza (p=0.496).

93 During the study period, it was reported that among all dialysis patients, 8 patients  
94 (2.2%) developed pneumonia and 23 (6.4%) infected by influenza. Among 140 patients  
95 vaccinated against pneumococcus, seven (5 %) experienced pneumonia, while only one  
96 pneumonia episode was recorded out of 217 unvaccinated patients; there was a statistically  
97 significant difference (p=0.007). Three patients had pulmonary comorbidity and one had  
98 immune deficiency. PPSV had been applied to only one of the 7 vaccinated patients. All  
99 pneumonia episodes required hospitalization and resulted in partial or complete recovery.

100 In 157 patients vaccinated against influenza, 11 influenza episodes (7 %) were recorded,  
101 while 12 episodes occurred in the 200 unvaccinated ones (6 %), the difference was not  
102 statistically significant (p=0.867). Six of the vaccinated patients hospitalized for influenza and  
103 recovered without any complications. Two patients had both influenza and pneumonia during  
104 the observation period; one of them was 10 year-old female with no comorbid condition and  
105 had been on HD for 66 months, and the other was a 2-year old patient on PD for 15 months  
106 who had pulmonary dysplasia. The detailed data of vaccinated patients experienced pneumonia  
107 and influenza are given in Table 3.

#### 108 **4. Discussion**

109 In this multinational study, we evaluated influenza and pneumococcal vaccination  
110 recommendations and vaccination coverage among 16 European pediatric nephrology centers.  
111 According to this study, in all participating centers, pediatric dialysis patients were  
112 recommended to be vaccinated with inactive influenza vaccine and PCV. Following routine

113 PCV series in infancy in all centers, dialysis patients were recommended to be vaccinated with  
114 polysaccharide vaccine in half of the centers.

115         Although CKD patients have for long been included in the high-risk population groups  
116 who should receive anti-pneumococcal vaccine and should annually vaccinated against  
117 influenza, and these recommendations were fully accepted for pediatric nephrology centers, it  
118 was observed that, among 357 prevalent dialysis patients, 39 % were vaccinated with PCV and  
119 44 % received influenza vaccine. 17.6% of patients were also vaccinated with PPSV. Despite  
120 the well known risks and vaccine recommendations, other studies in CKD patients have also  
121 demonstrated that vaccination rates are below expected and desired [4,14-17]. A study  
122 analyzing the rate of immunization in 62 children undergoing dialysis and RT between 1987  
123 and 2000, showed that 16 patients (25.8%) either on PD or with nephrotic syndrome were  
124 vaccinated with the non-conjugate 23-valent vaccine against *S. pneumoniae* [4]. According to  
125 the United States Renal Data System (USRDS) data, between 2005 and 2008, 32% of patients  
126 between 0-19 age group with ESRD had influenza vaccine and 13% had pneumococcal vaccine  
127 [14]. Between 2008 and 2011, these rates were reported as 40% and 16% respectively [15].  
128 Pneumococcal and influenza vaccination rates revealed in our study were higher compared to  
129 USRDS 2008-2011 pediatric dialysis vaccination data. In a single center study from Brazil  
130 evaluating the vaccination status in pediatric renal transplant recipients, 10.8% of patients had  
131 received anti-pneumococcal vaccine prior to RT [16]. A recent multicenter retrospective study  
132 evaluating vaccination coverage in 254 European (from Germany, Italy, Turkey and the United  
133 Kingdom) children with end-stage kidney disease and those on dialysis prior to RT, showed  
134 that these rates still remain low: 42% of patients received a complete vaccination schedule  
135 against pneumococcal infections and 43.3% of transplant candidates received at least one dose

136 of influenza vaccine [17]. Vaccinations of children with CKD might be overlooked as “minor”  
137 problems compared with growth, nutrition, dialysis, and social difficulties [4]. Although, anti-  
138 pneumococcal vaccine rates showed improvement from year to year while influenza vaccine  
139 rates remained relatively constant, the higher rates of influenza vaccination compared to  
140 pneumococcus in those studies, including the current one, might be due to the impact of annual  
141 reminders by health-care providers or media for seasonal use.

142         According to the 2013 United States Renal Data System (USRDS) report, pediatric HD  
143 patients are more likely to be vaccinated against Influenza than children on PD or those with a  
144 functioning kidney transplant [15]. In this study, vaccination rates with PPSV and inactive  
145 influenza vaccine were similar for the PD and HD patients, however PD patients were more  
146 likely to be vaccinated with anti-pneumococcal vaccine with a rate of 43.9 % compared to those  
147 on HD (32.9%). This may be related to younger age of the PD patients in whom vaccination is  
148 pursued more seriously.

149         In this study, the rate of children on dialysis with influenza infection was found to be  
150 higher (6.4 %) than pneumonia (2.2 %). CKD patients remain particularly vulnerable to  
151 invasive pneumococcal infection due to reduced immune protection, in particular, children with  
152 nephrotic syndrome and elderly on dialysis are at highest risk [18]. In a study, with a 25.8 %  
153 rate of PPSV vaccination in 62 patients, only one episode of peritonitis reported which was  
154 caused by *S.pneumoniae* [4]. In the present study, 5 % of patients vaccinated against  
155 pneumococcus experienced pneumonia while only one pneumonia episode was recorded in  
156 unvaccinated children. Half of the patients who experienced pneumococcal pneumonia had  
157 comorbid conditions increasing the risk of pneumonia. It was reported that, PPSV had been  
158 applied to only one of the 7 vaccinated patients and four patients who had comorbidity did not

159 receive PPSV. All pneumonia episodes required hospitalization, but there was no death  
160 associated with pneumonia. More pneumonia episodes, in vaccinated compared to  
161 nonvaccinated patients may be due to comorbid conditions and low rate of PPSV vaccination,  
162 or may be more attention had been paid on vaccination in high risk patients with comorbidities.  
163 Nonetheless, the low rate of pneumococcal pneumonia in all dialysis patients is a promising  
164 finding that may indicate the effectiveness of the vaccine.

165         Patients with ESRD have lower response to influenza vaccines, but still, the vaccines  
166 had significantly lower infection-related hospitalization rates and mortality than those who were  
167 not vaccinated [18]. Throughout the study period, influenza infection rates were similar  
168 between vaccinated and unvaccinated patients (7 % vs 6 %). All of the vaccinated patients  
169 recovered with complete recovery and only half of them hospitalized for influenza. These  
170 results supports the view that the influenza vaccine reduces infection related complications and  
171 hospitalization [9,10]. In a previous study reporting a high influenza immunization rate (70.9  
172 % of 62 children) and low prevalence of influenza in children undergoing dialysis and RT, none  
173 of the patients, including those who were not vaccinated, developed a “flu-like” syndrome  
174 requiring hospital admission [4]. The vaccine efficacy may be measured by the absence or low  
175 prevalence of disease, but of course, it should be confirmed in large cohort studies. Our study  
176 included a higher number of dialysis patients. Although the number of patients vaccinated with  
177 influenza was more than those vaccinated with anti-pneumococcal vaccine, the higher rate of  
178 influenza infection and similar rates between the vaccinated and non-vaccinated group can be  
179 explained by the fact that influenza is more contagious and the vaccination rate is low in the  
180 whole population.



181           It is important to prevent influenza and pneumococcal infections in these patients who  
182 may experience very serious consequences as a result of their already existing serious chronic  
183 diseases and other comorbid conditions. As underlined in a study [4], to increase the vaccination  
184 coverage in dialysis patients, vaccinations in these population should not be overlooked, have to  
185 be assessed as a part of the primary work-up, and the renal team should take over the  
186 responsibility for the reviewing, monitoring and administration of the vaccines. The household  
187 contacts of these patients should also be vaccinated against Influenza and *S. pneumoniae* [4].  
188 Especially, annual vaccination of household contacts with influenza vaccine may reduce the  
189 exposure of dialysis patients to the virus.

190 **5. Conclusion:** Despite the fully recommendation of inactive influenza and routine PCV series  
191 for pediatric dialysis patients, and in half of the centers PPSV was recommended, vaccination  
192 rates with all three vaccines were suboptimal; nevertheless, vaccination rates against Influenza  
193 and *S.pneumoniae* were higher compared to those of the United States. Pneumococcal  
194 vaccination rates were higher in PD patients compared to the ones on HD. The respective  
195 infection rates were generally low, while the rate of children on dialysis with influenza infection  
196 was higher than pneumonia. Higher pneumonia rates in patients vaccinated against  
197 pneumococcus compared to unvaccinated ones may be due to coexisting risk factors. The  
198 efficacy of influenza and pneumococcal vaccines was highlighted by the low infection rates,  
199 and the importance of influenza vaccine had been shown by the results that half of the infected  
200 dialysis patients did not necessitated hospitalization and all showed complete recovery.  
201 Strategies should be developed to increase vaccination coverage in this patient group.

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203 and approved the final version.

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### 207 **Compliance with Ethical Statements**

208 **Ethical approval:** All procedures performed in this study were in accordance with the ethical  
209 standards of the institutional and/or national research committee and with the 1975 Helsinki  
210 declaration and its later amendments or comparable ethical standards.

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269 **Table 1. Center policy for influenza and pneumococcal vaccines in CKD and dialysis**  
 270 **patients**

<b>Vaccine</b>	<b>recommended</b>	<b>not recommended</b>
<b>Inactive annual influenza</b>	all centers	-
<b>PCV</b>	all centers	-
<b>PPSV after completion of PCV</b>	CZ, DE, ES, FR, GR (I, II), TR (I), UK	BE, IT (I–III), LT, PL, TR (II, III)

271 BE: Belgium, CZ:Czech Republic, DE:Germany, ES: Spain, FR: France, GR:Greece,  
 272 IT:Italy, LT:Lithuania, PCV:pneumococcal conjugated vaccine, PL:Poland,  
 273 PPSV:pneumococcal polysaccharide vaccine, TR:Turkey , UK:United Kingdom

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285 **Table 2. Prevalent dialysis patients and their vaccinations against pneumococcus and**  
 286 **influenza**

<b>Country</b>	<b>Prevalent dialysis patients HD/PD (n)</b>	<b>Patients vaccinated with PCV (n) HD/PD</b>	<b>Patients vaccinated with PPSV after completion of PCV (n) HD/PD</b>	<b>Patients vaccinated against influenza (n) HD/PD</b>
<b>BE</b>	9/0	1/0	1/0	1/0
<b>CZ</b>	5/12	1/6	0/0	1/2
<b>FR</b>	6/5	6/5	6/5	2/2
<b>DE</b>	10/27	8/16	1/4	9/18
<b>GR</b>	11/18	6/7	6/7	11/14
<b>IT</b>	31/34	5/20	0/1	19/16
<b>LT</b>	1/5	1/1	0/0	0/0
<b>PO</b>	6/4	2/3	0/0	2/2
<b>ES</b>	8/3	7/2	7/2	8/3
<b>TR</b>	37/76	9/20	6/4	8/9
<b>UK</b>	28/21	4/10	4/9	9/21
<b>Total</b>	<b>152/205</b>	<b>50 /90</b>	<b>31/32</b>	<b>70/87</b>

287 BE: Belgium, CZ:Czech Republic, DE:Germany, ES: Spain, FR: France, GR:Greece,

288 HD:hemodialysis, IT:Italy, LT:Lithuania, PCV:pneumococcal conjugated vaccine, PD:

289 peritoneal dialysis, PL:Poland, PPSV:pneumococcal polysaccharide vaccine, TR:Turkey ,

290 UK:United Kingdom

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312 **Table 3. Vaccinated patients developed pneumonia and influenza infection**



Patient	Age (months)	Sex	Dialysis modality	Time on dialysis (months)	Primary renal disease	Comorbidity	Vaccine	Hospitalization
<b><i>Pneumonia</i></b>								
1	19	male	PD	15	CAKUT	Pulmonary hypoplasia	PCV13	+
2	21	female	PD	14	CAKUT	-	PCV13	+
3	23	male	PD	21	CAKUT	-	PCV13	+
4	25	male	HD	19	CAKUT	Chronic lung disease	PCV13	+
5	41	male	HD	36	ARPKD	Pulmonary hypoplasia	PCV13	+
6	121	female	HD	66	RVT	-	PCV7 + PPSV	+
7	148	male	PD	80	FSGS	Schimke immuno-osseous dysplasia	PCV7	+
<b><i>Influenza</i></b>								
1	19	male	PD	15	CAKUT	Pulmonary hypoplasia	1 dose	+

2	24	male	HD	7	Congenital nephrotic syndrome	-	1 dose	+
3	30	male	PD	9	Neonatal cortical necrosis	Severe neurologic impairment	1 dose	+
4	35	male	PD	28	CAKUT	-	1 dose	-
5	47	male	HD	17	Unknown	-	1 dose	+
6	93	female	PD	9	Chronic tubulointerstitial nephritis	-	1 dose	-
7	121	female	HD	66	RVT	-	1 dose	-
8	150	male	PD	25	Cystinosis	-	1 dose	-
9	158	male	HD	63	CAKUT	Autism	1 dose	-
10	172	male	HD	25	CAKUT	-	1 dose	+
11	207	male	HD	44	HIV associated nephropathy	-	1 dose	+

313                   ARPKD: Autosomal recessive polycystic kidney disease, CAKUT: Congenital  
314                   anomalies of the kidney and urinary tract, FSGS: Focal segmental glomerulosclerosis,  
315                   HD: hemodialysis, PD: peritoneal dialysis, RVT: renal vein thrombosis