

1 **Are the criteria always right? Assessment of hepatocellular carcinoma cases in**  
2 **living donor liver transplantation at a high-volume center**

3  
4 **Abstract**

5 **Background/Aim:** With the increased experience in living donor liver transplantation  
6 (LDLT), it has been adopted for the treatment of hepatocellular carcinoma (HCC), with  
7 emerging discussions of criteria beyond tumor size and number. In contrast to deceased  
8 donor liver transplantation (DDLT), recipient selection for LDLT is not limited by  
9 organ allocation systems. We discuss here in the assessment, criteria and experience  
10 with liver transplantation (LT) in HCC cases at a high-volume LDLT center.

11 **Material and Methods:** Between August 2006 and December 2017, 191 adult LT HCC  
12 recipients with at least one-year follow-up were retrospectively analyzed.

13 **Results:** In 191 patients, one-, three- and five-year survival rates were 87.2%, 81.6%,  
14 and 76.2%. respectively, including early postoperative mortality. In 174 patients with  
15 long-term follow-up, one-, three- and five-year disease-free survival rates were 91.6%,  
16 87.7% and 84.4%, respectively. When multivariate analysis was utilized tumor  
17 differentiation was the only factor which statistically affected survival ( $p=0.025$ ).

18 **Conclusions:** LDLT allows us to push the limits forward and the question “Are the  
19 criteria always right?” is always on the table. We can conclude that, with the advantage  
20 of LDLT, every HCC patient deserves a case-by-case basis discussion for LT under  
21 scientific literature support. In borderline cases, tumor biopsy might help determine the  
22 decision for LT.

23 **Keywords :** hepatocellular carcinoma, living donor liver transplantation, criteria,  
24 outcomes

1 **1.Introduction**

2

3 Hepatocellular carcinoma (HCC) is the most common primary liver cancer and remains  
4 an ongoing problem, with incidence increasing worldwide. It is also well known that  
5 HCC develops mainly in chronically diseased livers, with low median survival rates if  
6 no treatment is received [1-3]. There are various modalities for curative and palliative  
7 treatment. Surgical resection and interventional radiological treatment are the options  
8 with successful outcomes in limited cases due to underlying chronic liver disease.

9 During the last decades, liver transplantation (LT) became a radical treatment for HCC  
10 in that it can simultaneously treat intrahepatic metastasis as well as multi-centric  
11 carcinogenesis and diseased liver [4-6].

12 During the last two decades, Milan Criteria (MC) has been implemented worldwide for  
13 LT in cases of HCC, and many organ sharing programs now use MC for organ  
14 allocation. Starting with the University of California, San Francisco (UCSF) criteria [7],  
15 over the past decade, the search for new criteria and discussions of LT algorithms for  
16 HCC became a hot topic in the field. With increased experience in living donor  
17 transplantation (LDLT), LDLT was adopted in the setting of HCC treatment with new  
18 discussions about criteria beyond the size and number of tumors. In contrast to deceased  
19 donor liver transplantation (DDLT), recipient selection for LDLT is not limited by  
20 organ allocation systems.

21 In this study, we discuss the criteria for LT in HCC cases, sharing our experience and  
22 assessment of our HCC cases as a high volume LDLT center.

23

## 1 **2. Material and Methods:**

2 Between August 2006 and December 2017, 1,067 LTs (890 LDLT, 177 DDLT) were  
3 performed in 1,027 patients (704 adult, 323 pediatric) at our center. Following  
4 Institutional Review Board approval, patient data was collected retrospectively in 208  
5 HCC LT patients. . Pediatric patients (<18 years), patients with other liver malignancies  
6 combined with HCC, and patients lost to follow-up were excluded. A total of 191 adult  
7 LT HCC recipients with a minimum one-year follow-up were retrospectively analyzed.  
8 Demographics, underlying liver disease, tumor related radiological (total tumor size,  
9 total number of tumors, largest tumor size) and pathological data (macro- and  
10 microvascular invasion, tumor differentiation), AFP levels, recurrence and survival  
11 rates) were recorded and analyzed. In addition, patients were classified according to MC  
12 and USCF criteria. SPSS version 13.0 (SPSS, Inc., Chicago, IL, USA) was used for the  
13 Kaplan Meier Survival and Cox Regression Multivariate analysis.

### 14 **2.1 Patient Evaluation and Selection**

15 Starting from the beginning of the transplant program, all chronic liver disease patients  
16 with HCC were evaluated in the multidisciplinary selection meeting as possible LT  
17 candidates. Beyond tumor size and number, patients who did not have findings of  
18 extrahepatic or macrovascular invasion, tumor thrombosis, lymphatic node or findings  
19 of extrahepatic metastasis were evaluated as LT candidates. During the evaluation and  
20 selection process, cases within MC were approved both for DDLT and LDLT. Patients  
21 were listed for DDLT according to the Turkish Health Ministry organ allocation system  
22 rules and were asked about potential related living liver donors. Cases beyond MC were  
23 evaluated for LDLT according to their additional findings. During this evaluation,

1 beyond the tumor size and number, we focused on findings which could give us an idea  
2 about the biological behavior of the tumor. Tumor growth rate and time, AFP level,  
3 tumor margin findings at Computed Tomography (CT) or Magnetic Resonance Imaging  
4 (MRI) views, Positron Emission Tomography and Computed Tomography (PET-CT)  
5 findings, response to other previous treatments, histopathological differentiation (if  
6 there was a biopsy) and age of the patients were the parameters which we interpreted  
7 before making a decision. One or two parameters supporting poor biological behavior  
8 were not enough to make a decision against LT. If most of the findings supported poor  
9 biological behavior, alternative and bridging therapies (transarterial chemoembolization  
10 - TACE, transarterial radioembolization –TARE, and external beam radiation) options  
11 were preferred instead of LT. In addition, all the possibilities and risks were discussed  
12 at length with the recipient, living donor candidate and family members. Our living  
13 donor selection criteria and outcomes were previously published [8].

## 14 **2.2 Immunosuppression**

15 The protocol for immunosuppressive therapy was triple maintenance  
16 immunosuppressive therapy at the beginning, with a lower dose consisting of  
17 prednisone, tacrolimus (Prograf, Astellas USA, Deerfield, IL), and mycophenolate  
18 mofetil (Cell-Cept, Roche Laboratories, Nutley, NJ). Prednisolone was stopped in all  
19 cases with a taper at one month after transplant, and MMF was stopped in most cases at  
20 three months after transplant. Most patients with low tacrolimus levels (4-6 ng/mL)  
21 were followed postoperatively according to their clinical findings. In some HCC  
22 recurrence cases, mTor inhibitor was started according to the decision made together  
23 with the oncologist and hepatologist.

### 1 **2.3 Follow-up after LT**

2 A thoraco-abdominal CT or/and MRI were performed every 3 months for the first year  
3 of follow-up, every 6 months between 1 and 3 years, and annually after 3 years. AFP  
4 and clinical examination were performed every month during the first 6 months and  
5 every 2 months between 6 months and 1 year of follow-up; between 1 and 3 years,  
6 every 3 months; and between 3 and 5 years, every 6 months. After 5 years, CT or MRI  
7 with AFP test were performed annually or if clinically indicated. A biopsy of all  
8 suspicious lesions was performed for recurrence, and we attempted to treat all recurrent  
9 lesions with surgical resection or interventional radiological treatment after the  
10 determination of recurrence.

### 11 **3. Results**

12 Of the 191 cases, the mean age was 56.2 years (18-74 years), and 81.7% (n=156) of  
13 patients were male. Only 14.1% (n=27) were older than 65 years of age. The main  
14 primary liver disease was chronic hepatitis B infection (61.8%), followed by chronic  
15 hepatitis C infection (19.4%). Most (66%) LT was performed from a living related liver  
16 donor. Mean physiological Model for End-Stage Liver Disease (MELD) score was 12.8  
17 (6-29). Mean alpha fetoprotein (AFP) level was 904 ng/mL (1-100,000 ng/mL), but the  
18 median AFP level was 10.8ng/mL. According to the preoperative radiological findings  
19 and evaluation, 115 (60%) of the 191 pathologically HCC approved cases were within  
20 the MC (rMC), 45 (24.6%) were beyond the MC (rMC), and 31 (16.2%) were  
21 incidentally discovered HCC (iHCC) cases upon histopathological examination. After  
22 histopathological examination, 120 (62.8%) cases within the MC (pMC) and 71

1 (37.2%) were beyond the MC (pMC). In addition, 54 (28.3%) of the all cases were  
2 beyond the USCF criteria (Table 1).

3 Early postoperative mortality (first 6 months) occurred due to sepsis, primary non-  
4 function (PNF), multi-organ failure (MOF), cardiac arrest and neurological  
5 complications in 17 (8.9%) cases. These cases were included in the analysis. Of the 17  
6 cases, 9 were within in the pMC and 8 were beyond the pMC (4 of them were beyond  
7 the USCF criteria). In addition, 8 were transplanted from a deceased donor and 9 were  
8 transplanted from a living donor.

9 Of the 191 patients, there were 26 (13.6%) with recurrent disease. Overall mortality was  
10 20,9% (40/191). When early mortalities (n=17) are excluded, the adjusted long-term  
11 mortality dropped to 13.2% (23/174) in HCC recipients with at least one year of follow-  
12 up. In 174 patients with long-term follow-up, 1-, 3- and 5-year disease-free survival  
13 rates were 91.6%, 87.7% and 84.4%, respectively.

14 With the inclusion of early postoperative mortalities, 1-, 3- and 5-year survival rates  
15 were 87.2%, 81.6% and 76.2%, respectively. In 115 patients within rMC, 1-, 3- and 5-  
16 year survival rates were 87.6%, 84.3% and 79.1%, respectively. In 45 patients beyond  
17 the rMC, 1-, 3- and 5-year survival rates were 84.2%, 73.7% and 63.2%, respectively.  
18 In 31 iHCC patients, 1-, 3- and 5-year survival rates were 90.3%, 83.4% and 83.4%,  
19 respectively. In 120 within pMC patients, 1-, 3- and 5-year survival rates were 89.1%,  
20 85.2% and 80.6%, respectively; and in 71 beyond the pMC patients, 1-, 3- and 5-year  
21 survival rates were 84.1%, 76.02% and 69.4%, respectively. There were no differences  
22 between the within versus beyond the rMC (p=0.18) and within versus beyond pMC  
23 (p=0.12). When data were analyzed according to the pathological UCSF criteria, in 137

1 within UCSF patients, 1-, 3- and 5-year survival rates were 89.5 %, 85.4% and 81.2%,  
2 respectively; and in 54 beyond the UCSF patients 1-, 3- and 5-year survival rates were  
3 81.3%, 72.5% and 64.5%, respectively. There were statistical survival differences  
4 between within UCSF patients versus beyond the UCSF patients ( $p=0.029$ ) (Table 2).

5 When the data were analyzed according to total tumor numbers (1, 2, 3, 4-9 and more  
6 than 10 tumors), there was not a significant difference between the five groups ( $p=0.54$ )  
7 (Figure 1A). There were 13 cases with long-term follow-up with more than 10 tumors; 3  
8 deaths occurred due to HCC recurrence in a total 6 cases with recurrence (Table 3). We  
9 also instituted a cut-off for total tumor size of 8 cm, as this was the most supported limit  
10 [9] in the literature and there were not significant differences between total tumor size  
11 over and below 8 cm ( $p=0.19$ ) (Table 2). With our evaluation system, we had a chance  
12 to transplant only seven patients with the largest tumor size more than 8 cm.

13 Statistically, our case number was not large enough to make a conclusion, but 5 lived  
14 for more than 5 years and 3 are still living without HCC recurrence more than 5 years  
15 post-transplant (Table 4). We did not find any significant differences in our patient  
16 population with AFP levels higher and lower than 200ng/mL ( $p=0.89$ ) (Table 2). There  
17 were only 16 cases followed long-term with  $\text{AFP} \geq 400$  ng/mL, and two deaths occurred  
18 due to HCC recurrence in a total of 6 cases with recurrence (Table 5). In our HCC  
19 patients MELD scores of the recipients did not affect survival rates by subgroup  
20 ( $p=0.72$ ) . According to our univariate analysis, poor tumor differentiation ( $p=0.0001$ ),  
21 microvascular invasion ( $p=0.004$ ) and recipient age  $\geq 65$  ( $p=0.016$ ) affected patient  
22 survival. Comparably with all our LT patients, older HCC (age  $\geq 65$ ) recipient survival  
23 rates at 1, 3 and 5 years (72.0%, 64.7% and 58.8%, respectively) were significantly  
24 lower than those for younger recipients (age  $<65$ ) survival rates (89.5%, 84.4% and

1 79.1%, respectively) (Table 2). When Cox Regression Multivariate analysis was  
2 performed, including all the factors, tumor differentiation was the only factor which  
3 statistically affected survival in our patients ( $p=0.025$ ) (Table 6). Although our case  
4 number was not large enough to reach statistical significance, largest tumor size greater  
5 than 8 cm increased the overall HCC recurrence rate (57.1%,  $n:4/7$ ) and decreased the  
6 long-term overall patient survival rate (71.4%,  $n:5/7$ ) (Table 4).

7 In our HCC patients with recurrence, 1-, 3- and 5-year survival rates were 81.3%,  
8 54.7% and 25.0%, respectively (Figure 2A). Of the 50 beyond UCSF patients with  
9 long-term follow-up for well-differentiated tumors ( $n=10$ ) 1-, 3- and 5-year survival  
10 rates were all 90%, and for moderately differentiated tumors ( $n=32$ ), 1-, 3- and 5-year  
11 survival rates were 84.1%, 76.7% and 67.3%, respectively. In this group, for poorly  
12 differentiated tumors ( $n=8$ ), survival rates dropped to 46.0% at 1 year and 31.3% at 2  
13 years (Figure 2B).

#### 14 **4. Discussion**

15 It is agreed in the literature that one of the most important steps for successful outcomes  
16 after LT in HCC is patient selection, as is true in many other areas of medicine [10].  
17 With the improvements in LT, Mazzaferro et al. reported MC for LT in HCC cases in  
18 1996. In this report, survival rates after LT for HCC cases were similar to the survival  
19 rates after LT for other diseases [11]. Improved survival rates in patients beyond MC on  
20 explant histopathology started the discussion of extending patient selection criteria for  
21 LT, as the aforementioned criteria were considered too restrictive. Starting with UCSF  
22 [7], many centers began reporting excellent survival rates with their own new criteria  
23 [12-25]. LDLT allows many centers to develop center-specific expanded criteria with



1 acceptable results without consideration of allocation system limitations, and LDLT in  
2 the setting of HCC has been adopted worldwide over the past decade [9,10,26].  
3 Sugawara et al. utilized a 5-5 rule (up to five nodules with a maximum diameter of 5  
4 cm), and reported a 3-year recurrence-free survival rate of 94% after LDLT [27]. With  
5 new limits, Mazzaferro et al. proposed even more liberal criteria than MC: up-to-7  
6 criteria (up to 7 tumors, with the size of the largest tumor up to 7 cm ). They reported  
7 that beyond the MC but within up-to-7 criteria in the absence of microvascular invasion  
8 had a similar survival rate compared with patients within MC, irrespective of  
9 microvascular invasion [28]. Lee et al. reported that beyond the MC with PET-negative  
10 status and a total tumor size <10 cm showed similar overall survival and disease-free  
11 survival compared to within MC recipients [28]. With the advantage of LDLT, at many  
12 centers, especially in Asia, patients with advanced HCC are considered on a case-by-  
13 case basis, and risks factors for recurrence, chance of survival, and strong wishes of the  
14 patient, donor and her/his family are considered [30]. However, the selection criteria are  
15 still a matter of debate.

16 Under the influence of ongoing discussions in the literature, starting with the first case  
17 we evaluated, all chronic liver disease patients with HCC were considered on a case-by-  
18 case basis in our multidisciplinary selection meeting. With the advantage of LDLT, we  
19 did not limit our discussions around any criteria. Beyond the tumor size and number, if  
20 the patients did not have findings of extrahepatic or macrovascular invasion, tumor  
21 thrombosis, lymphatic node or extrahepatic metastasis findings, they were evaluated as  
22 an LT candidate. In contrast to DDLT, the indications for LDLT for HCC were decided  
23 based on the balance between risks to the living donor and benefits to the recipient [4].  
24 We considered all findings which provide hints about the biological behavior of the

1 tumor. Tumor growth rate in time, AFP level, tumor margin findings at Computed  
2 Tomography (CT) or Magnetic Resonance Imaging (MRI) views, 18F-labeled fluoro-2-  
3 deoxyglucose positron emission tomography (18F-FDG PET) findings, response to  
4 other previous treatments, histopathological differentiation (if there was a biopsy) and  
5 age of the patients were the parameters we interpreted before making the decision. Only  
6 one or two parameters supporting poor biological behavior were not enough to make the  
7 decision against LT. The more the morphological limits of selection criteria expand, the  
8 more the recurrence rates after LT increase [4]. If most of the findings supported poor  
9 biological behavior, alternative and bridge treatment options were suggested instead of  
10 LT. In addition, all the possibilities and risks were discussed at length with the recipient,  
11 donor candidate and family members. With this evaluation, our survival rates are  
12 comparable with the literature and are acceptable.

13 According to our analysis, which is also supported widely by the literature, tumor  
14 differentiation is the most important factor affecting survival rates. However, biopsy for  
15 patients with a decompensated cirrhotic liver is not always possible due to retention of  
16 ascites and risk of bleeding as well as tumor dissemination. It could help us to know the  
17 tumor differentiation before the decision, but a biopsy cannot accurately diagnose the  
18 most advanced degree of differentiation due to the heterogeneity of HCC tumors [4].  
19 Preoperative tumor biopsy and grading analysis have huge variability in specificity and  
20 sensitivity, which poses limitations for the prognostic value of biopsy [31]. There is a  
21 seeding risk of 3%, false negative rate of 30%, and only 12.5% sensitivity for the  
22 identification of microvascular invasion [32,33]. In contrast, the Toronto group reported  
23 that the preoperative biopsy is 90% effective in excluding patients with a poorly  
24 differentiated lesion. Their recurrence rate related to the preoperative biopsy was 1.9%,

1 which was consistent with previous studies. The Toronto group also reported the biopsy  
2 results as one of the main criteria [20] . Dubay et al. reported the usefulness of pre-  
3 transplant liver biopsy and proposed that LT for advanced moderate to well-  
4 differentiated HCC can be performed safely with excellent 5-year overall and  
5 disease-free survival in the absence of size and tumor number restrictions [34] . In our  
6 previous short review of our experience correlated to a meeting, we concluded that in  
7 considering tumor differentiation, a preoperative biopsy can help select the best HCC  
8 patients for transplant even in beyond the UCSF criteria with reasonable outcomes [35],  
9 but we did not perform routine biopsies in our patients due to the concerns in the  
10 literature. Centers' experiences differ in regard to preoperative tumor biopsy.

11 Therefore, noninvasive methods, including tumor markers, CT findings and PET are  
12 desirable for predicting the tumor biology. In addition, bridging therapies (transarterial  
13 chemoembolization - TACE, transarterial radioembolization -TARE and external beam  
14 radiation) prior to LT help control local disease progression [36]. Moreover, imaging  
15 modalities have dramatically improved in the last two decades. Some radiologic  
16 imaging findings, such as large tumor diameter, tumor margins, the presence of tumor  
17 capsule, the distance from tumor to liver capsule, tumor internal homogeneity, contrast  
18 enhancement patterns on post-contrast dynamic and hepatobiliary phase images, and  
19 diffusion restriction on diffusion weighted images can predict microvascular invasion  
20 (MVI). In addition, some clue imaging findings, especially beak and bulging signs, may  
21 predict MVI, allowing the clinician to biopsy [37]. We routinely used these noninvasive  
22 methods during our evaluation. In some borderline cases we performed a biopsy for the  
23 final decision.

1 Many earlier studies have shown the importance of vascular invasion as a prognostic  
2 marker. Pommergaard HC et al. reported that patients without vascular invasion,  
3 regardless of size and number of nodules, had a survival comparable to within MC and  
4 up-to-7 criteria [32]. On the basis of the idea that incorporating tumor biological  
5 markers and predicting microvascular invasion and poor differentiation can exclude  
6 patients with a high risk of recurrence before LT, some expanded criteria that include  
7 such markers have recently been proposed [20,38,39]. Our data also support these  
8 reports in the literature.

9 Piardi T. et al reported that tumor size more than 8 cm, AFP level and histologic  
10 grading were only independent significant prognostic factors in their LT patients for  
11 HCC [31]. With our evaluation system looking at many factors related to poor outcome,  
12 we did a limited number of cases with the largest tumor more than 8 cm. In our limited  
13 number of cases with the largest tumor size over 8 cm, our data support this literature,  
14 with the exception of AFP level. Our experience showed that with the increase in the  
15 largest tumor size, other additional poor prognostic factors were seen more often. In  
16 addition, when we reviewed our data case by case, an important number of our patients  
17 with more than 10 tumors (n=13) and the largest tumor size greater than 7 cm (n=11)  
18 who underwent LT and were followed long term had the opportunity to live more than  
19 5 years instead of losing their lives much earlier (Table 3 and 4).

20 Pre-transplant AFP is independently associated with post-transplant HCC recurrence  
21 survival, suggesting that elevated levels reflect increased tumor aggressiveness that is  
22 present even with recurrent disease [40-41]. Elevated AFP is an important prognostic  
23 marker associated with the presence of microvascular invasion and poor tumor

1 differentiation [42]. Hong et al. reported that serum AFP levels and <sup>18</sup>F-FDG PET  
2 positivity represent [43], in place of morphological factors, new biological criteria that  
3 can improve the risk stratification of tumor recurrence better than can the MC for LDLT  
4 recipients with HCC [43-44]. Although AFP is the most widely used tumor marker for  
5 HCC, only half of all tumors secrete this protein. Thus, AFP may not be an optimal  
6 indicator of risk [2]. According to our data, AFP could not be the only marker  
7 associated with the poor outcomes. When we looked case by case at our 16 HCC  
8 patients with AFP levels higher than 400 mg/mL, remarkably, 14 of them were still  
9 alive years after LT (Table 5) .

10 Many new prognostic biomarkers were studied in the literature to establish the  
11 outcomes of HCC patients undergoing LT. The most examined biomarker is the serum  
12 AFP level. In addition, an association has been found between increased HCC  
13 recurrence and high serum levels of Des-gamma –carboxy prothorombin, E-cadherin,  
14 beta-catenin and high HCC expression of GPC-3 but additional research is necessary to  
15 establish the prognostic role these biomarkers [45].

16 Most of the findings in literature supported that poor biological behavior is the most  
17 important impact factor for the outcome. Tumor differentiation is the well established one  
18 which is also supported widely by the literature findings. According to our analysis,  
19 tumor differentiation is the only factor impact the outcome which can be a conflict with  
20 some of the literature findings such as AFP level, tumor size, <sup>18</sup>F-FDG PET, other  
21 biomarkers etc. With our evaluation system, we might had a chance to transplant limited  
22 number of patients to analyse some of these factors which might also impact the  
23 outcome. This could be one of the limitation in our analysis to make a better conclusion.

1 However, we strongly consider a case-by-case basis evaluation for the LT in HCC cases  
2 with a multidisciplinary team.

3 Some studies have suggested that immunosuppression with the mammalian targets of  
4 rapamycin (mTOR) inhibitor, such as everolimus or sirolimus, may reduce the risk of  
5 HCC recurrence after LT [46]. We followed most of our cases with low tacrolimus  
6 levels and switched tacrolimus to mTOR inhibitors in limited recurrence cases. We  
7 always tried to treat the recurrent lesions with surgical or interventional radiological  
8 treatment. Our experience is limited with mTOR inhibitors for statistical analysis.

9 Although overall outcomes are better after LDLT for treatment of HCC, some previous  
10 studies had reported that LDLT HCC recipients had worse recurrence compared to  
11 DDLT HCC recipients. This was postulated to be due to the lack of ability to test the  
12 tumor biology during the waitlist time, which is shorter for LDLT recipients [21,30,47].  
13 Hypotheses include fast-tracking patients to LT, growth factor and cytokines released  
14 during the rapid regeneration of a partial graft, surgery technique (may be no-touch total  
15 hepatectomy technique). Since LD grafts are not public resources, it is already accepted  
16 in the LT community that the recurrence risk of HCC, survival benefit of the recipient,  
17 and wishes of the donor should be considered for LDLT candidate selection [30] (30).  
18 In addition, experience with successful LDLT after intensive multidisciplinary treatment  
19 for HCC patients with portal vein tumor thrombus, which has been accepted as a  
20 contraindication even in the LDLT setting, has been reported in the literature [48-50].  
21 Our endorsement for LDLT would only make sense if we can provide a safe donation  
22 environment with a low complication profile. Many centers from Turkey reported their  
23 living liver donation complication rates [51-54]. We previously reported complications

1 and outcomes of our 890 living donor hepatectomy cases [8]. No death is reported in  
2 our series. Greater experience and knowledge of LDLT will allow reduced donor  
3 morbidity.

4 Both the European Association for Study of the Liver (EASL) and American  
5 Association for Study of the Liver Disease (AASLD) recently revised guidelines to  
6 continue to recommend MC as the benchmark for selection and argue that there is a lack  
7 of uniform consensus and limitations inherent to retrospective analysis [55-56].  
8 Literature and guidelines strongly encourage centers moving away from MC to  
9 carefully collect prospective data on outcomes using new criteria for selecting patients  
10 [57].

## 11 **5. Conclusion**

12 We know that criteria for any medical treatment is important and is usually mandatory.  
13 Our data statistically showed that USCF criteria seems more reasonable according to  
14 MC. The literature supports LDLT and allows us to push the limits forward. The  
15 question “Are the criteria always right?” is always on the table. According to our  
16 experience and with the support of the literature, we can conclude that with the  
17 advantage of LDLT, all HCC patients deserve a case-by-case basis discussion for LT  
18 under the scientific literature support. In borderline cases, tumor biopsy might help to  
19 make a decision about whether to perform LT.

20

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1 **Table 1-)** Demographics

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	<b>Within pMC n= 120</b>	<b>Beyond pMC n= 71</b>	<b>Within USCF n=137</b>	<b>Beyond USCF n=54</b>	<b>Total n= 191</b>
<b>Mean Age</b> (years)	56.5	56.0	56.4	55.9	56.2
<b>Sex</b> (%, n)					
Female	20.0 % (24)	15.5 % (11)	17.5 % (24)	20.4 % (11)	18.3% (35)
Male	80.0 % (96)	84.5 % (60)	82.5 % (113)	79.6 % (43)	81.7% (156)
<b>Mean MELD Score</b>	13.1	12.1	12.8	12.7	12.8
<b>Mean AFP</b> (ng/mL)	218	2064	197	2697	904
<b>Median AFP</b> (ng/mL)	6	22	5.9	27.4	8
<b>Primary Liver Disease</b>					
HBV & HCV	84.2 % (101)	77.5 % (55)	83.9 % (115)	75.9 % (41)	81.7 % (156)
Others	15.8 % (19)	22.5 % (16)	16.1 % (22)	24.1 % (13)	18.3 % (35)
<b>Early mortality</b> (%, n)	9.2 % (11)	8.5 % (6)	8.0 % (11)	11.1 % (6)	8.9 % (17)

3 AFP: alpha-fetoprotein, MELD : The Model for End-Stage Liver Disease, HBV:chronic  
 4 hepatitis B virus, HCV: chronic hepatitis C virus, USCF: University of California, San  
 5 Francisco criteria, pMC: pathological Milan criteria

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1 **Table 2-)** Kaplan-Meier survival comparison between subgroups

	<b>First group (n) - 1, 3 and 5 year Survival Rates</b>	<b>Second group (n) 1, 3 and 5 year Survival Rates</b>	<b>P Value</b>
<b>Radiological</b>	<b>Within rMC (n=115)</b>	<b>Beyond rMC (n=45)</b>	<b>0.18</b>
<b>Milan Criteria(rMC)</b>	1 year 87.6%	1 year 84.2%	
	3 year 84.3%	3 year 73.7%	
	5 year 79.1%	5 year 63.2%	
<b>Pathological</b>	<b>Within pMC (n=120)</b>	<b>Beyond pMC (n=71)</b>	<b>0.12</b>
<b>Milan Criteria(pMC)</b>	1 year 89.1%	1 year 84.2%	
	3 year 85.2%	3 year 76.0%	
	5 year 80.6%	5 year 69.4%	
<b>USCF Criteria</b>	<b>Within USCF (n=137)</b>	<b>Beyond USCF (n=54)</b>	<b>0.029</b>
	1 year 89.5%	1 year 81.3%	
	3 year 85.4%	3 year 72.5%	
	5 year 81.2%	5 year 64.5%	
<b>AFP Level</b>	<b>AFP &lt; 200 ng/mL (n=165)</b>	<b>AFP ≥ 200 ng/mL (n=26)</b>	<b>0.89</b>
	1 year 87.6%	1 year 84.6%	
	3 year 81.7%	3 year 80.8%	
	5 year 76.2%	5 year 75.7%	
<b>Total Tumor Size</b>	<b>tTs &lt; 8 cm (n=150)</b>	<b>tTs ≥ 8 cm (n =41)</b>	<b>0.19</b>
<b>(tTs)</b>	1 year 87.8%	1 year 88.7%	
	3 year 83.1%	3 year 83.1%	
	5 year 79.2%	5 year 69.8%	
<b>Recipient Age</b>	<b>Age &lt; 65 ( n= 164 )</b>	<b>Age ≥ 65 (n =27)</b>	<b>0.016</b>
	1 year 89.5%	1 year 72.0%	
	3 year 84.4%	3 year 64.7%	
	5 year 79.1%	5 year 58.8%	
<b>Microvascular</b>	<b>MVI (-) (n=120)</b>	<b>MVI (+) (n=68)</b>	<b>0.004</b>
<b>Invasion (MVI)</b>	1 year 90.8%	1 year 80.4%	
	3 year 86.8%	3 year 71.8%	
	5 year 84.0%	5 year 61.6%	

2 AFP: alpha-fetoprotein, MELD : The Model for End-Stage Liver Disease,

3 USCF:University of California, San Francisco criteria

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1 **Table 3)** Transplant patients followed long term with tumor number  $\geq 10$

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	Tm Number	Age	Tm Diff.	AFP (ng/mL)	Biggest Tm size	Rec	Rec.time (month)	Status	Post LT Year
1	>10	18	M	100000	7.0	Yes	14	Dead	3.1
2	>10	56	W	180	11.5	No	-	Alive	<b>11.8</b>
3	>10	54	M	234	6.0	No	-	Alive	<b>9.6</b>
4	>10	47	M	5	4.5	No	-	Alive	<b>8.5</b>
5	>10	61	M	3	2.8	Yes	11	Dead	2.6
6	>10	68	M	137	8.0	Yes	55	Alive	<b>7.6</b>
7	>10	29	M	341	2.5	Yes	35	Alive	<b>7.2</b>
8	>10	53	W	6	3.0	No	-	Alive	<b>6.7</b>
9	>10	62	M	6	10.0	Yes	36	Dead	3.2
10	>10	65	M	1426	3.5	No	-	Alive	<b>6.7</b>
11	>10	19	W	727	0.2	No	-	Alive	<b>6.8</b>
12	>10	61	M	7175	3.5	Yes	11	Alive	<b>3.3</b>
13	>10	59	M	2	3.7	No	-	Alive	<b>1.8</b>

3 AFP: alpha-fetoprotein, Tm:tumor, Diff: differentiation, Rec:recurrence, LT:liver  
 4 transplant, W: well differentiated tumors M; moderately differentiated tumors

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1 **Table 4)** Transplant patients followed long term with largest tumor  $\geq 7$  cm

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	Largest Tm size	Age	Tm Diff.	AFP (ng/mL)	Tm Number	Rec	Rec.time (month)	Status	Post LT Year
1	11.5	37	M	12520	1	No	-	Alive	9.1
2	10.0	62	W	6	>10	Yes	36	Death	3.2
3	10.0	51	M	1	7	Yes	4	Death	2.5
4	8.7	51	M	7447	1	Yes	9	Alive	5.6
5	8.0	55	M	1779	1	No	-	Alive	8.8
6	8.0	68	M	137	>10	Yes	55	Alive	7.6
7	8.0	57	W	15	1	No	-	Alive	8.8
8	7.2	60	P	9946	3.0	No	-	Alive	3.7
9	7.0	56	W	180	>10	No	-	Alive	11.8
10	7.0	51	M	6	1	Yes	6	Death	1.3
11	7.0	68	M	2	2	No	-	Alive	9.9

3 AFP: alpha-fetoprotein, Tm:tumor, Diff: differentiation, Rec:recurrence, LT:liver  
 4 transplant, W: well differentiated tumors, M:moderately differentiated tumors, P:poorly  
 5 differentiated tumors

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1 **Table 5)** Transplant patients followed long term with AFP level  $\geq 400$  mg/mL

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No	AFP (ng/mL)	Age	Tm Diff.	Criteria	Tm Number	Largest Tm size	Rec	Rec. time (month)	Status	Post LT Year
1	<b>100000</b>	18	M	B USCF	>10	5.0	Yes	14	Death	3.1
2	<b>12520</b>	37	M	B USCF	1	11.5	No	-	Alive	<b>9.1</b>
3	<b>9946</b>	60	P	B USCF	1	7.2	No	-	Alive	<b>3.7</b>
4	<b>7447</b>	51	M	B USCF	1	8.7	Yes	9	Alive	<b>5.6</b>
5	<b>7325</b>	55	M	MC	1	4.5	No	-	Alive	<b>10.7</b>
6	<b>7175</b>	61	M	B USCF	>10	3.5	Yes	11	Alive	<b>3.3</b>
7	<b>3893</b>	62	M	MC	1	3.5	No	-	Alive	<b>3.1</b>
8	<b>2072</b>	66	P	MC	1	3.3	Yes	4	Death	0.7
9	<b>1799</b>	55	M	B USCF	1	8.0	No	-	Alive	<b>8.8</b>
10	<b>1426</b>	65	M	B USCF	>10	3.5	No	-	Alive	<b>6.7</b>
11	<b>1358</b>	55	M	MC	1	4.0	Yes	72	Alive	<b>12.0</b>
12	<b>1000</b>	64	M	B USCF	2	5.0	No	-	Alive	<b>5.1</b>
13	<b>727</b>	19	W	B USCF	>10	0.2	No	-	Alive	<b>6.8</b>
14	<b>721</b>	65	P	B USCF	4	2.9	No	-	Alive	<b>4.2</b>
15	<b>551</b>	69	M	MC	1	4.5	Yes	13	Alive	<b>2.9</b>
16	<b>497</b>	66	M	USCF	2	3.8	No	-	Alive	<b>7.9</b>

3 AFP: alpha-fetoprotein, Tm:tumor, Rec:recurrence, LT:liver transplant, MC: Within  
 4 Milan criteria, B USCF: Beyond The University of California, San Francisco criteria,  
 5 USCF:Within The University of California, San Francisco criteria, Diff: differentiation  
 6 W: well differentiated tumors, M:moderately differentiated tumors, P:poorly  
 7 differentiated tumors

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1 **Table 6-)** Cox-Regression Multivariate Analysis

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	<b>B</b>	<b>SE</b>	<b>Wald</b>	<b>df</b>	<b>P Value (Sig)</b>	<b>Exp(B)</b>
<b>rMC</b>	0.066	0.372	0.031	1	0.859	1.068
<b>pMC</b>	0.913	0.771	1.403	1	0.236	2.469
<b>USCF</b>	-0.993	0.718	1.911	1	0.167	0.371
<b>AFP Level (200ng/mL)</b>	-0.594	0.485	1.503	1	0.220	0.552
<b>Tm differentiation</b>	<b>0.800</b>	<b>0.357</b>	<b>5.009</b>	<b>1</b>	<b>0.025</b>	<b>2.225</b>
<b>Microvascular invasion</b>	0.461	0.371	1.537	1	0.215	1.585
<b>Tm number</b>	-0.100	0.178	0.318	1	0.573	0.905
<b>Total tm size (8 cm)</b>	0.083	0.530	0.025	1	0.875	1.087
<b>Recipient MELD score</b>	0.163	0.151	1.155	1	0.282	1.177
<b>Recipient age (65)</b>	0.476	0.390	1.489	1	0.222	1.609

3 AFP: alpha-fetoprotein, MELD : The Model for End-Stage Liver Disease,  
 4 USCF:University of California, San Francisco criteria, Tm:tumor, rMC:radiological  
 5 Milan criteria, pMC:pathological Milan criteria

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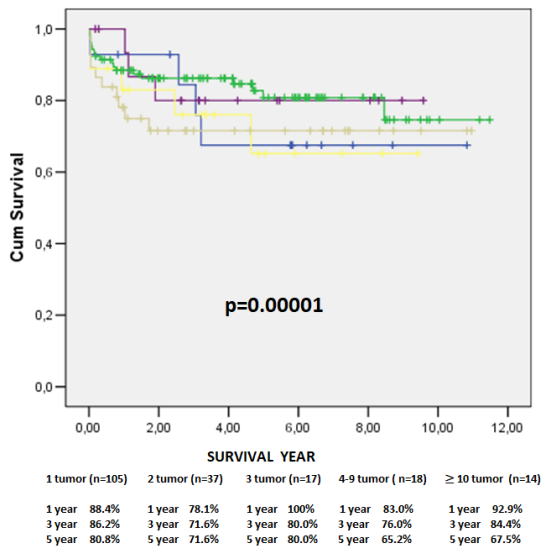
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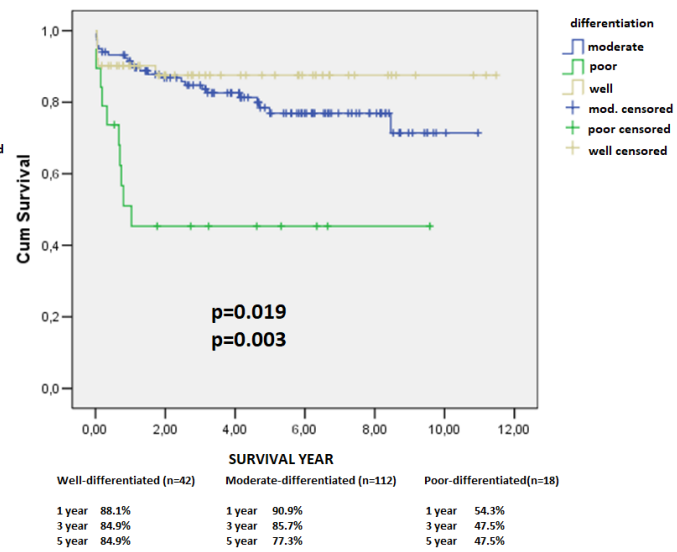
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1 **Figure 1A and 1B:**



**Figure 1A**



**Figure 1B**

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4 Figure 1A: Survival comparison with Kaplan-Meier between the 5 groups of number of  
 5 tumors (1,2,3,4-9 and more than 10)

6 Figure 1B: Survival comparison with Kaplan-Meier between the 3 groups of tumor  
 7 differentiation (well, moderate and poor)

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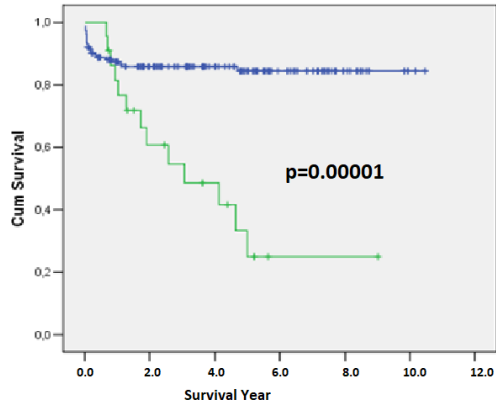
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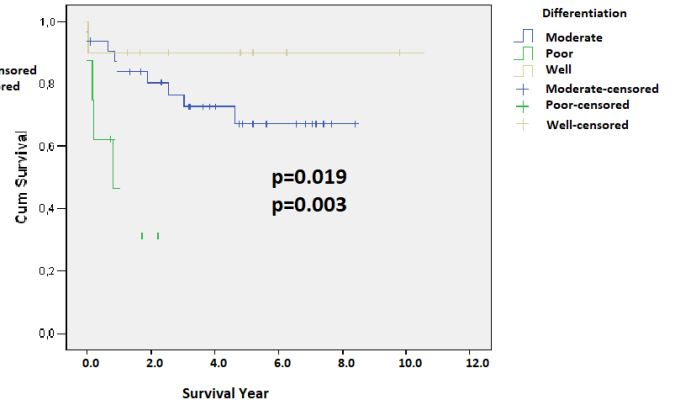


1 **Figure 2A and 2B:**



Non-recurrent cases ( n=165)		Recurrent cases ( n=26)	
1 year	87.3%	1 year	81.3%
3 year	85.8%	3 year	54.7%
5 year	84.4%	5 year	25.0%

**Figure 2A**



Well-differentiated (n=10)		Moderate-differentiated (n=32)		Poor-differentiated (n=8)	
1 year	90.0%	1 year	84.1%	1 year	46.0%
3 year	90.0%	3 year	76.7%	3 year	31.3%
5 year	90.0%	5 year	67.3%		

**Figure 2B**

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4 Figure 2A: Survival comparison with Kaplan-Meier between the tumor recurrence and  
 5 non-recurrence groups.

6 Figure 2B: Survival comparison with Kaplan-Meier between the 3 groups of tumor  
 7 differentiation in beyond the USCF patients (well, moderate and poor)

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