

1 **Activation induced cytidine deaminase expression in patients with myelodysplastic**
2 **syndrome and its relationship with prognosis and treatment**

3 **Abstract**

4 **Background/aim:** Activation induced cytidine deaminase (AID) enables antibody
5 diversity in B lymphocytes. It may also have an effect on MDS pathogenesis by causing
6 somatic mutations and by inducing epigenetic changes in myeloid cells. This study
7 aimed to compare AID expression of MDS patients with healthy controls, of MDS
8 patients in different risk groups and of MDS patients according to their treatment.

9 **Materials and methods:** Total RNA was isolated and complementary DNA (cDNA)
10 was transcribed from the peripheral blood samples of MDS patients and healthy
11 controls. AID and the reference gene HPRT1 were analyzed using Quantitative Real-
12 time PCR (QRT-PCR). AID expression relative to HPRT1 was calculated. Patients were
13 classified into “lower risk” and “higher risk” subgroups according to their initial IPSS
14 and IPSS-R scores and their MDS subtypes at the time of study. Patients were also
15 divided into two groups based on receiving treatment with hypomethylating agents.
16 AID expressions of different groups were compared using Mann-Whitney U test.

17 **Results:** Thirty MDS patients and thirty healthy controls were included. AID
18 expression in MDS patients was significantly higher compared to healthy controls
19 ($p < 0.001$). There was no significant difference in AID expression of “lower risk” and
20 “higher risk” subgroups of patients. Patients that received hypomethylating agents did
21 not have a significant difference in AID expression compared with patients that did not
22 receive hypomethylating agents.

23 **Conclusion:** AID expression is increased in the peripheral blood of MDS patients
24 compared to healthy controls. However, AID expression is not significantly different in

1 “lower risk” and “higher risk” subgroups and in patients treated with hypomethylating
2 agents. Increased AID expression may be an early step in MDS pathogenesis.

3 **Key words:** Activation induced cytidine deaminase, hypomethylating agents,
4 myelodysplastic syndrome

5 **1. Introduction**

6 Myelodysplastic syndrome (MDS) is a heterogeneous group of disorders
7 characterized by ineffective and impaired hematopoiesis in one or more myeloid cell
8 lineages of bone marrow. It is associated with cytopenias in the peripheral blood and an
9 increased risk of transformation to acute myeloid leukemia (AML) [1, 2].

10 Myelodysplastic syndrome can arise de novo (primary) or secondary to ionizing
11 radiation, toxin or chemotherapeutic drug exposure [1].

12 Somatic mutations and epigenetic changes such as DNA methylation play a role
13 in MDS pathogenesis [3]. Abnormal DNA methylation was detected in the promoters of
14 tumor suppressor genes in MDS [4]. DNA methyltransferase (DNMT) 3A mutations
15 were detected in MDS patients [5]. These mutations were associated with
16 downregulation of hematopoietic stem cell differentiation, poor prognosis and rapid
17 progression to AML [6, 7]. One of the ten eleven translocation (TET) family proteins,
18 TET2 catalyzes conversion of 5-methylcytosine to 5-hydroxymethylcytosine and its loss
19 of function mutations are associated with DNA hypermethylation and gene silencing [8,
20 9]. TET2 mutations were detected in 20-25% of MDS patients [10, 11]. While some
21 studies associated TET2 mutations with a better prognosis, their prognostic significance
22 was unproven in other studies [12, 13].

23 Activation induced cytidine deaminase (AID), an enzyme which catalyzes
24 conversion of cytosine to uracil, was originally described as a B lymphocyte specific

1 factor [14, 15]. AID enables generation of antibody diversity in B lymphocytes by the
2 mechanisms of somatic hypermutation, isotype switching and gene conversion [16, 17].
3 However, AID may also facilitate tumorigenesis by inducing proto-oncogene mutations,
4 chromosome breaks and translocations in other cell lineages [18].

5 A study by Rai et al. demonstrated that AID could catalyze conversion of 5-
6 methylcytosine to thymine by deamination which could lead to DNA demethylation in
7 zebrafish [19]. Another study by Popp et al. found increased DNA methylation in AID
8 deficient primordial mouse germ cells and hypothesized the possible function of AID in
9 epigenetic reprogramming [20]. Another study by Kumar et al. demonstrated AID's
10 possible role in deletion of epigenetic memory of pluripotent stem cells, by its potential
11 function in DNA demethylation. According to this study, AID seemed to have a
12 fundamental role in the stabilization and reprogramming of these cells [21].

13 Thus, we hypothesized that AID expression could have a role in the
14 pathogenesis of MDS by inducing point mutations and chromosomal translocations
15 and/or by interacting with epigenetic mechanisms of DNA methylation and
16 demethylation. The aim of this study is to compare AID mRNA expression levels of
17 MDS patients with healthy controls, AID expression levels of MDS patients in different
18 risk groups and AID expression levels of patients that received hypomethylating agents
19 with those that did not receive this treatment.

20 **2. Materials and methods**

21 **2.1. MDS patients and healthy control group**

22 We enrolled 30 MDS patients who visited the outpatient clinic or who were
23 admitted to the inpatient ward of Istanbul University, Istanbul Faculty of Medicine,
24 Division of Hematology between December 2016 and March 2017 in this study. We

1 took their blood samples. We also obtained blood samples of an age-matched healthy
2 control group of thirty people. All participants in both the patient group and healthy
3 control group provided informed consent in the format required by the institutional
4 research committee.

5 We recorded the history, physical examination findings, complete blood count,
6 bone marrow biopsy and cytogenetic findings of MDS patients at the time of diagnosis.
7 We analyzed MDS subtypes both at the time of diagnosis and at the time of sample
8 collection because transformation to other subtypes occurred in some patients. We
9 determined MDS subtypes according to the 2008 classification of World Health
10 Organization (WHO) [22]. We also recorded the International Prognostic Scoring
11 System (IPSS) and the Revised International Prognostic Scoring System (IPSS-R)
12 scores of the patients at the time of diagnosis [23, 24]. Then, we determined the
13 patients that were treated with hypomethylating agents (azacitidine and decitabine).

14 Then we classified the patients into “lower risk” and “higher risk” subgroups
15 according to the IPSS and IPSS-R scores at the time of diagnosis. Patients in “low” and
16 “intermediate-1” categories according to IPSS were classified as “lower risk” and
17 patients in “intermediate-2” and “high” categories according to IPSS were classified as
18 “higher risk”. Patients in “very low”, “low” and “intermediate” categories according to
19 IPSS-R were classified as “lower risk,” and patients in “high” and “very high”
20 categories according to IPSS-R were classified as “higher risk”. Then, we made another
21 risk stratification according to MDS subtype at the time of sample collection. Patients
22 that had refractory anemia with excess blasts-1 (RAEB-1) and refractory anemia with
23 excess blasts-2 (RAEB-2) were classified as “higher risk” and all other MDS subtypes
24 were classified as “lower risk”.

1 Our study protocol received the approval of the institutional research committee.
2 All procedures that we performed in this study were in accordance with the ethical
3 standards of the institutional research committee and with 1964 Helsinki declaration and
4 its later amendments.

5 **2.2. Determination of AID mRNA expression levels in peripheral blood samples**

6 We collected the peripheral blood samples from patients and healthy controls in
7 sterile tubes containing ethylenediaminetetraacetic acid (EDTA). We isolated total RNA
8 using High Pure RNA Isolation Kit (Roche), in accordance with the instructions of the
9 manufacturer. We measured the density of RNAs that were obtained from the samples
10 spectrophotometrically using NanoDrop 2000c (Thermo Scientific, USA). We
11 transcribed complementary DNA (cDNA) from 100 ng of total RNA using Fermentas,
12 RevertAid First Strand cDNA Synthesis Kit (Roche). We performed TaqMan based
13 Quantitative Real-time PCR (QRT-PCR) using a LightCycler[®]TaqMan Master Kit
14 (Roche Diagnostics) and we used a LightCycler[®] 480II instrument (Roche Diagnostics,
15 Mannheim, Germany) to analyse the target gene AID and the reference gene HPRT1
16 (hypoxanthine phosphoribosyl transferease-1). Primers and probes were designed at the
17 Universal Probe Library website of Roche. Primers specific to the target gene AID were
18 as follows: forward: 5'-TGGACACCACTATGGACAGC-3' and reverse: 5'-
19 GCGGACATTTTTGAATTGGT-3'. Primers specific to the reference gene HPRT1
20 were as follows: forward: 5'-GACCAGTCAACAGGGGACAT-3' and reverse: 5'-
21 GTGTCAATTATATCTTCCACAATCAAG-3'.

22 To calculate the relative expression, we obtained C_T values of AID and HPRT
23 for all samples. We obtained the normalized expression for each sample by subtracting

1 the C_T of HPRT1 from the C_T of AID of the same sample. This was designated as ΔC_T .
2 Then we transformed this value using $2^{-(\Delta C_T)}$ formula [25].

3 **2.3. Statistical analysis**

4 First, we reported AID mRNA expression levels of MDS patients and healthy
5 controls using mean and standard deviation. Then, we checked these expression levels
6 for normal distribution. Due to their non-normal distribution, we then reported AID
7 mRNA expression levels of MDS patients and healthy controls using median, first and
8 third quartile values. Then, we used the non-parametric Mann Whitney U test to
9 compare AID mRNA expression levels in both groups.

10 Then, we checked AID mRNA expression levels of “lower risk” and “higher
11 risk” MDS subgroups according to IPSS and IPSS-R scores at the time of diagnosis and
12 according to MDS subtypes at the time of sample collection for normal distribution.
13 None of the subgroups demonstrated a normal distribution. We then reported AID
14 mRNA expression levels of “lower risk” and “higher risk” subgroups using median,
15 first and third quartile values. Then, we used the non-parametric Mann Whitney U test
16 to compare AID mRNA expression levels in “lower risk” and “higher risk” subgroups.

17 After that, we checked AID mRNA expression levels of patients that received
18 hypomethylating agents and those that did not receive hypomethylating agents for
19 normal distribution. Due to absence of normal distribution, we reported AID mRNA
20 expression levels of “hypomethylating agent” and “no hypomethylating agent”
21 subgroups were using median, first and third quartile values. Then, we used non-
22 parametric Mann Whitney U test to compare AID mRNA expression levels in these two
23 subgroups. Finally, we separately compared the AID expressions of “hypomethylating

1 agent” subgroup and “no hypomethylating agent” subgroup with the healthy control
2 group.

3 Hypotheses were two tailed with $p < 0.05$ accepted as the cutoff for statistical
4 significance. We performed all statistical analyses using SPSS 17.0.

5 **3. Results**

6 **3.1. General characteristics of patients and healthy controls**

7 We enrolled thirty patients and thirty healthy controls in our study. In the patient
8 group there were 15 male and 15 female patients. Mean age of the patients was $63.03 \pm$
9 9.67 . In the healthy control group there were 15 males and 15 females. Mean age of the
10 healthy control group was 59.10 ± 9.39 . Characteristics of patients and healthy controls
11 are demonstrated in Table 1 and Table 2 respectively.

12 Conventional cytogenetic analysis was performed in 27 patients (90%),
13 cytogenetic analysis was not performed in 3 patients (10%) because a sufficient number
14 of metaphases was not obtained. 22 patients (73.33%) had normal karyotype. 1 patient
15 (3.33%) had a karyotype of 46, XX, del(5q), 1 patient (3.33%) had a karyotype of 46,
16 XX, del(20q), 1 patient had a karyotype of 45, X-Y (3.33%), 1 patient had a karyotype
17 of 45, XX, -7,der(14) (3.33%), 1 patient had a karyotype of 46, XY,
18 der(1),der(2),der(20) (3.33%).

19 We classified thirty MDS patients into subtypes according to 2008 classification
20 of World Health Organization. Their MDS subtypes at the time of diagnosis: 9 patients
21 (30%) had RCUD (refractory cytopenia of unilineage dysplasia), 1 patient (3.33%) had
22 RARS (refractory anemia with ring sideroblasts), 8 patients (26.67%) had RCMD
23 (refractory cytopenia of multilineage dysplasia), 1 patient (3.33%) had MDS with 5q
24 deletion, 4 patients (13.33%) had RAEB-1 (refractory anemia with excess blasts-1) and

1 7 patients (23.33%) had RAEB-2 (refractory anemia with excess blasts-2). MDS
2 subtypes of patients at the time of sample collection: 7 patients (23.33%) had RCUD, 5
3 patients (16.67%) had RCMD, 1 patient (3.33%) had MDS with 5 q deletion, 7 patients
4 (23.33%) had RAEB-1 and 10 patients (33.33%) had RAEB-2.

5 Nineteen (63.33%) MDS patients received hypomethylating agents as treatment.
6 Eighteen (60%) of these patients received azacitidine, 5 (16.67%) of them received
7 decitabine. Four of these patients received both treatments. The remaining 11 patients
8 received only supportive treatment such as erythropoietin and transfusions.

9 We classified IPSS and IPSS-R scores at the time of diagnosis for 27 patients, in
10 3 patients these scores were not calculated due to the lack of cytogenetic analysis. Mean
11 IPSS was 0.72 ± 0.79 , with a minimum score of 0 and a maximum score of 3. Twenty
12 two patients were in the “lower risk” subgroup and 5 patients were in the “higher risk”
13 subgroup. Mean IPSS-R was 3.57 ± 1.88 with a minimum score of 1 and a maximum
14 score of 8.5. Nineteen patients were in the “lower risk” subgroup and 8 patients were in
15 the “higher risk” subgroup.

16 Then, we classified the patients into “higher risk” and “lower risk” subgroups
17 according to their MDS subtypes at the time of sample collection. Thirteen patients
18 were in “lower risk” subgroup and 17 patients were in “higher risk” subgroup.

19 **3.2. Comparison of AID expression in MDS patients and healthy controls**

20 Mean AID mRNA level in the peripheral blood of the 30 MDS patients was
21 0.034410 ± 0.026487 and the mean AID mRNA level of 30 healthy controls was
22 0.006060 ± 0.003260 (Figure 1). The distribution of AID expression of both MDS
23 patients and healthy controls was non-normal. Since both groups demonstrated a non-
24 normal distribution, we compared AID expression of MDS patients and healthy

1 controls using the non parametric Mann-Whitney U test. AID mRNA levels in MDS
2 patients (median: 0.021906; Q1: 0.015775 - Q3: 0.057967) was higher compared to
3 healthy controls (median: 0.004792; Q1:0.003569 - Q3: 0.009088). Mann Whitney U
4 test indicated that this difference was statistically significant ($U = 47, p < 0.001$).

5 **3.3. Comparison of AID expression in “lower risk” and “higher risk”** 6 **subgroups according to IPSS, IPSS-R and MDS subtypes**

7 According to IPSS, mean AID mRNA level was 0.039728 ± 0.028614 in the
8 “lower risk” subgroup, and was 0.022977 ± 0.010285 in the “higher risk” subgroup
9 (Figure 2). Neither the “lower risk” subgroup nor the “higher risk” subgroup
10 demonstrated a normal distribution. Therefore we used the non parametric Mann-
11 Whitney U test to compare these subgroups. AID mRNA expression in the “lower risk”
12 subgroup (median: 0.027776; Q1: 0.016477 - Q3: 0.067757) and the “higher risk”
13 subgroup (median:0.017579; Q1: 0.014563 - Q3: 0.034091) were compared and Mann-
14 Whitney U test indicated that this difference was not statistically significant. ($U = 39.5,$
15 $p = 0.333$).

16 According to IPSS-R, mean AID mRNA level was 0.040604 ± 0.028518 in the
17 “lower risk” subgroup, and was 0.027178 ± 0.021065 in the “higher risk” subgroup
18 (Figure 3). Neither the “lower risk” subgroup nor the “higher risk” subgroup
19 demonstrated a normal distribution. Therefore, we used the non parametric Mann-
20 Whitney U test to compare these subgroups. AID mRNA expression in the “lower risk”
21 subgroup (median: 0.030606; Q1: 0.016688 - Q3: 0.066985) and the “higher risk”
22 subgroup (median: 0.017162; Q1: 0.015570 - Q3: 0.034736) were compared and
23 Mann-Whitney U test indicated that this difference was not statistically significant. ($U =$
24 $56; p = 0.288$).

1 According to MDS subtypes of the patients at the time of sample collection,
2 mean AID mRNA level was 0.043139 ± 0.030846 in the “lower risk” subgroup, and
3 was 0.027735 ± 0.021183 in the “higher risk” subgroup (Figure 4). Neither the “lower
4 risk” subgroup nor the “higher risk” subgroup demonstrated a normal distribution.
5 Therefore, we used the non parametric Mann-Whitney U test to compare these
6 subgroups. AID mRNA expression in the “lower risk” subgroup (median: 0.030606;
7 Q1: 0.016265 - Q3: 0.069514) and the “higher risk” subgroup (median: 0.019915;
8 Q1:0.015570 - Q3:0.034091) were compared and Mann-Whitney U test indicated that
9 this difference was not statistically significant. (U = 81; p = 0.217).

10 **3.4. Comparison of AID expression in patients that received hypomethylating** 11 **agents and patients that did not receive hypomethylating agents**

12 Mean AID mRNA level of the patients that received hypomethylating agents
13 (azacitidine and/or decitabine) was 0.032146 ± 0.027421 . Mean AID mRNA level of
14 patients that did not receive hypomethylating agents was 0.038319 ± 0.025584 (Figure
15 5). Neither group demonstrated a normal distribution; therefore, we used the non-
16 parametric Mann-Whitney U test to compare them. AID mRNA expression in the
17 “hypomethylating agent” group (median: 0.020263; Q1: 0.015570 - Q3: 0.035380) and
18 the “no hypomethylating agent” (median: 0.022020; Q1: 0.015843 - Q3 :0.066985)
19 group were compared and Mann-Whitney U test indicated that this difference was not
20 statistically significant (U = 90; p = 0.533).

21 When we compared AID expression of each of these subgroup separately with
22 the healthy control group, we found that both subgroups had a significantly higher AID
23 expression (U = 44; p<0.001 for patients that received hypomethylating agents and U =

1 3; $p < 0.001$ for patients that did not receive hypomethylating agents) compared to the
2 healthy control group.

3 **4. Discussion**

4 Increased AID mRNA expression may have a role in the pathogenesis of MDS,
5 a pre-malignant disease with an increased risk of leukemic transformation, by inducing
6 mutations and cytogenetic abnormalities.

7 AID is known to cause DNA mutations and double strand breaks. This enables
8 antibody diversity in B lymphocytes, but in other cell lines it can lead to tumor
9 formation by inducing chromosome translocations and protooncogene mutations [18].

10 In a study by Marusawa et al., constitutive and excessive expression of AID in
11 transgenic mice was demonstrated to cause lymphoma by inducing mutations in T cell
12 receptors and in genes such as myc. In the same study, there was also an increase in
13 dysgenetic lesions in lungs and in epithelial neoplasia of liver and stomach [26]. AID
14 expression was present in gastric tissue infected with *Helicobacter pylori* and a
15 relationship between epithelial neoplasia and increased AID expression was proposed
16 [27]. AID expression was associated with many different types of hematological
17 malignancies such as Burkitt leukemia/lymphoma, diffuse large B cell lymphoma,
18 Philadelphia chromosome positive acute lymphoblastic leukemia, chronic lymphocytic
19 leukemia, chronic myeloid leukemia in blastic crisis [28, 29, 30, 31, 32, 33].

20 AID mRNA expression can also play a role in MDS pathogenesis through its
21 possible role in the epigenetic mechanisms of DNA methylation and demethylation.

22 Mutations in genes which regulate DNA methylation, such as DNMT and TET
23 2, were demonstrated in MDS patients [10, 11, 34, 35]. An animal study by Arioka et al.
24 suggested the possible role of AID in regulating intracellular localization of TET

1 proteins. This study also proposed the possible significance of the coordinated function
2 of AID and TET in regulating epigenetic changes [36]. In another study by Tsai et al, a
3 possible role of AID in stabilization of DNMT was hypothesized [37]. According to
4 these studies, AID may have a functional interaction with TET and DNMT, two genes
5 whose roles in epigenetic changes in MDS pathogenesis have already been
6 demonstrated.

7 DNMT1 and DNMT3A are upregulated and over-expressed in MDS patients,
8 causing increased methylation of cytosine residues [38]. TET2, whose loss of function
9 mutations are demonstrated in MDS, enables demethylation by catalyzing conversion of
10 5-methylcytosine to 5-hydroxymethylcytosine [8, 9]. AID contributes to DNA
11 demethylation by catalyzing deamination of 5-hydroxymethylcytosine to 5-
12 hydroxymethyluracil. This activates base excision repair mechanisms that convert 5-
13 hydroxymethyluracil to unmethylated cytosine [39]. According to the study by Morgan
14 et al. AID may play an additional role in DNA demethylation by also deaminating 5-
15 methylcytosine to 5-methyluracil (thymine) [40].

16 Figure 6 demonstrates the role of DNMT and TET in DNA methylation-
17 demethylation reactions and the possible role of AID in these reactions [39].

18 In MDS patients, AID mRNA expression may be increased in order to
19 compensate the increased DNA methylation (due to mutations causing DNMT
20 overexpression) and decreased DNA demethylation (due to TET-2 mutations causing
21 loss of function). Due to over-expression of DNMT, 5-methylcytosine production is
22 increased. Since TET2 function is lost, 5-methylcytosine can not be converted to 5-
23 hydroxymethylcytosine. AID expression may increase as a compensating mechanism,
24 enabling DNA demethylation by converting increased 5-methylcytosine is to 5-

1 methyluracil (thymine). Therefore, increased AID expression can be secondary to
2 mutations in DNMT and/or TET2 rather than a primary factor in the disease
3 pathogenesis.

4 However, not all studies support this hypothesis. The review of DNA
5 demethylation pathways by Bochtler et al. demonstrated that recent studies investigating
6 the expression of AID on embryonic stem cells and the involvement of AID in DNA
7 demethylation in these cells have yielded conflicting results. Some studies supported
8 AID's role whereas some studies argued that it does not play a role in DNA
9 demethylation [41]. In this review, the authors also stated that according to some
10 studies, AID's catalytic effect on 5-methylcytosine as a substrate was much less
11 efficient than its effect on cytosine, which undermined the direct role of AID on DNA
12 demethylation [41]. The authors then proposed that AID acted indirectly on DNA
13 demethylation by acting on cytosine, triggering repair mechanisms which also replaced
14 the 5-methylcytosine molecules in the vicinity [41].

15 Although the AID expression is significantly increased in MDS patients
16 compared to healthy controls, there was no statistically significant difference in AID
17 mRNA levels of "lower risk" and "higher risk" subgroups according to IPSS, IPSS-R
18 and MDS subtypes. Considering our findings, we hypothesize that increased AID
19 mRNA expression may occur in the earlier steps of MDS pathogenesis. As normal
20 myeloid cells transform into "lower risk" MDS clones, AID expression increases. This
21 increase may account for the statistically significant increase in AID expression of MDS
22 patients compared to healthy controls. However, as "lower risk" MDS clones transform
23 to "higher risk" clones, there is no further increase in AID expression because this
24 change has already occurred in earlier steps. This hypothesis is summarized in Figure 7.

1 The low number of patients is a limitation of our study. There are 4 risk groups
2 in the original IPSS classification and 5 risk groups in the original IPSS-R
3 classification. However, due to the low number of our patients, they were classified into
4 “lower risk” and “higher risk” subgroups according to these scoring systems and then a
5 comparison of AID expression of these subgroups was performed. Further studies
6 which include more patients will enable AID expression of each risk group to be
7 directly compared with each other. Due to the low number of patients in our study,
8 instead of comparing AID expression of each MDS subtype with each other, we divided
9 the MDS subtypes into 2 categories and compared the AID expression of these 2
10 categories. Due to the heterogeneous nature of MDS, AID expression of MDS subtypes
11 could differ from each other. Therefore, larger studies that compare AID expression in
12 each different MDS subtype are also necessary.

13 Our study compared the AID expression of patients that received
14 hypomethylating agents with those that did not receive this treatment. Although both
15 subgroups had a significantly higher AID expression compared to healthy controls,
16 there was no significant difference in the AID expression of these 2 subgroups. Due to
17 the cross-sectional nature of our study, we were unable to compare the AID expression
18 before and after hypomethylating agent treatment. We believe that such a comparison
19 could have yielded a statistically significant difference. In the literature, there are some
20 evidences that link AID with hypomethylating agents. For example, in the study by Tsai
21 et al. hypomethylating agent decitabine was shown to bind the active region of AID and
22 inhibit its expression by proteosomal degradation [37]. Future studies are necessary to
23 determine whether AID expression is affected by hypomethylating agents in MDS
24 patients.

1 In this cross-sectional study, we determined AID mRNA levels of 30 MDS
2 patients and 30 healthy controls. AID expression was significantly higher in the
3 peripheral blood of MDS patients compared to healthy controls. However there was no
4 significant difference in AID expression of MDS patients that were classified as “lower
5 risk” and “higher risk” subgroups according to IPSS, IPSS-R scores and MDS subtypes.
6 There was also no significant difference in the AID expression of patients that received
7 hypomethylating agents, compared to the patients that did not receive this treatment.
8 Future studies which include a larger number of MDS patients and a larger number of
9 age-matched healthy controls will improve our understanding of the possible role of
10 AID in MDS pathogenesis, risk stratification and response to treatment with
11 hypomethylating agents.

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1 **Table 1:** Clinical characteristics of the myelodysplastic syndrome patients

| Patient | Age and sex | MDS subtype at the time of diagnosis | Cytogenetic analysis at the time of diagnosis | IPSS score at the time of diagnosis (risk group) | IPSS-R score at the time of diagnosis (risk group) | MDS subtype at the time of study | Treatment received |
|---------|-------------|--------------------------------------|---|--|--|----------------------------------|----------------------------|
| 1 | 58, female | RCUD | 46, XX | 0 (lower) | 2 (lower) | RAEB-2 | Azacitidine |
| 2 | 73, female | RAEB-2 | 46, XX | 1 (lower) | 3.5 (lower) | RAEB-2 | Azacitidine |
| 3 | 69, male | RAEB-2 | 46, XY | 2 (higher) | 5.5 (higher) | RAEB-2 | Azacitidine and decitabine |
| 4 | 57, male | RCMD | 46, XY, der(1), der(2), der(20) | 1 (lower) | 3.5 (lower) | RAEB-1 | Azacitidine |
| 5 | 42, male | RAEB-1 | 46, XY | 1 (lower) | 5.5 (higher) | RAEB-1 | Azacitidine |
| 6 | 72, male | RAEB-2 | - | - | - | RAEB-2 | Azacitidine |
| 7 | 78, female | RCMD | 46,XX/47,XX+8,der21(p11),del(20q) | 1.5 (higher) | 4 (lower) | RCMD | Supportive |
| 8 | 69, male | RAEB-2 | 46, XY | 2 (higher) | 5 (higher) | RAEB-2 | Azacitidine |
| 9 | 56, male | RAEB-1 | - | - | - | RAEB-1 | Decitabine |
| 10 | 73, female | RAEB-1 | 46, XX | 1 (lower) | 5.5 (higher) | RAEB-1 | Azacitidine and decitabine |
| 11 | 61, male | RCUD | - | - | - | RCUD | Supportive |
| 12 | 62, male | RCMD | 46, XY | 0.5 (lower) | 4 (lower) | RCMD | Supportive |
| 13 | 58, male | RAEB-1 | 45X,-Y | 0.5 (lower) | 3.5 (lower) | RAEB-1 | Azacitidine and decitabine |
| 14 | 63, male | RCMD | 46, XY | 0.5 (lower) | 2.5 (lower) | RCMD | Supportive |
| 15 | 85, male | RCUD | 46, XY/46, XY, t(5;21)(q33;q22) | 0.5 (lower) | 2.5 (lower) | RCUD | Supportive |
| 16 | 62, female | 5q deletion | 46, XX, del(5q) | 0.5 (lower) | 2.5 (lower) | 5q deletion | Supportive |
| 17 | 57, female | RARS | 46, XX | 0 (lower) | 2.5 (lower) | RAEB-1 | Azacitidine |
| 18 | 63, male | RCMD | 46, XY | 0 (lower) | 2.5 (lower) | RAEB-1 | Azacitidine |
| 19 | 53, female | RCMD | 46, XX | 0 (lower) | 1.5 (lower) | RCMD | Azacitidine |
| 20 | 78, female | RCMD | 46, XX, del(20q) | 0 (lower) | 1.5 (lower) | RCMD | Supportive |
| 21 | 39, female | RAEB-2 | 45, XX,-7 der(14) | 3 (higher) | 8.5 (higher) | RAEB-2 | Supportive |
| 22 | 79, male | RAEB-2 | 46, XY | 1 (lower) | 5.5 (higher) | RAEB-2 | Azacitidine and decitabine |
| 23 | 70, female | RCMD | 46, XX | 0.5 (lower) | 2.5 (lower) | RAEB-2 | Azacitidine |
| 24 | 71, female | RCUD | 46, XX | 0 (lower) | 1 (lower) | RCUD | Supportive |
| 25 | 69, female | RCUD | 46, XX | 0 (lower) | 2 (lower) | RCUD | Azacitidine |
| 26 | 64, female | RCUD | 46, XX | 0 (lower) | 1 (lower) | RCUD | Azacitidine |

| | | | | | | | |
|----|------------|--------|------------------|-------------|--------------|--------|-------------|
| 27 | 70, female | RCUD | 46, XX | 0.5 (lower) | 2 (lower) | RCUD | Supportive |
| 28 | 69, female | RCUD | 46, XX, del(20q) | 0 (lower) | 6.5 (higher) | RAEB-2 | Azacitidine |
| 29 | 44, male | RCUD | 46, XY | 0 (lower) | 4 (lower) | RCUD | Supportive |
| 30 | 73, male | RAEB-2 | 46, XY | 2 (higher) | 6 (higher) | RAEB-2 | Azacitidine |

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2 Abbreviations: RAEB-1: Refractory anemia with excess blasts-1, RAEB-2: Refractory

3 anemia with excess blasts-2, RARS: Refractory anemia with ring sideroblasts, RCMD:

4 Refractory cytopenia of multilineage dysplasia, RCUD: Refractory cytopenia of

5 unilineage dysplasia

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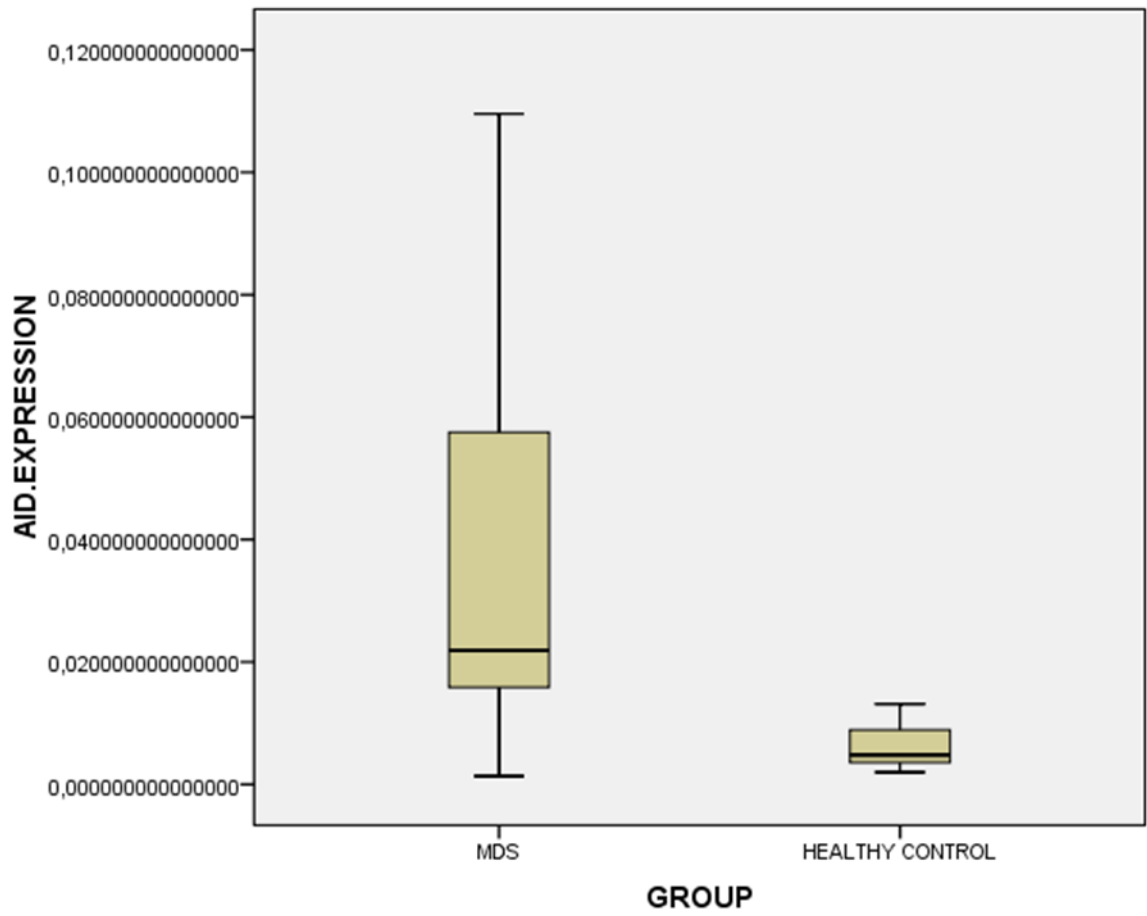
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1 **Table 2:** Characteristics of the healthy controls

| Healthy control | Age and sex | 2 |
|-----------------|-------------|----|
| 1 | 55, male | |
| 2 | 57, female | 3 |
| 3 | 57, female | |
| 4 | 71, female | 4 |
| 5 | 55, female | 5 |
| 6 | 54, female | 6 |
| 7 | 47, female | |
| 8 | 56, female | 7 |
| 9 | 56, female | |
| 10 | 76, female | 8 |
| 11 | 49, female | |
| 12 | 47, male | 9 |
| 13 | 67, male | |
| 14 | 68, female | 10 |
| 15 | 46, female | 11 |
| 16 | 45, female | |
| 17 | 62, male | 12 |
| 18 | 62, male | |
| 19 | 43, male | 13 |
| 20 | 65, male | 14 |
| 21 | 62, male | 15 |
| 22 | 66, female | |
| 23 | 47, male | 16 |
| 24 | 67, male | |
| 25 | 59, male | |
| 26 | 72, male | |
| 27 | 70, male | |
| 28 | 75, male | |
| 29 | 41, female | |
| 30 | 57, male | |



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2 **Figure 1:** AID mRNA expression levels of MDS patients and healthy controls

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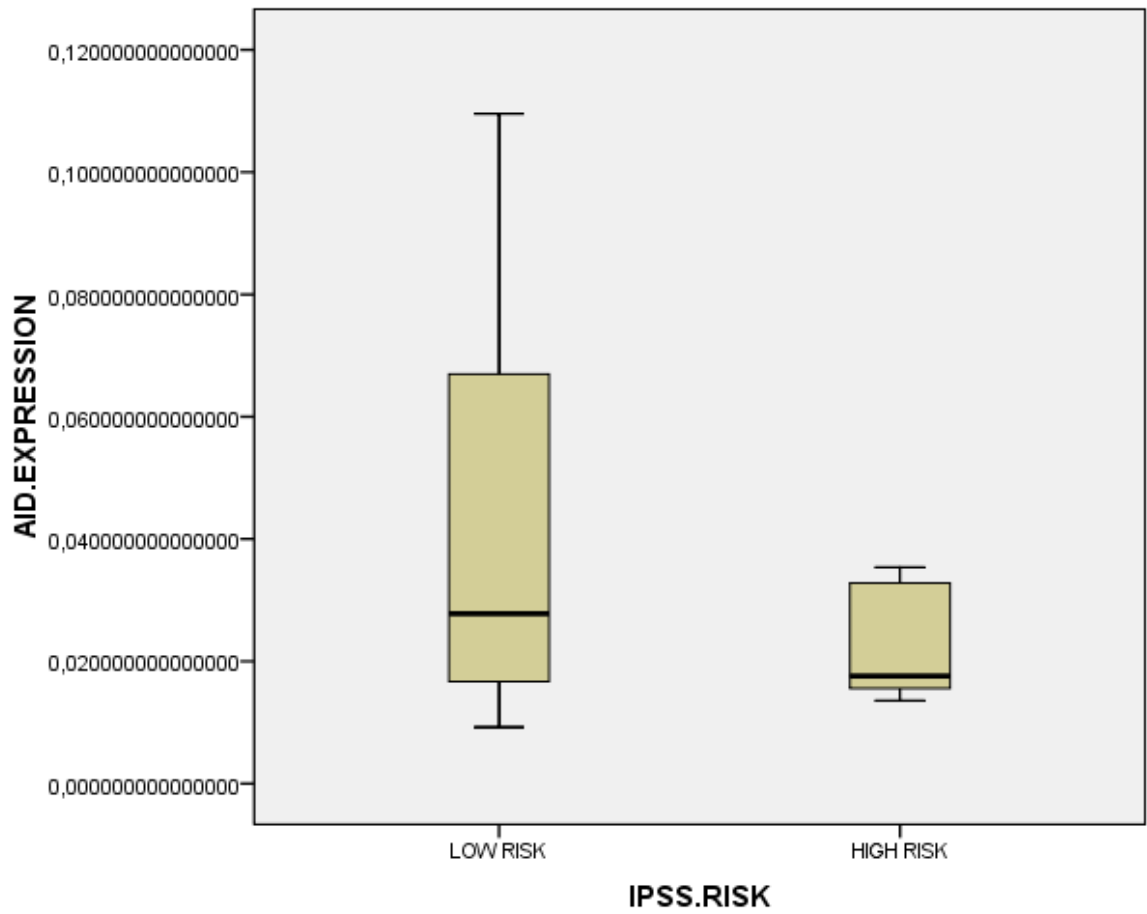
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2 **Figure 2:** AID mRNA expression levels of “lower risk” and “higher risk” MDS patients
3 according to their initial IPSS score

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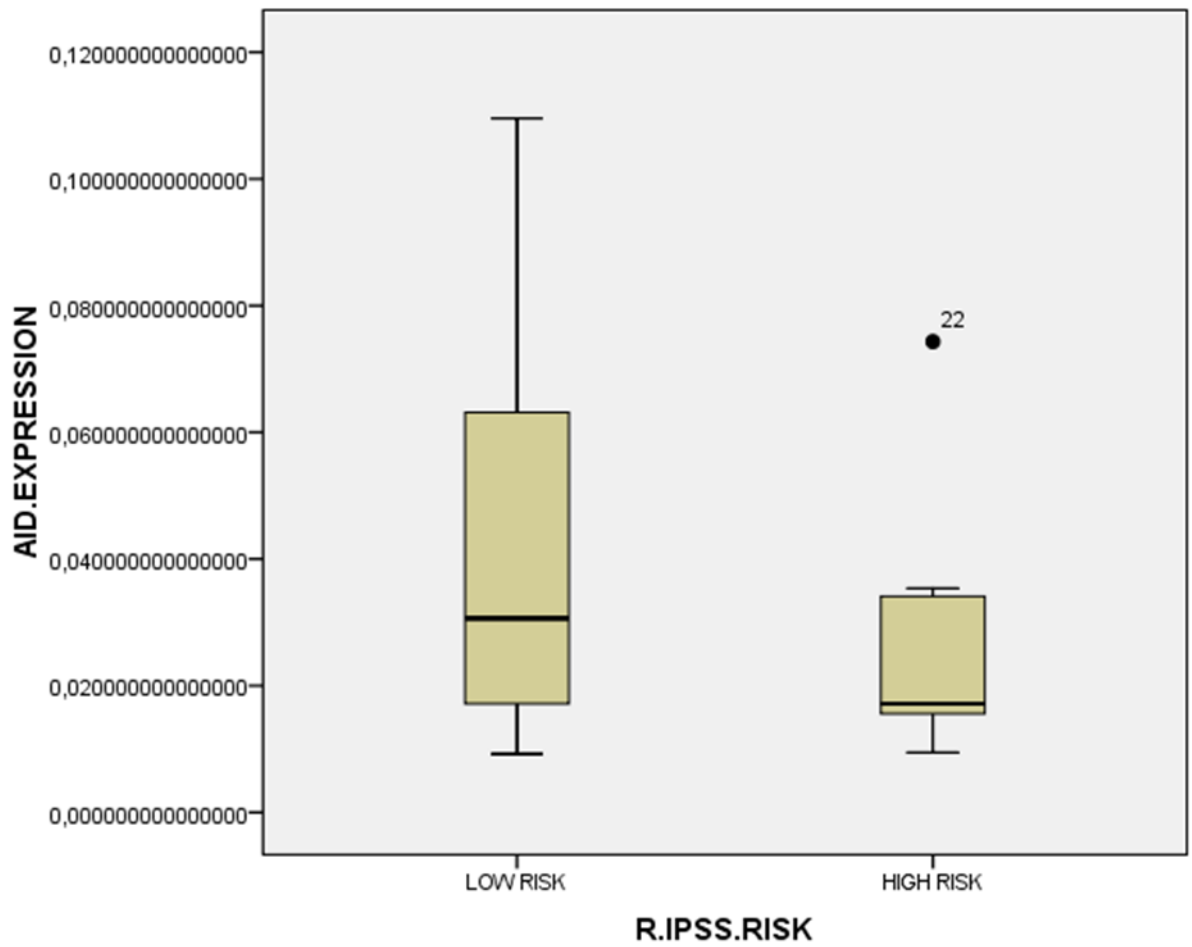
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2 **Figure 3:** AID mRNA expression levels of “lower risk” and “higher risk” MDS patients

3 according to their initial IPSS-R score

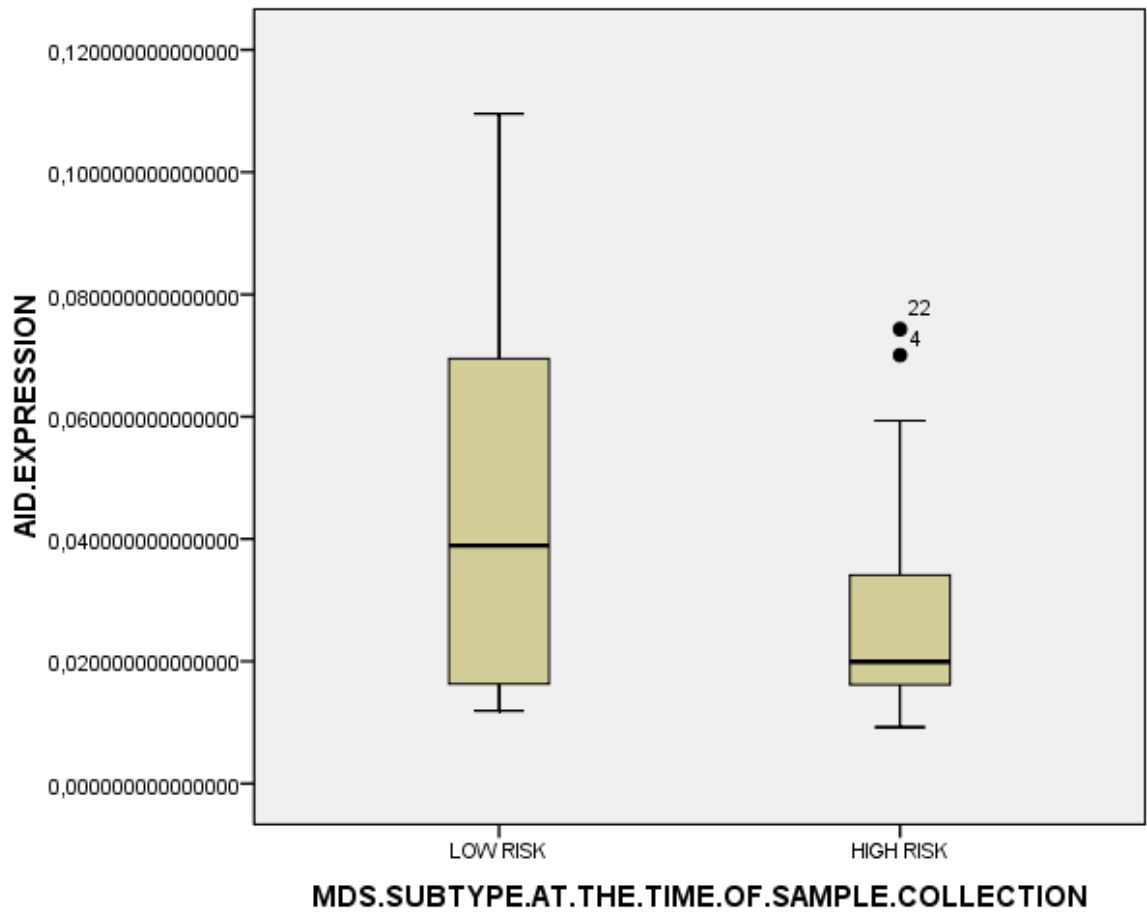
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2 **Figure 4:** AID mRNA expression levels of “lower risk” and “higher risk” MDS patients
 3 according to their MDS subtype at the time of the study

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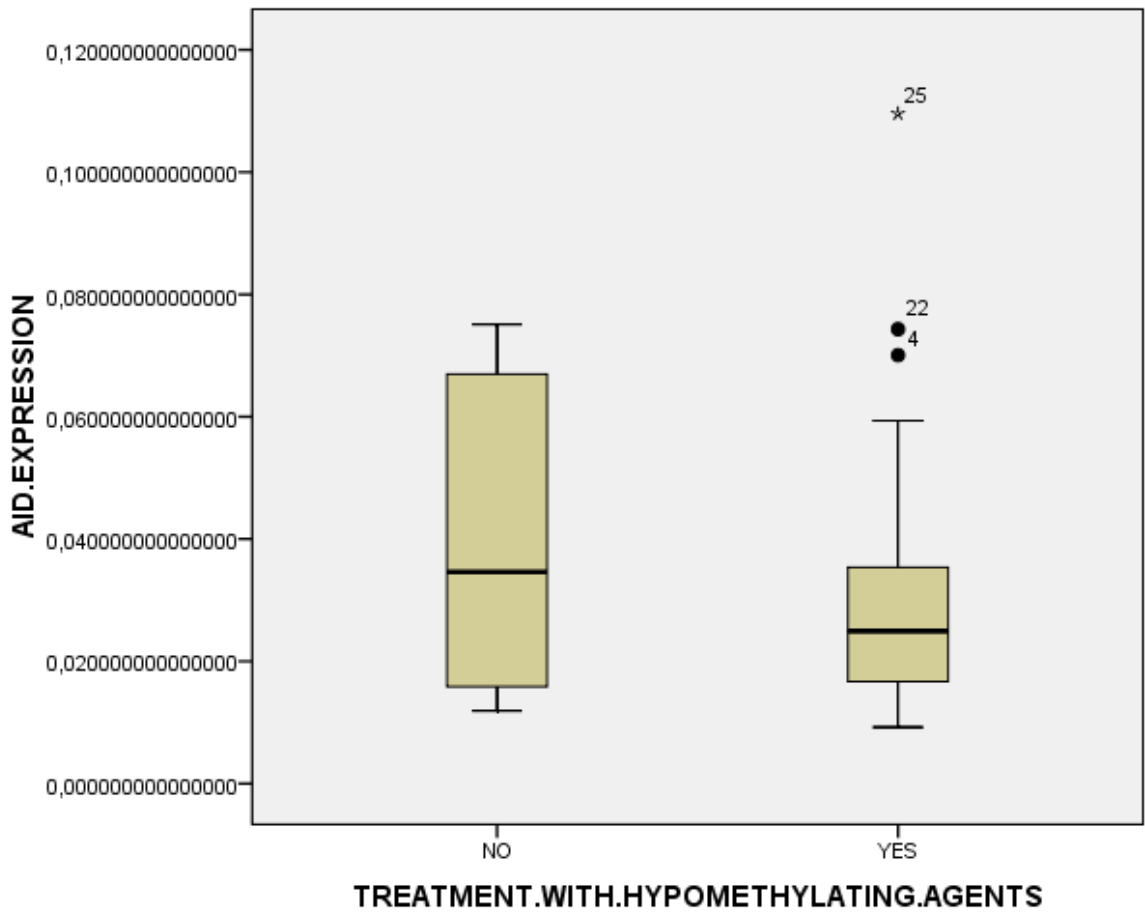
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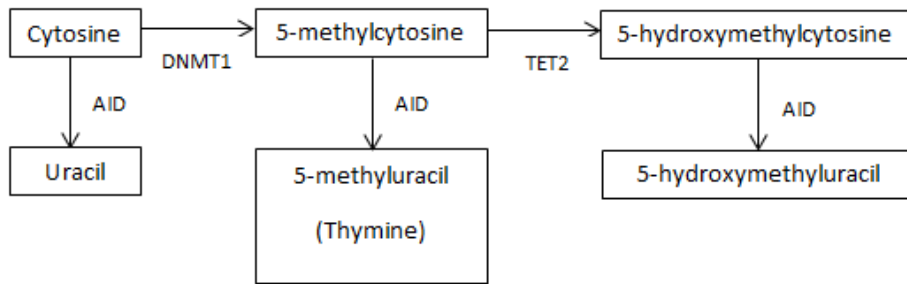
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3 **Figure 5:** AID mRNA expression levels of patients that received and that did not
4 receive hypomethylating agents

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2 **Figure 6:** Role of DNMT, TET and AID in DNA methylation-demethylation reactions.

3 AID: Activation induced cytidine deaminase, DNMT1: DNA methyltransferase 1,

4 TET2: Ten-eleven translocation family protein 2

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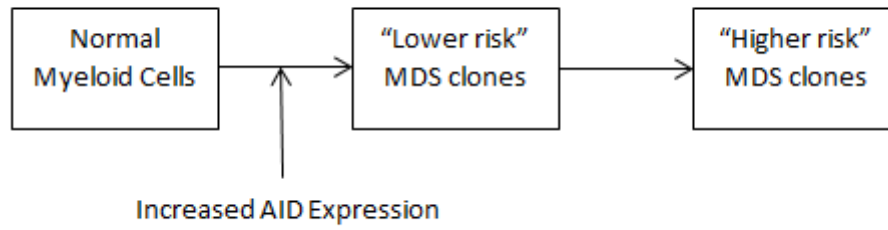
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2 **Figure 7:** Increased AID expression may occur in the earlier steps of MDS

3 pathogenesis. AID: Activation induced cytidine deaminase, MDS: Myelodysplastic

4 syndrome

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